

Finite Automata

Part Two

Time-Out For Announcements!

A Note On Honor Code

- TAs have been noting down proofs written using AI and instances of copied work.
- I will go through the cases at the end of the quarter and report to the Office of Community Standards as needed. Any confirmed case would result in a zero in the class.
- Email me if you have violated the honor code, and we can instead give zeros on the affected PSETs.

Back to CS103!

Just how powerful are NFAs?

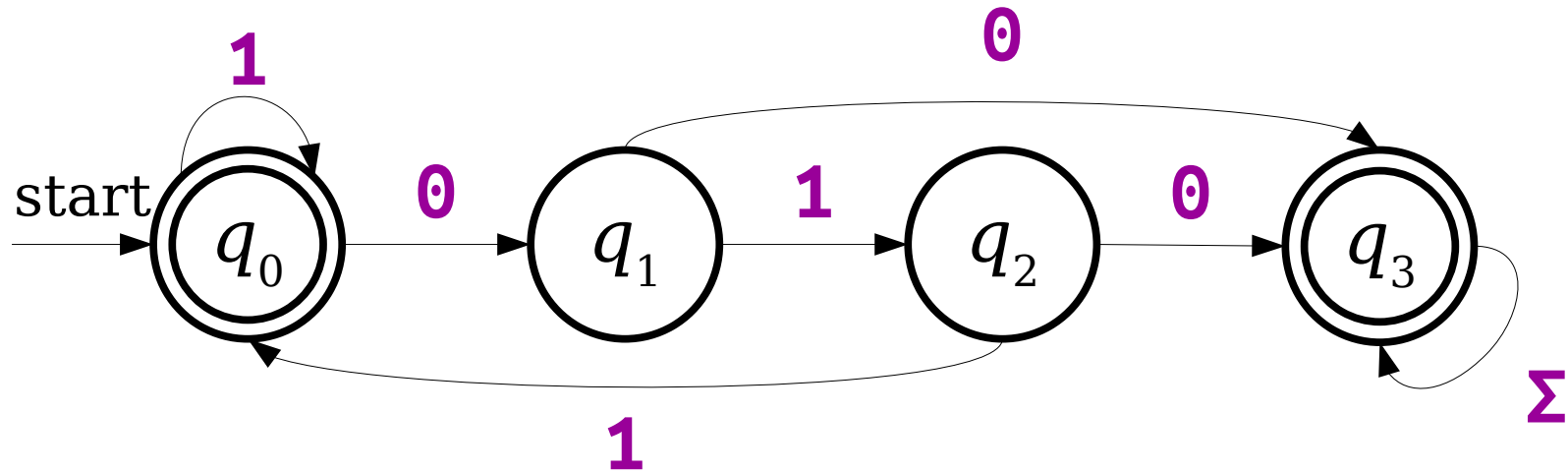
NFAs and DFAs

- Any language that can be accepted by a DFA can be accepted by an NFA.
- Why?
 - Every DFA essentially already *is* an NFA!
- **Question:** Can any language accepted by an NFA also be accepted by a DFA?
- Surprisingly, the answer is **yes**!

Thought Experiment:

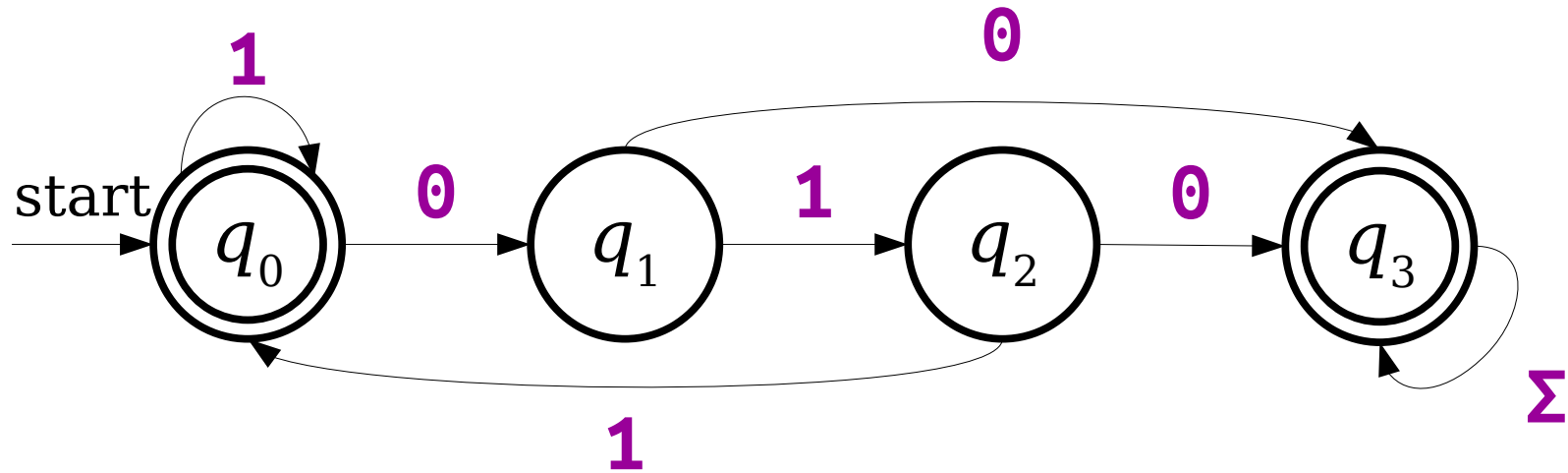
How would you simulate a finite automata
in software?

Tabular DFAs



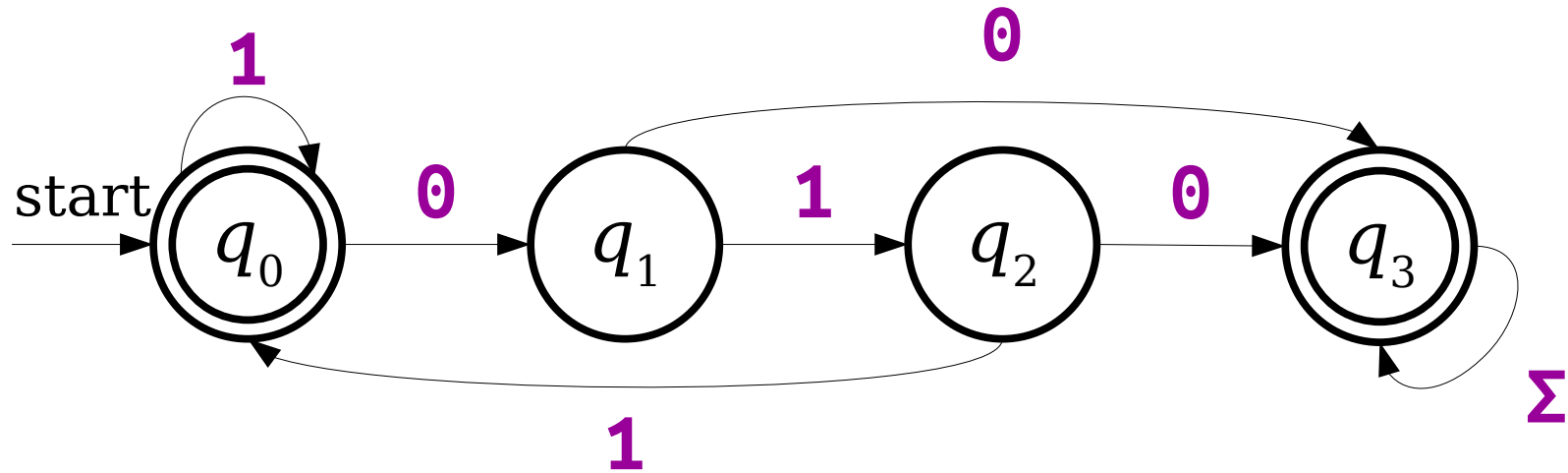
	0	1
q_0		
q_1		
q_2		
q_3		

Tabular DFAs



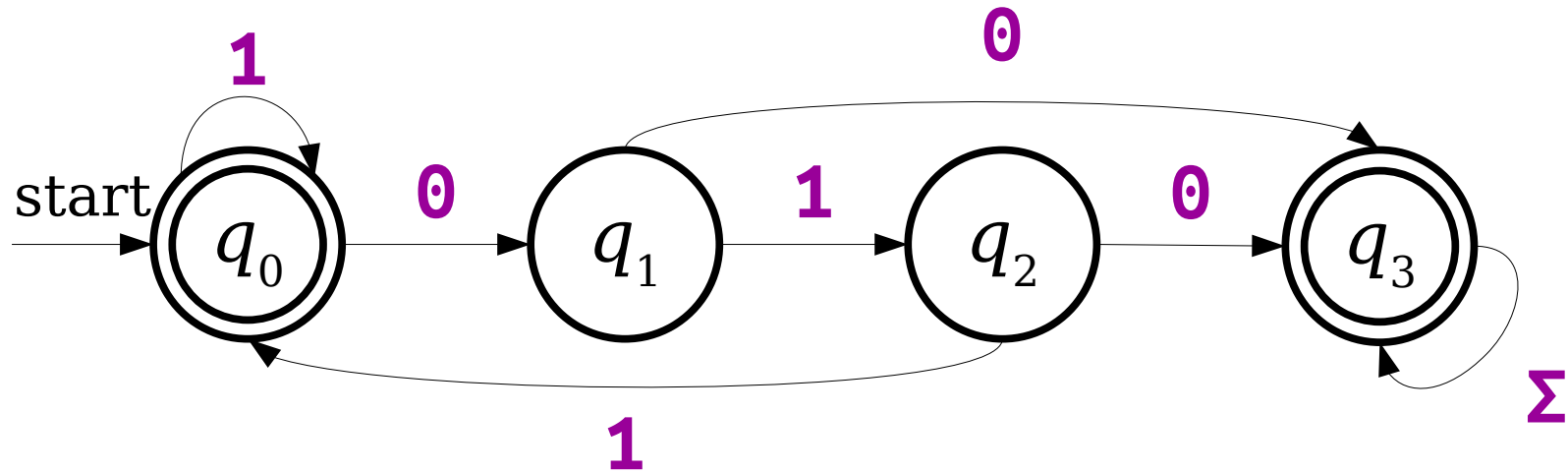
	0	1
q_0	q_1	q_0
q_1	q_3	q_2
q_2	q_3	q_0
q_3	q_3	q_3

Tabular DFAs



	0	1
* q_0	q_1	q_0
q_1	q_3	q_2
q_2	q_3	q_0
* q_3	q_3	q_3

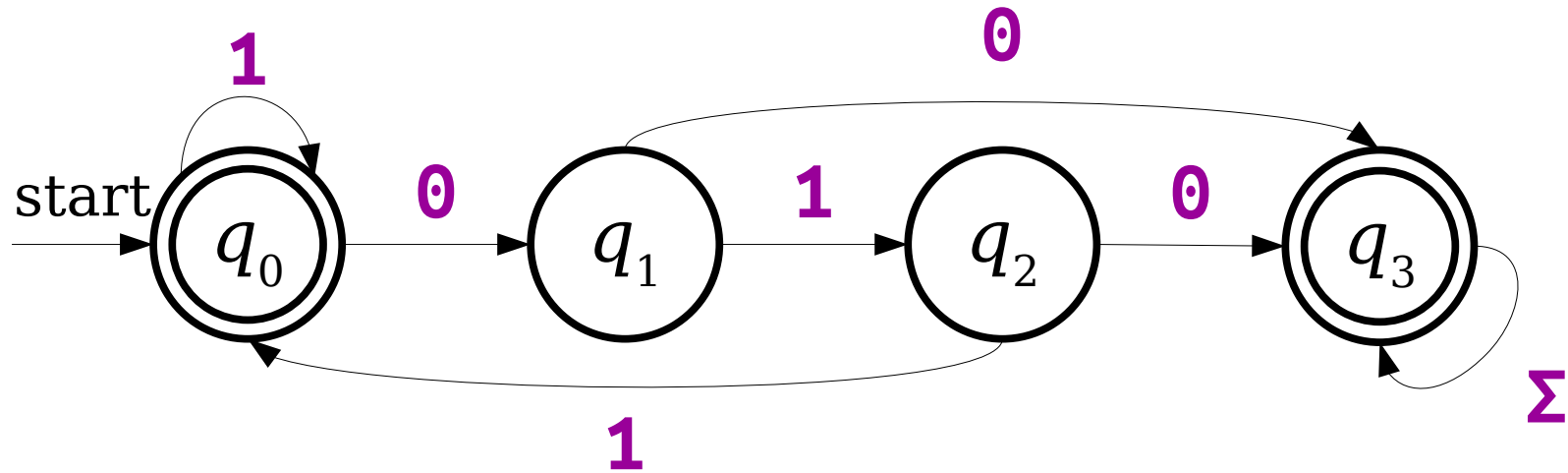
Tabular DFAs



These stars indicate accepting states.

	0	1
* q_0	q_1	q_0
q_1	q_3	q_2
q_2	q_3	q_0
* q_3	q_3	q_3

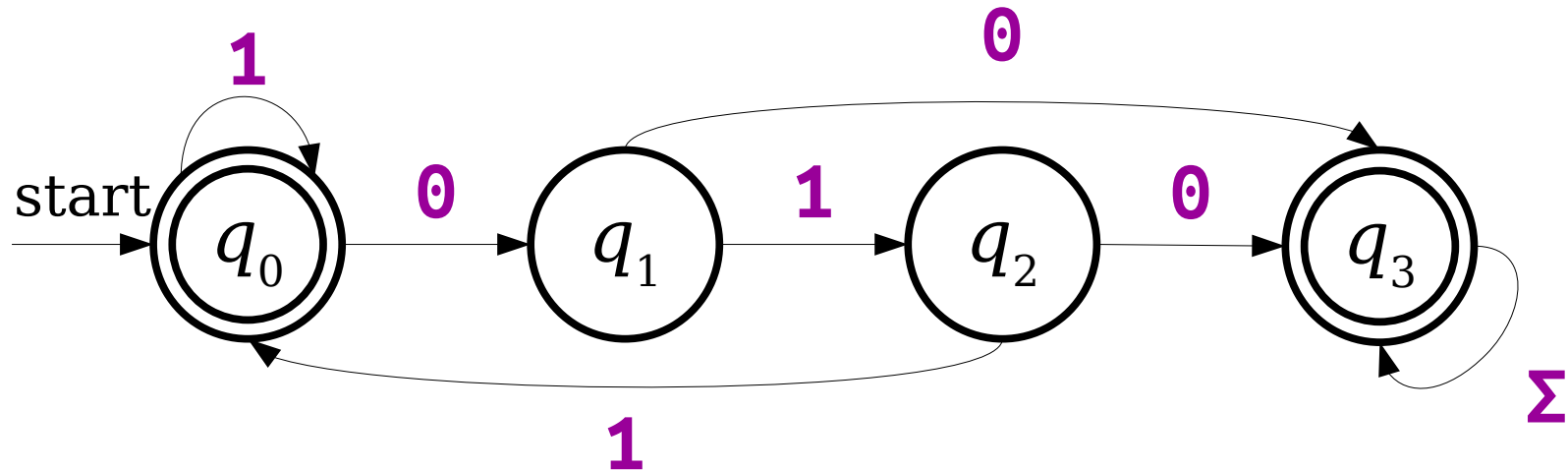
Tabular DFAs



Since this is the first row, it's the start state.

	0	1
* q_0	q_1	q_0
q_1	q_3	q_2
q_2	q_3	q_0
* q_3	q_3	q_3

Tabular DFAs



	0	1
* q_0	q_1	q_0
q_1	q_3	q_2
q_2	q_3	q_0
* q_3	q_3	q_3

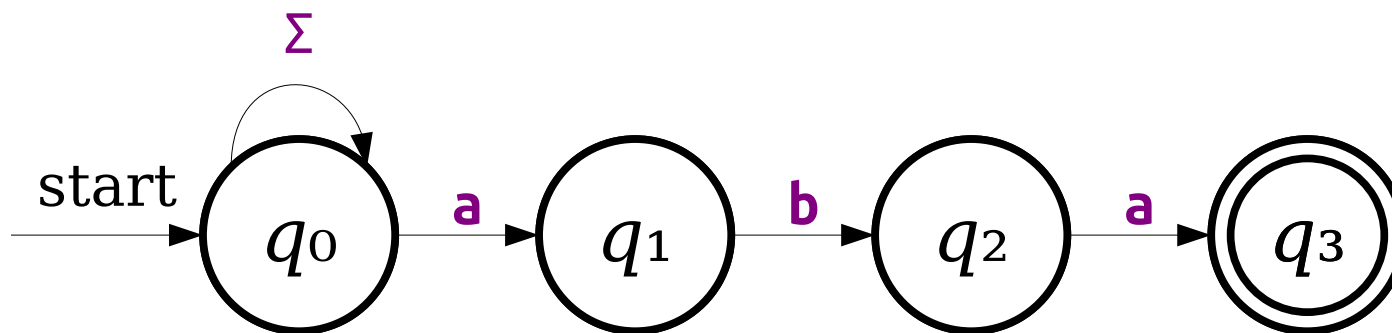
Question to ponder:

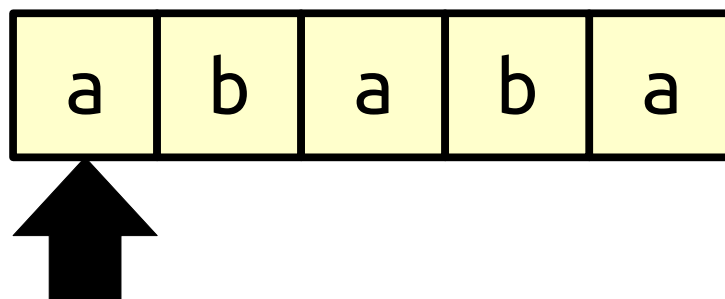
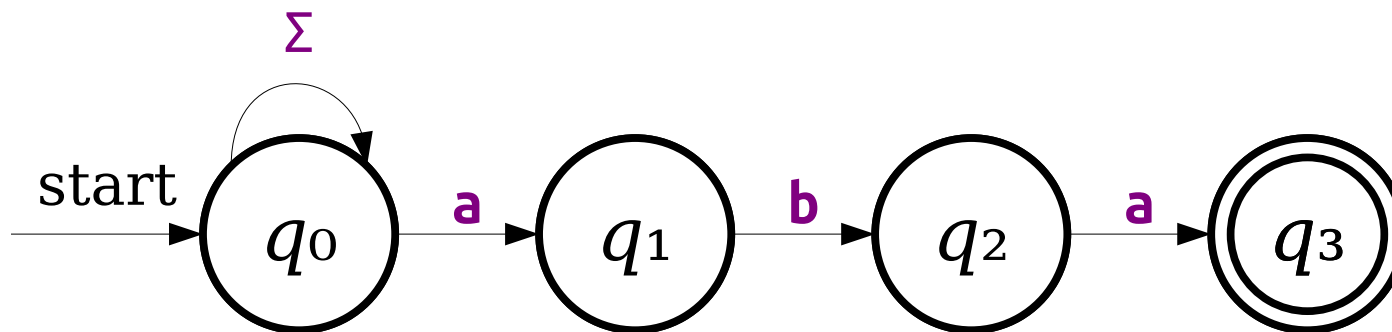
Why isn't there a column here for Σ ?

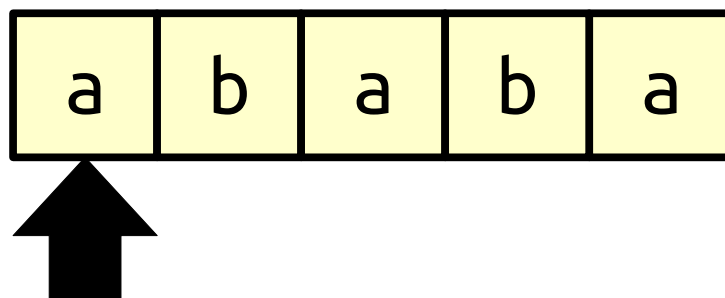
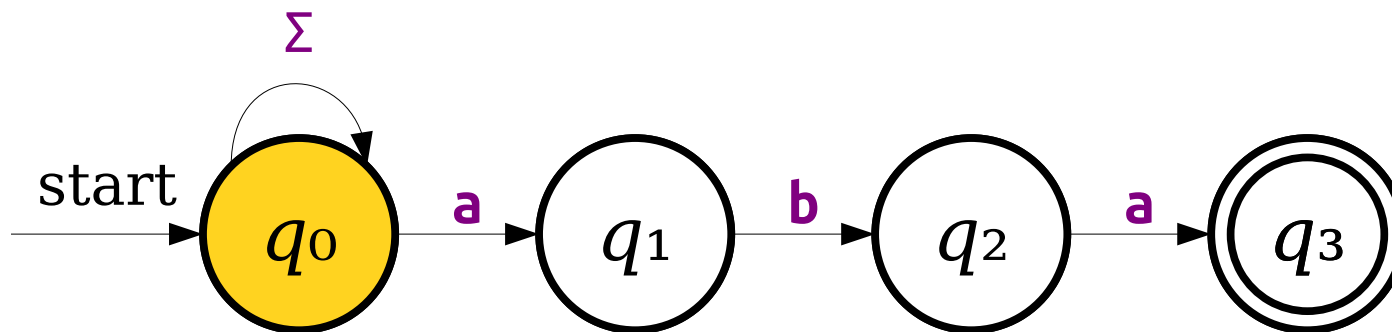
Code? In a Theory Class?

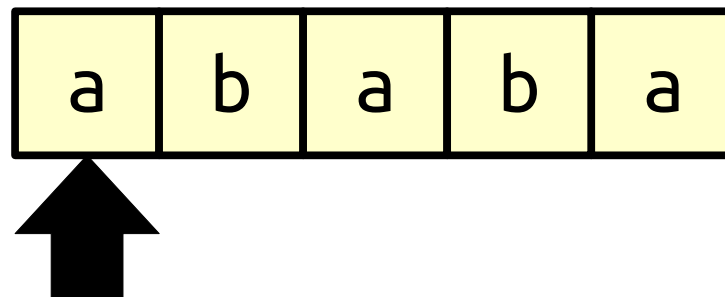
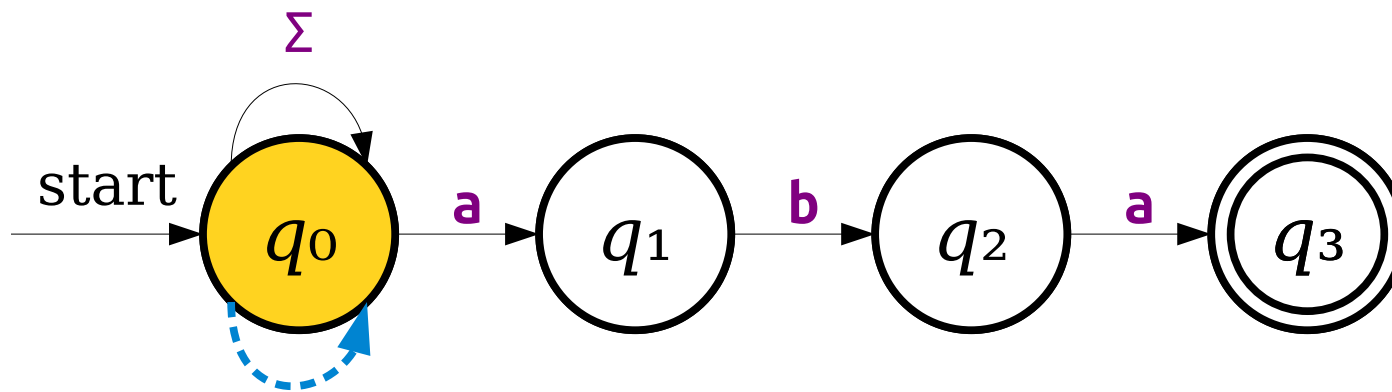
```
int kTransitionTable[kNumStates][kNumSymbols] = {  
    {0, 0, 1, 3, 7, 1, ...},  
    ...  
};  
bool kAcceptTable[kNumStates] = {  
    false,  
    true,  
    true,  
    ...  
};  
bool SimulateDFA(string input) {  
    int state = 0;  
    for (char ch: input) {  
        state = kTransitionTable[state][ch];  
    }  
    return kAcceptTable[state];  
}
```

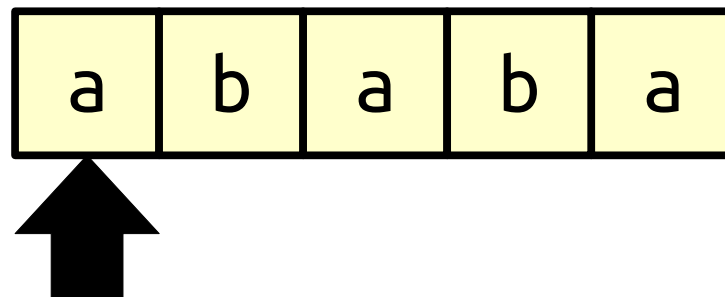
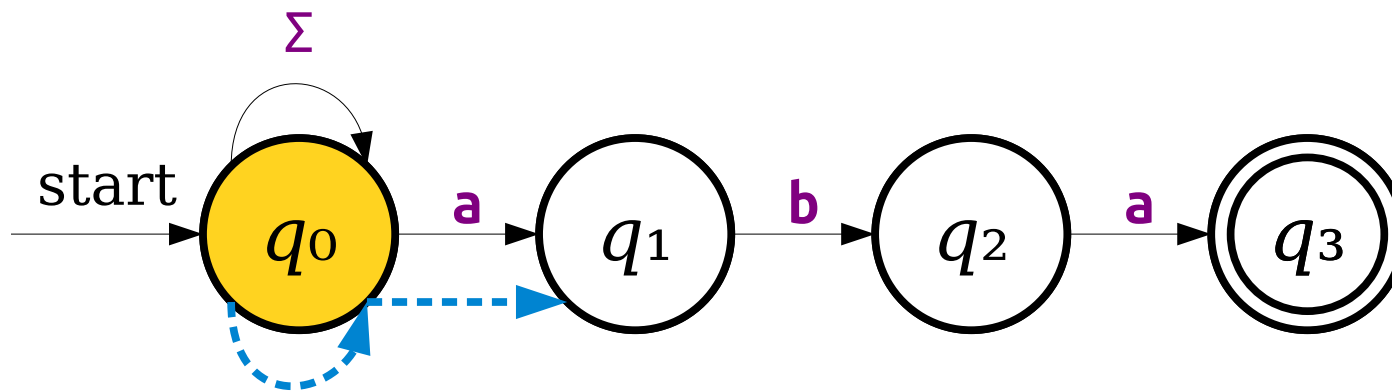
Can we do something similar for NFAs?

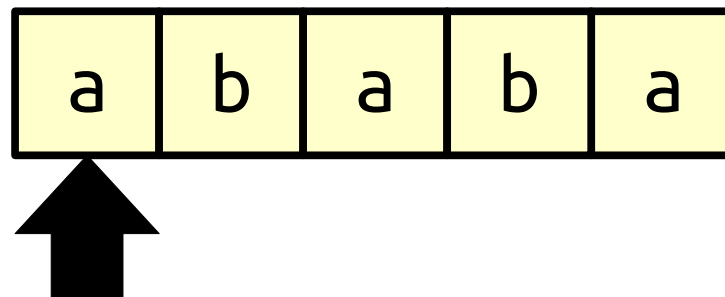
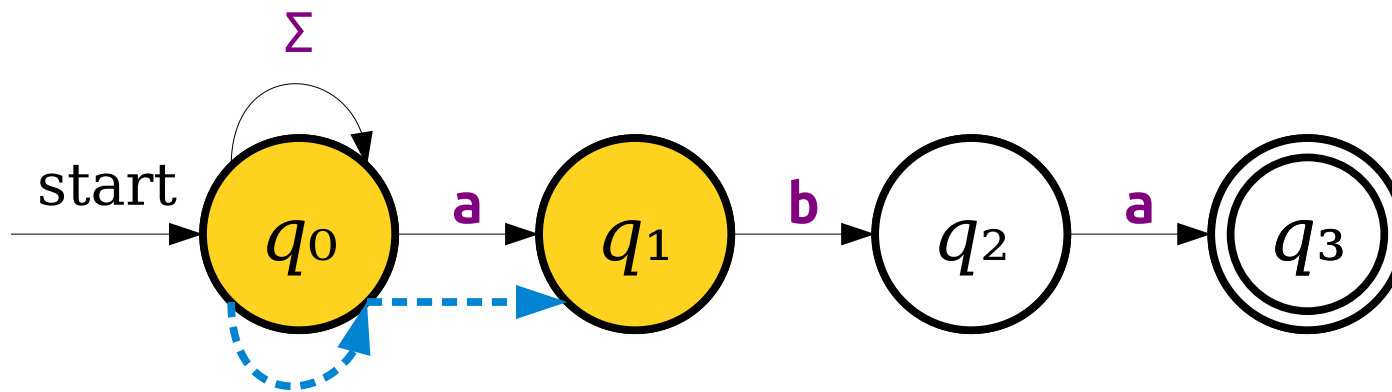


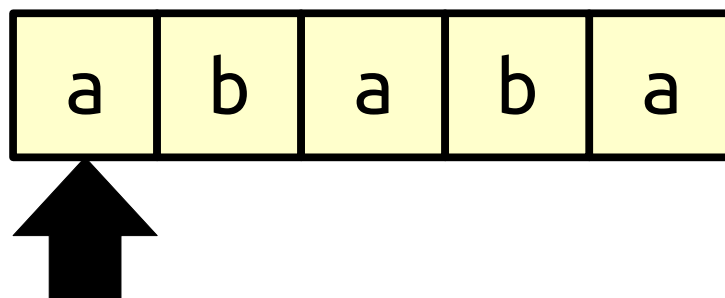
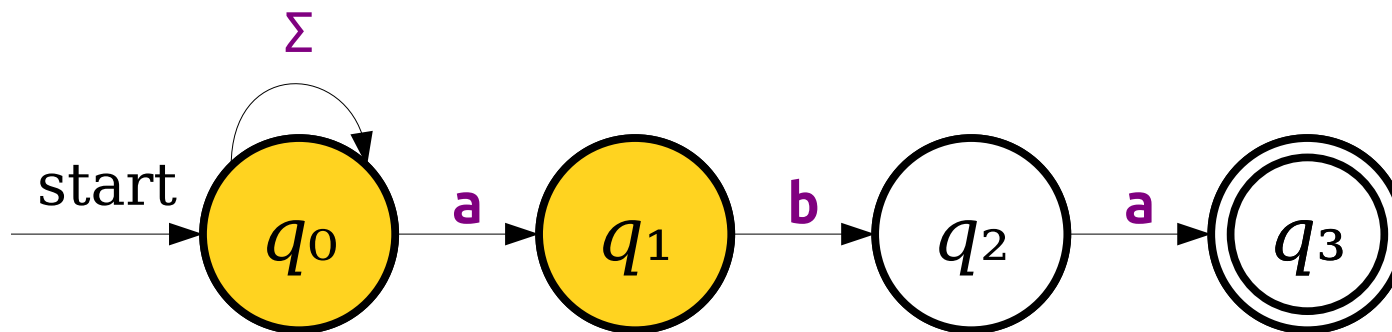


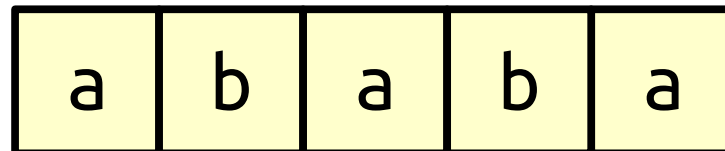
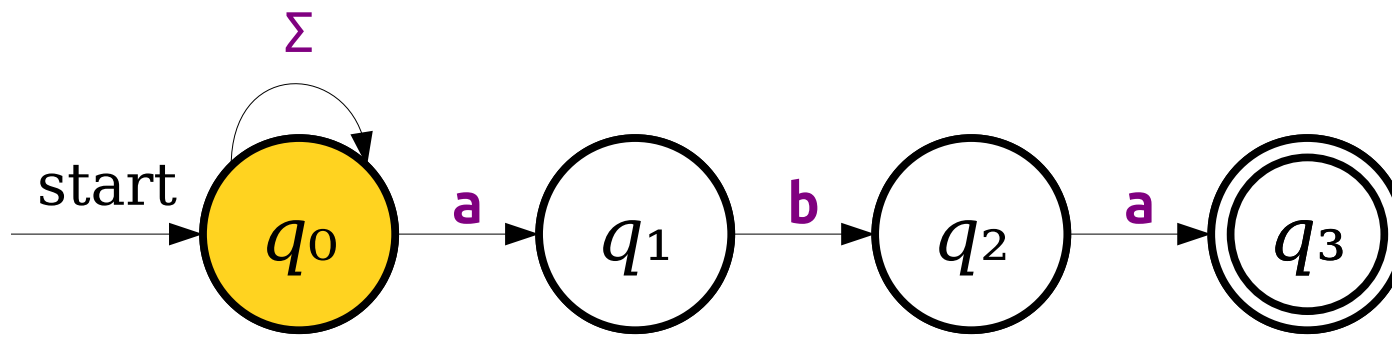


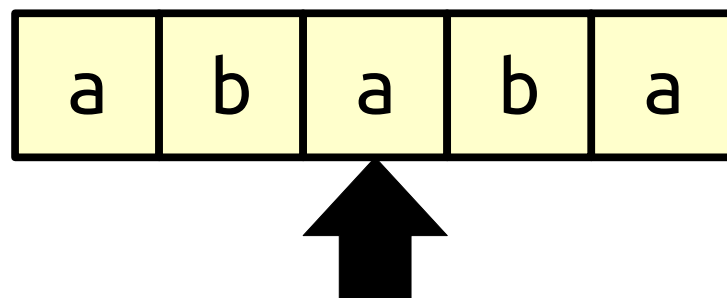
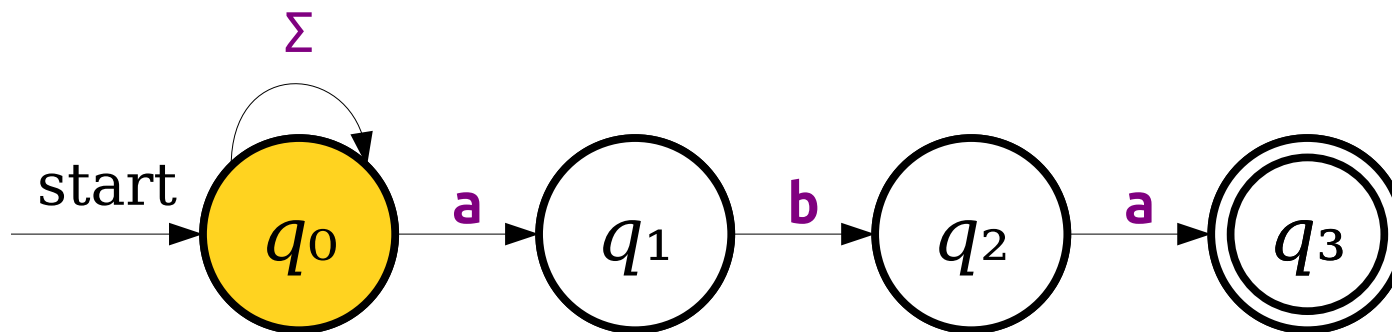


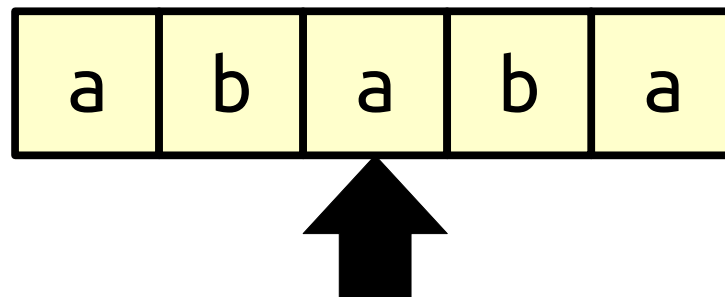
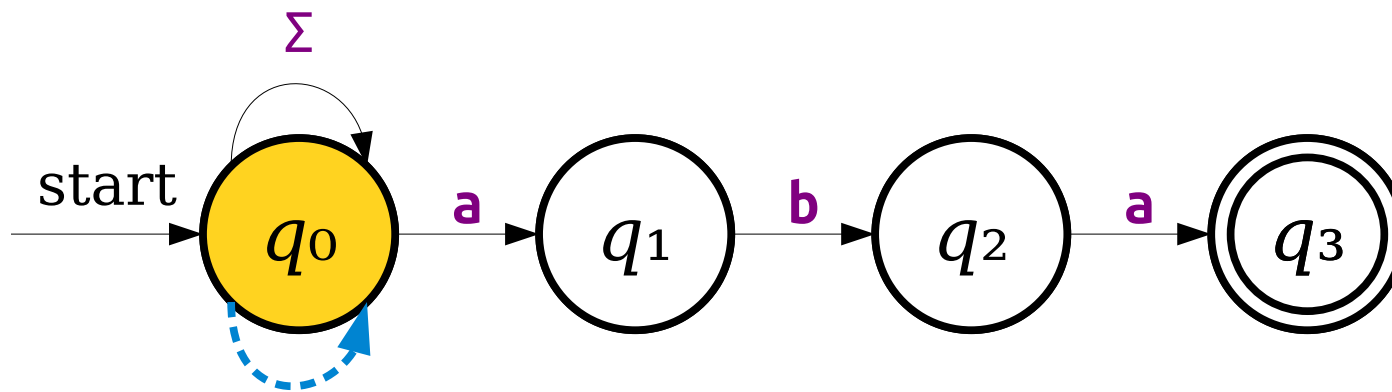


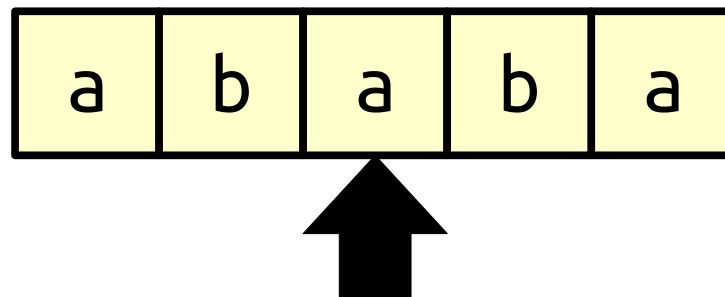
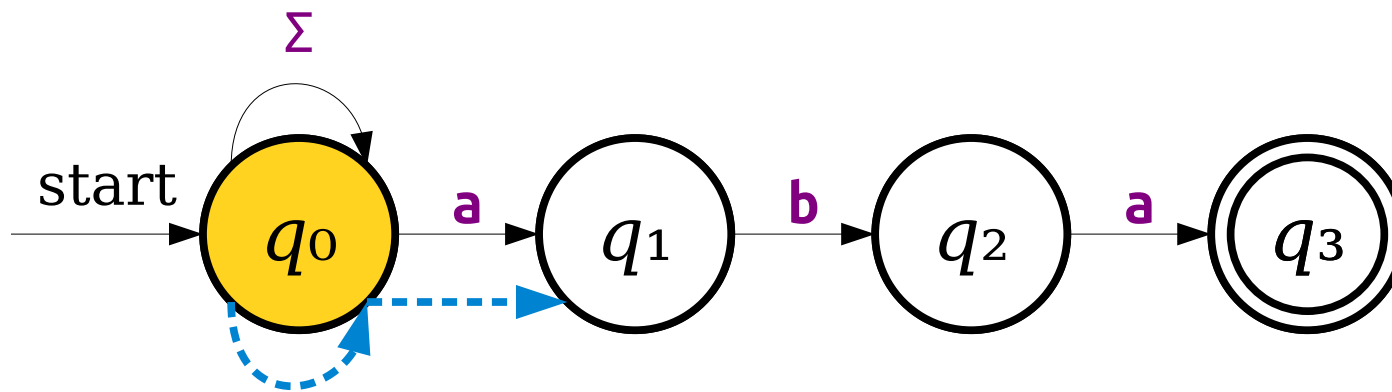


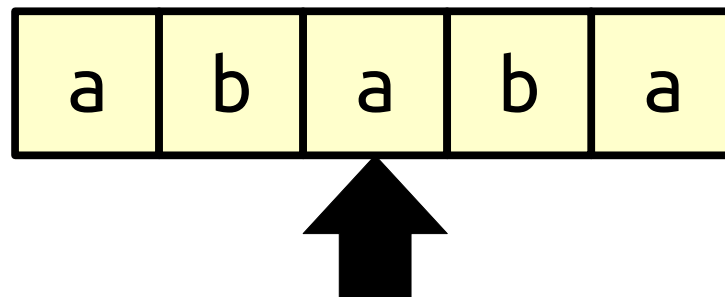
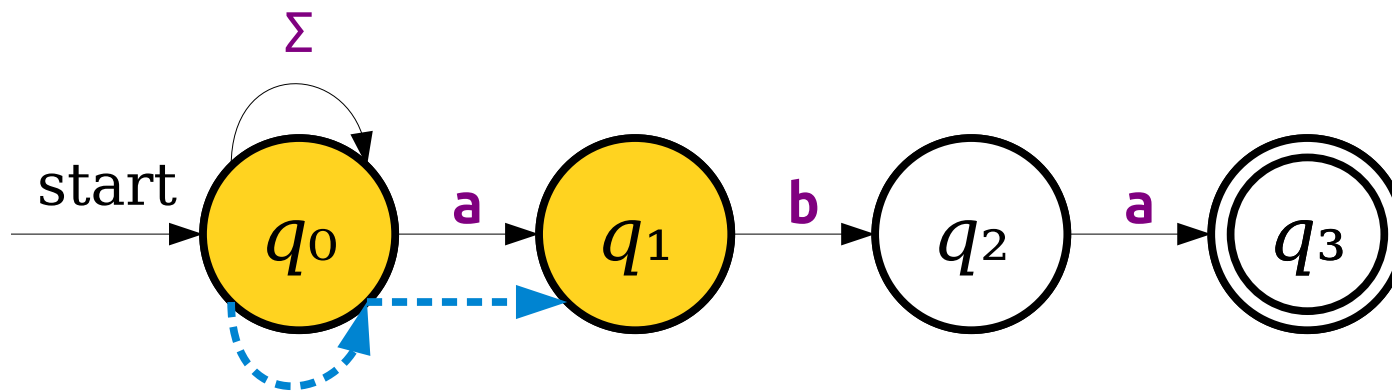


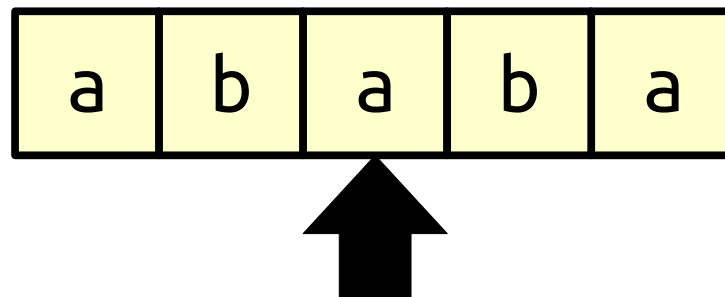
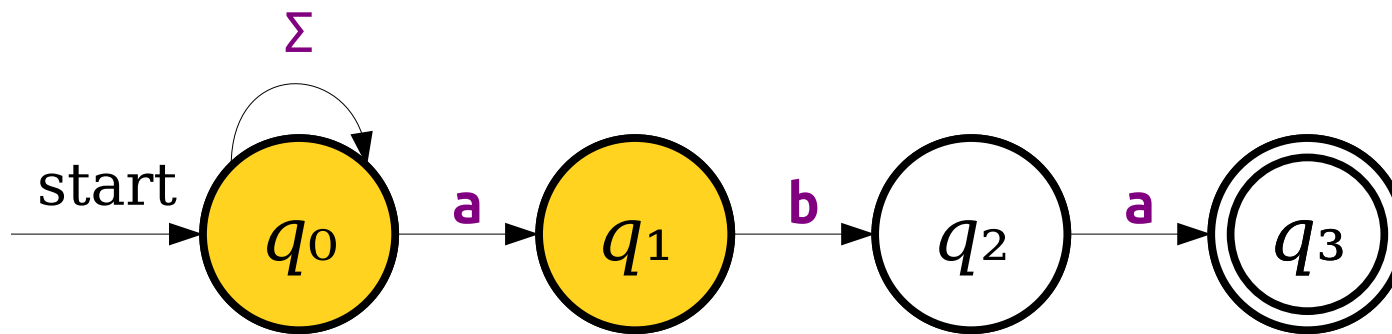


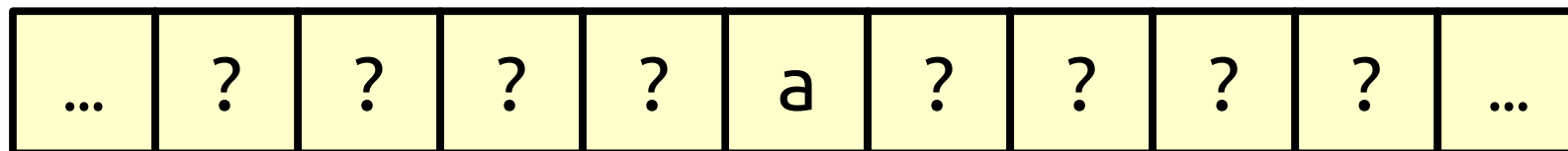
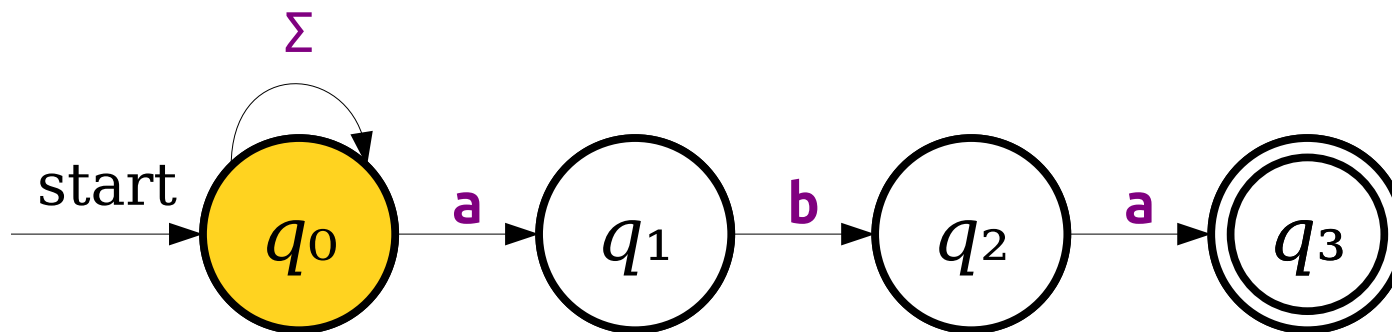


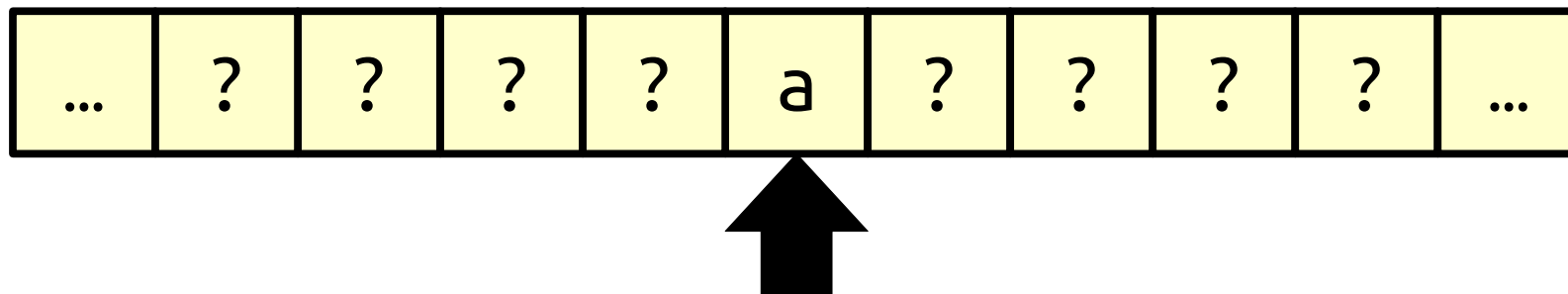
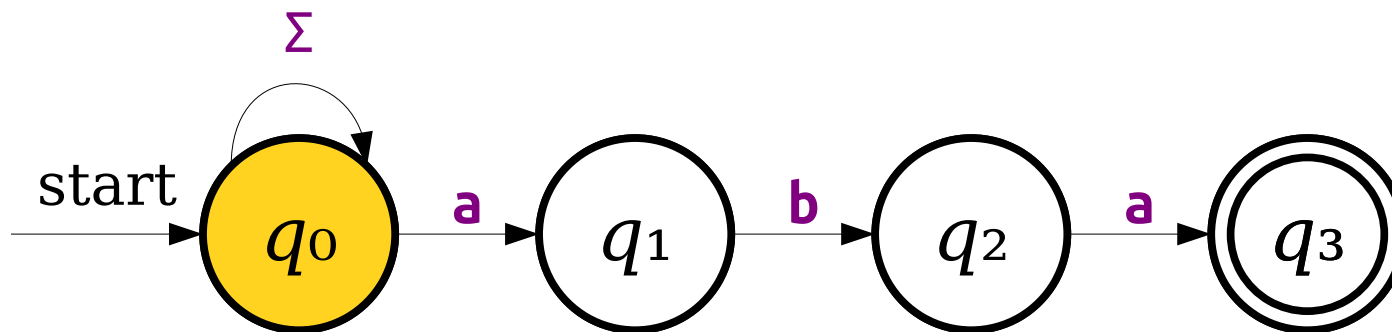


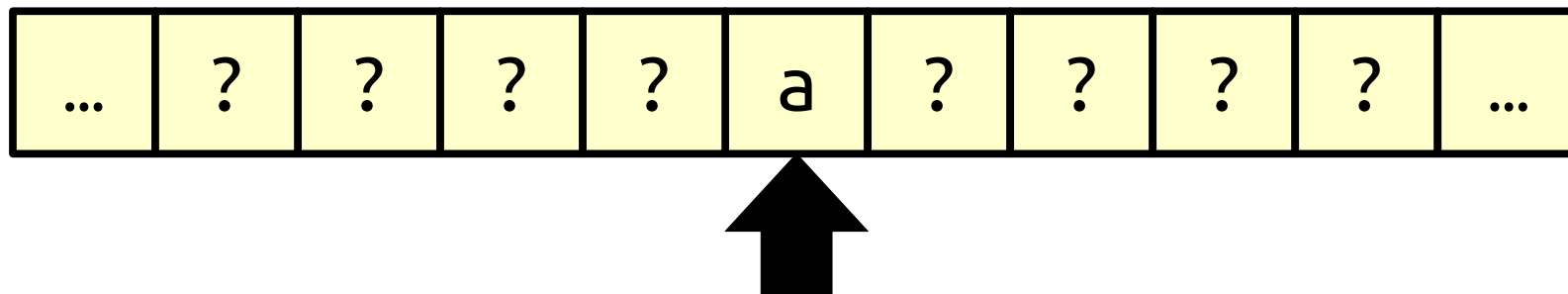
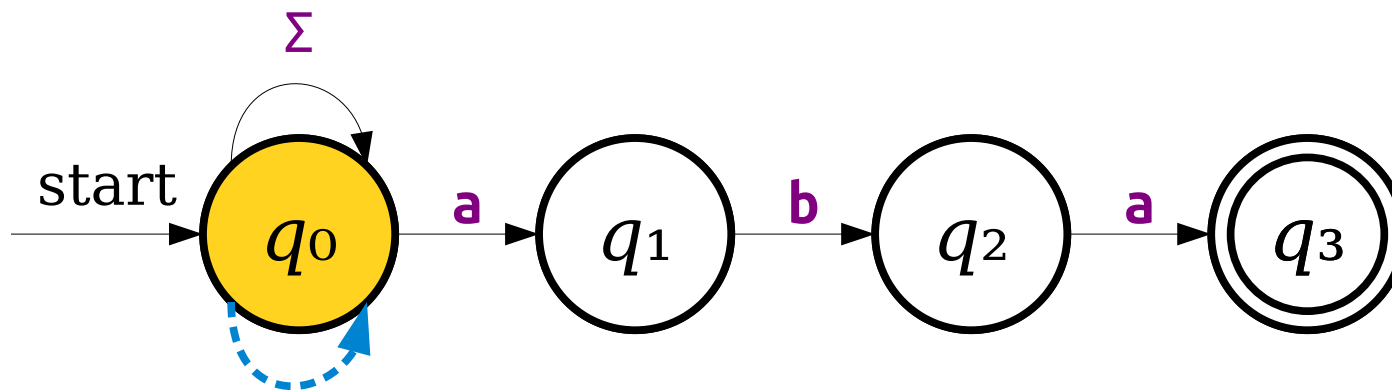


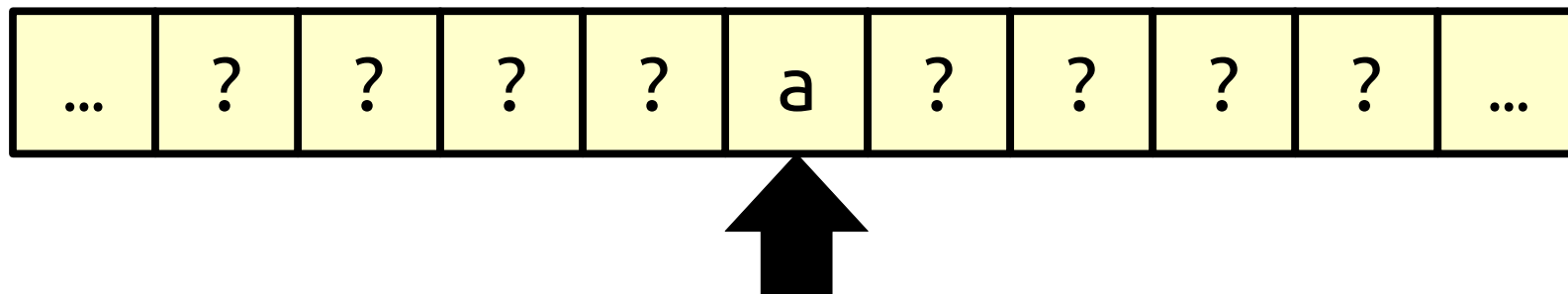
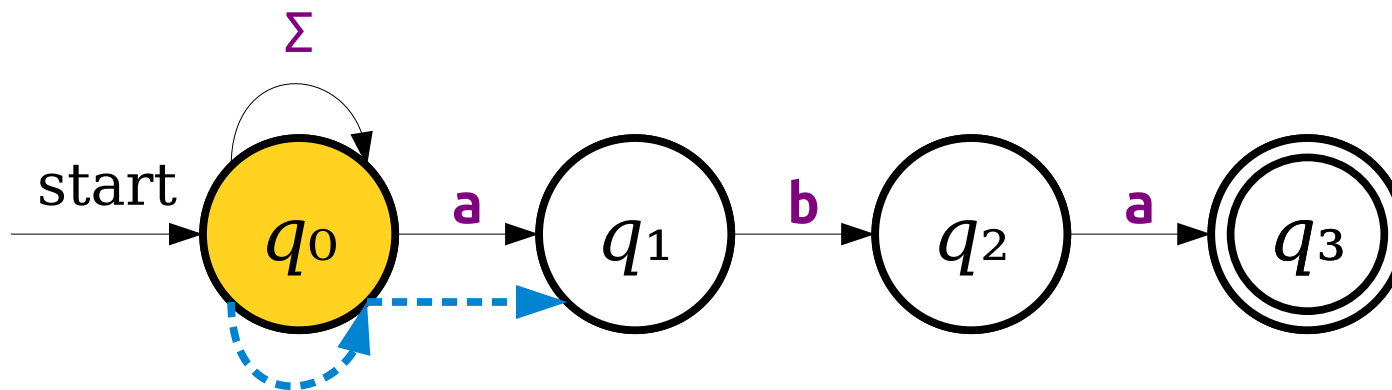


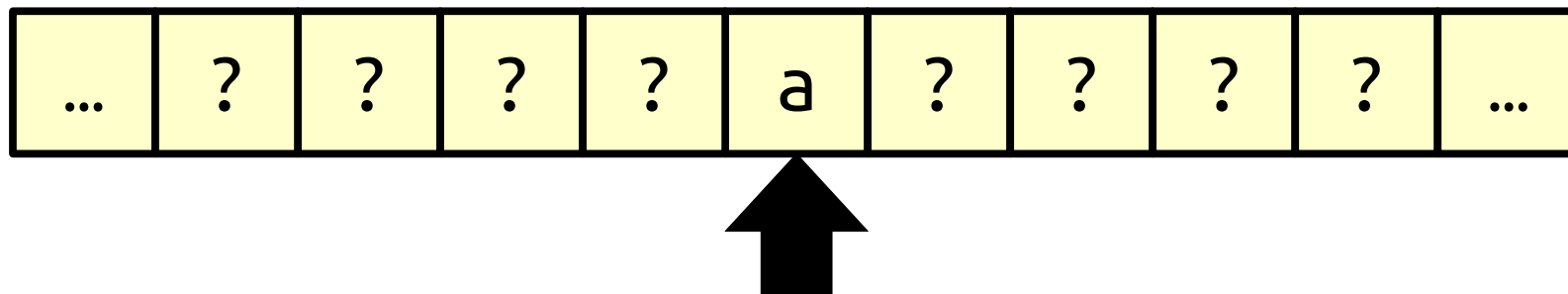
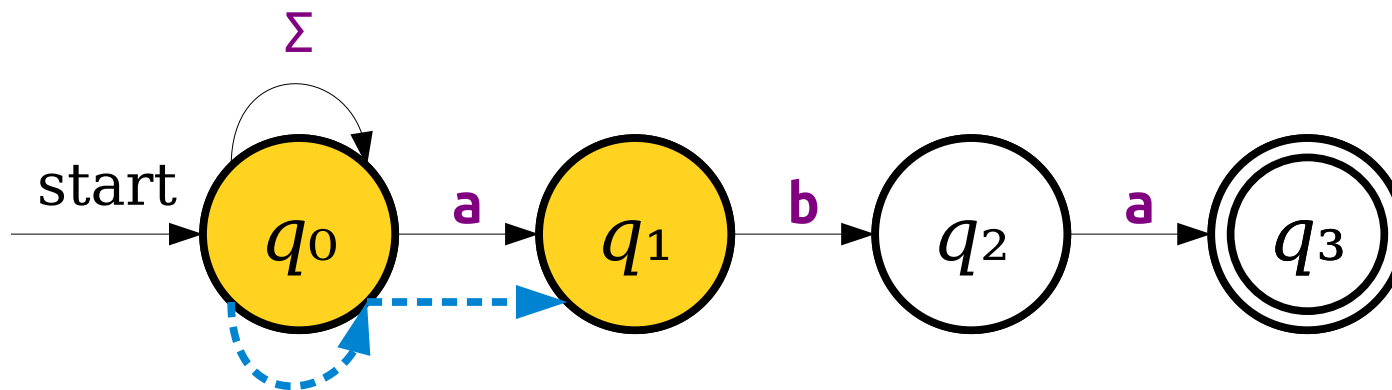


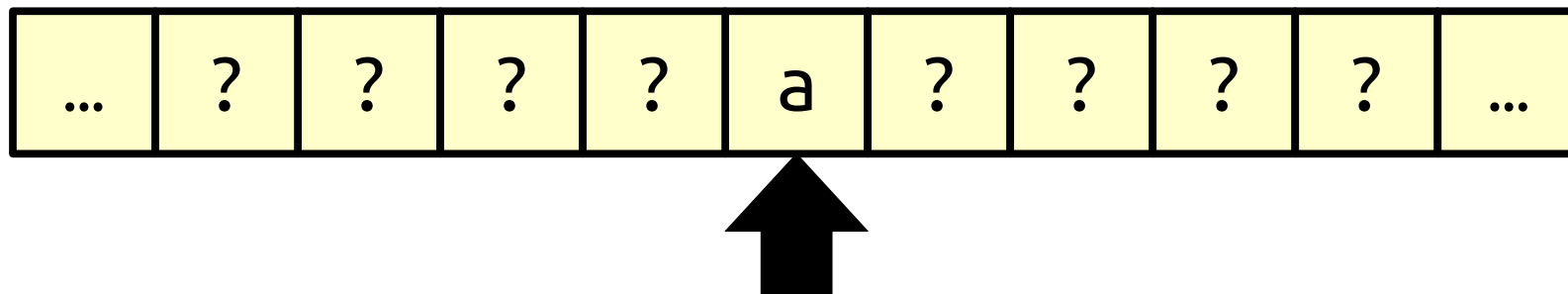
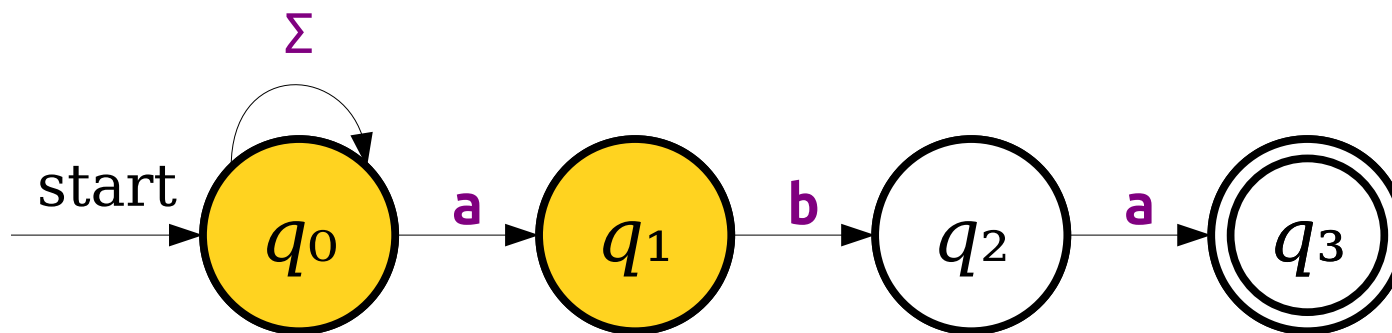


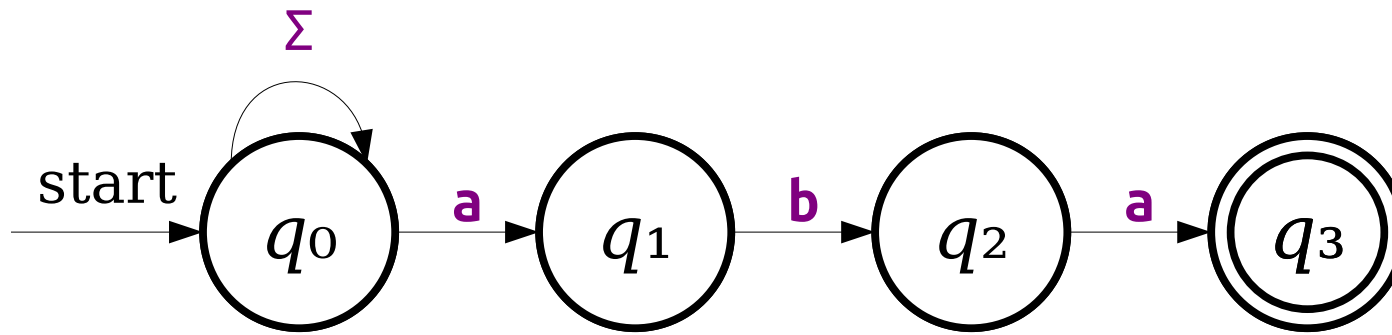




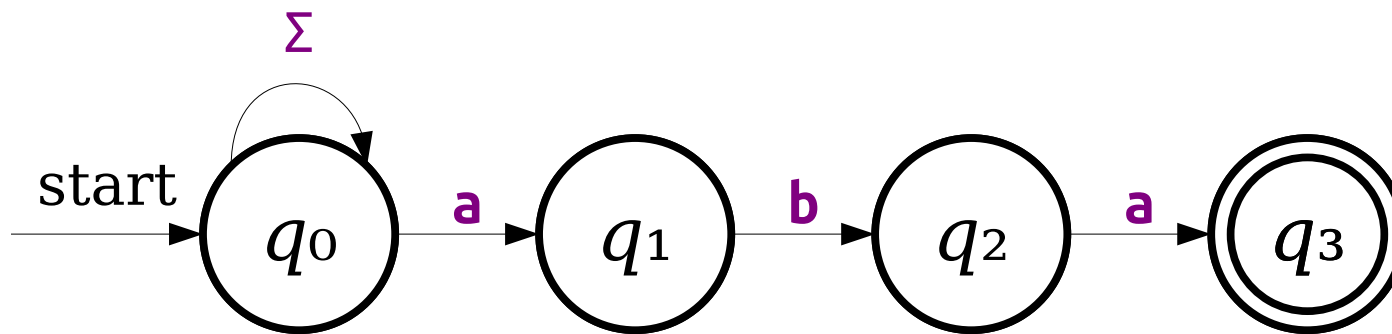




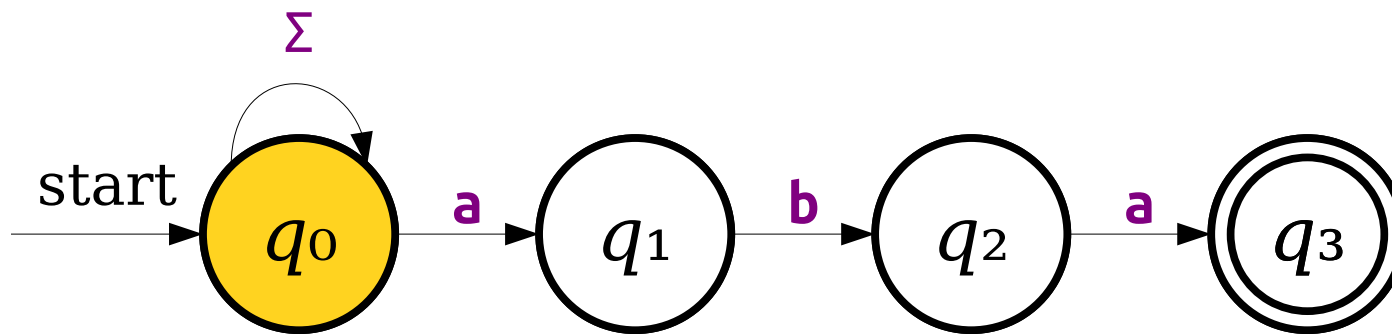




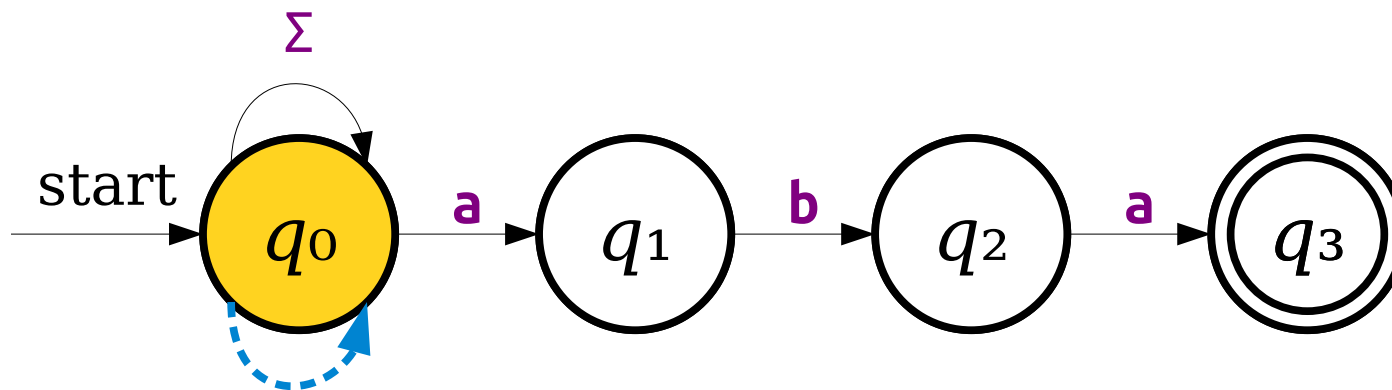
	a
$\{q_0\}$	$\{q_0, q_1\}$



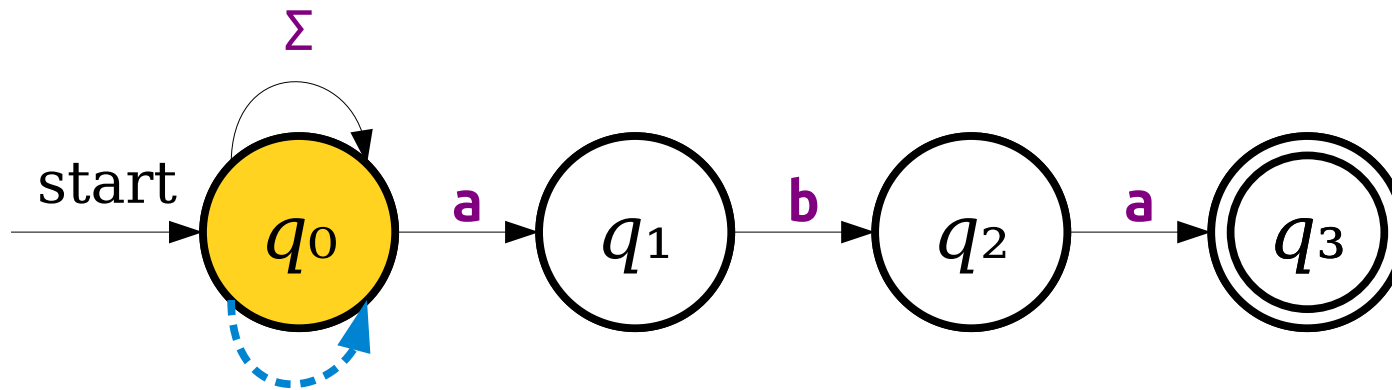
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	



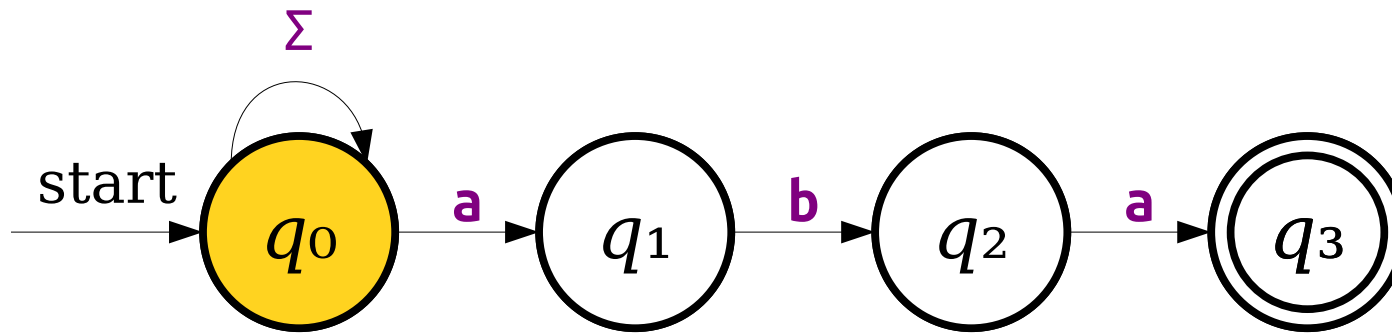
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	



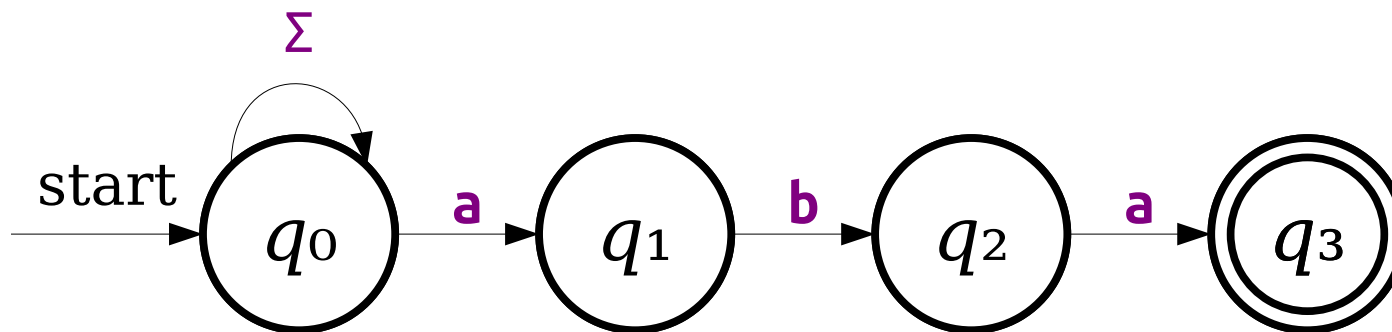
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	



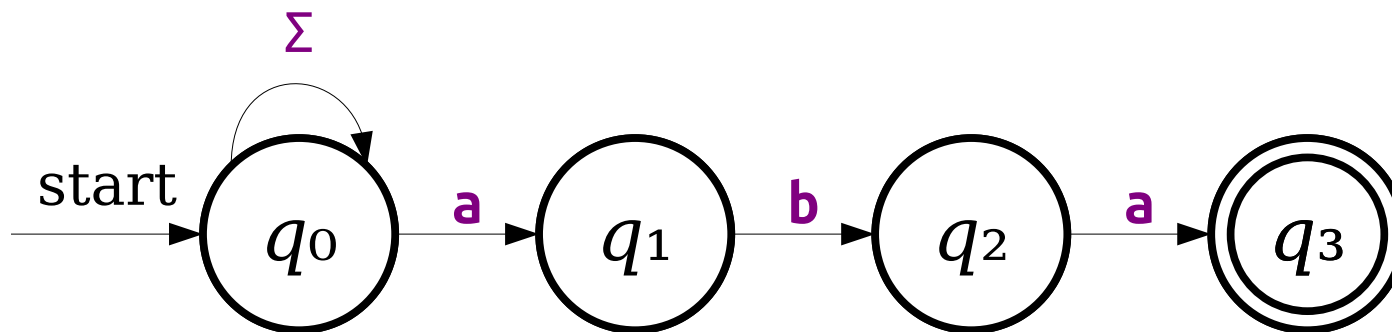
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$



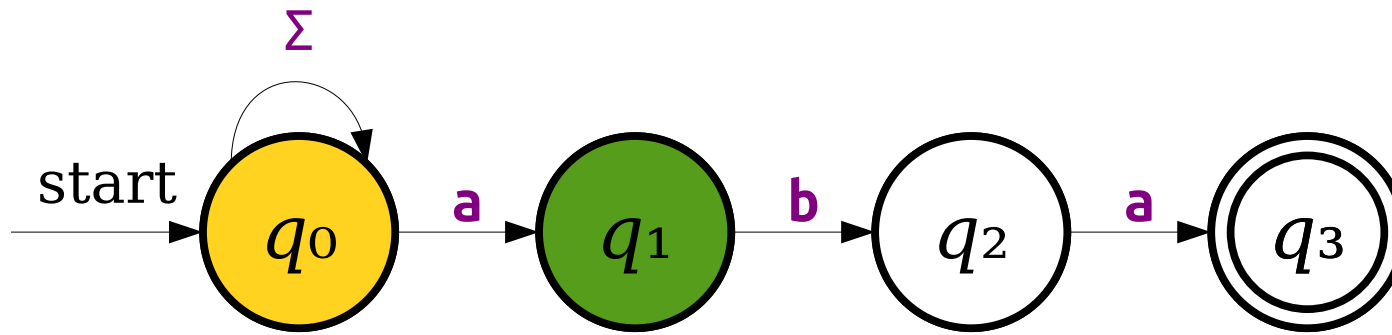
	a	b
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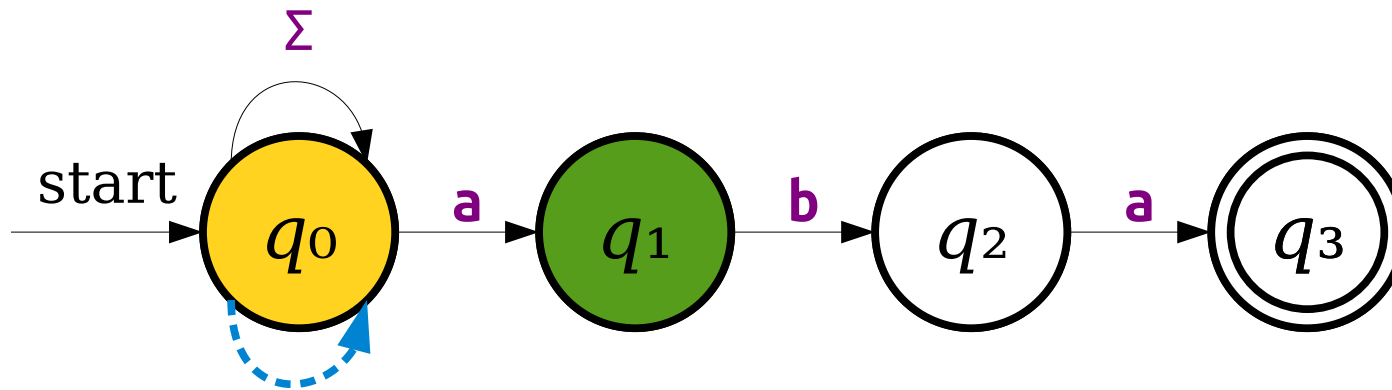
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$



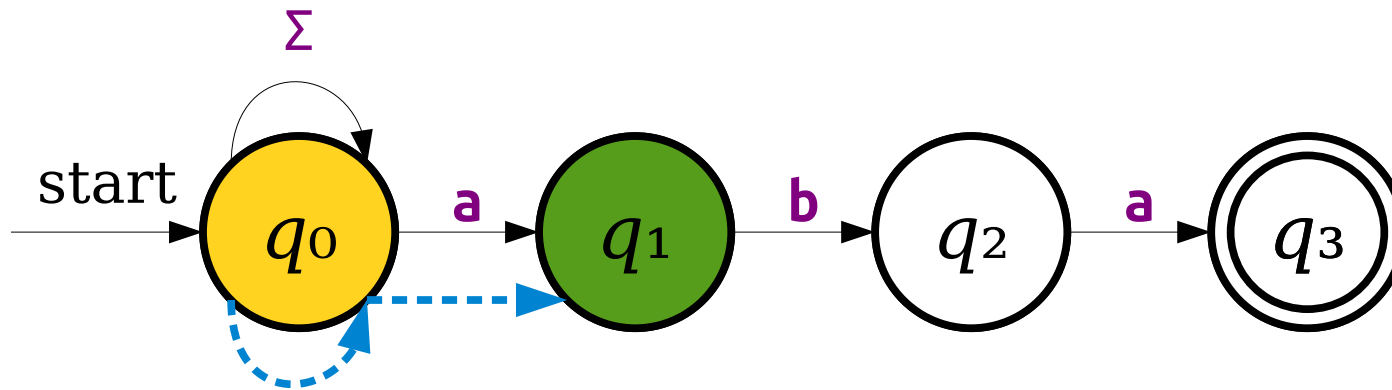
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$		



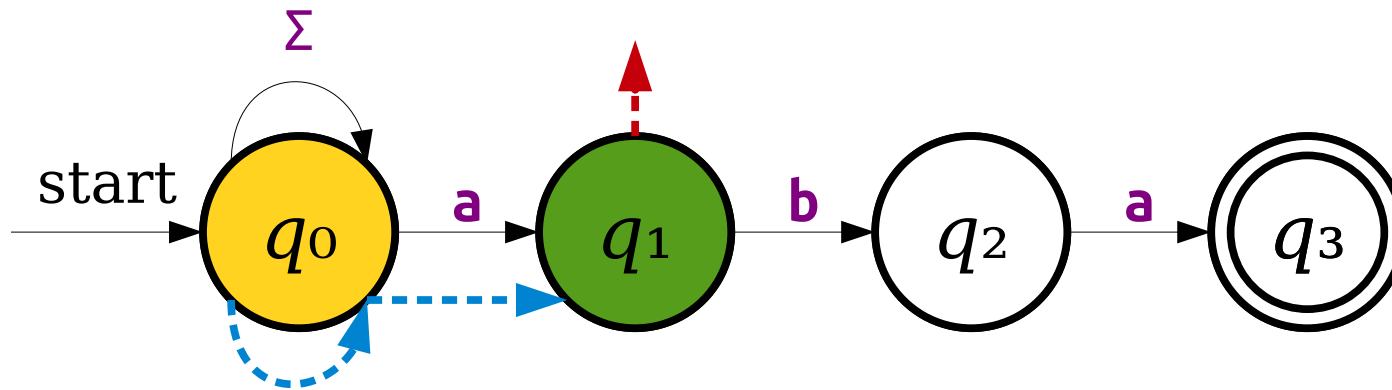
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$		



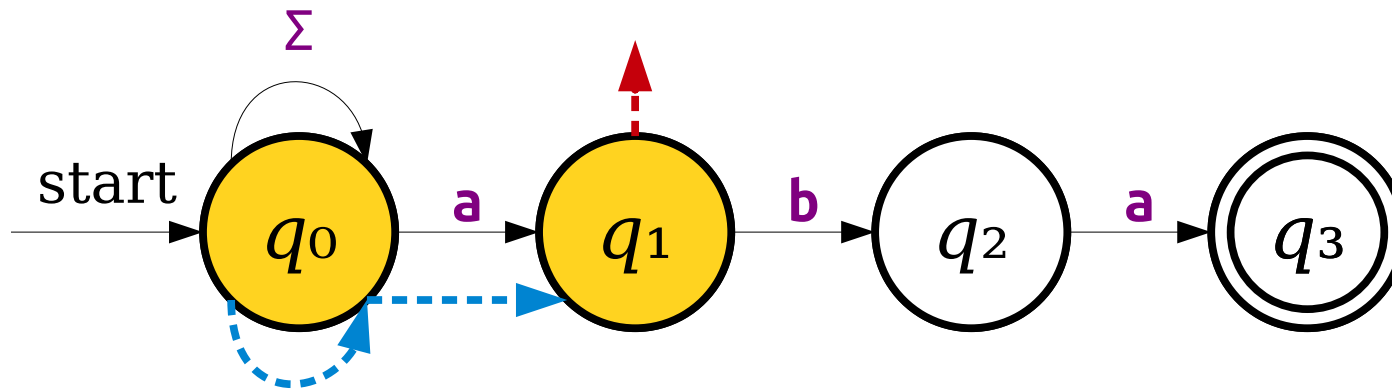
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$		



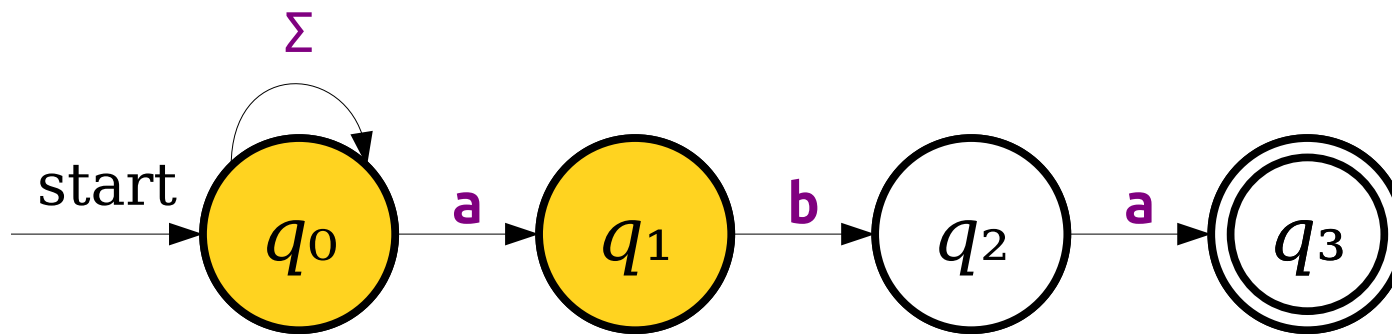
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$		



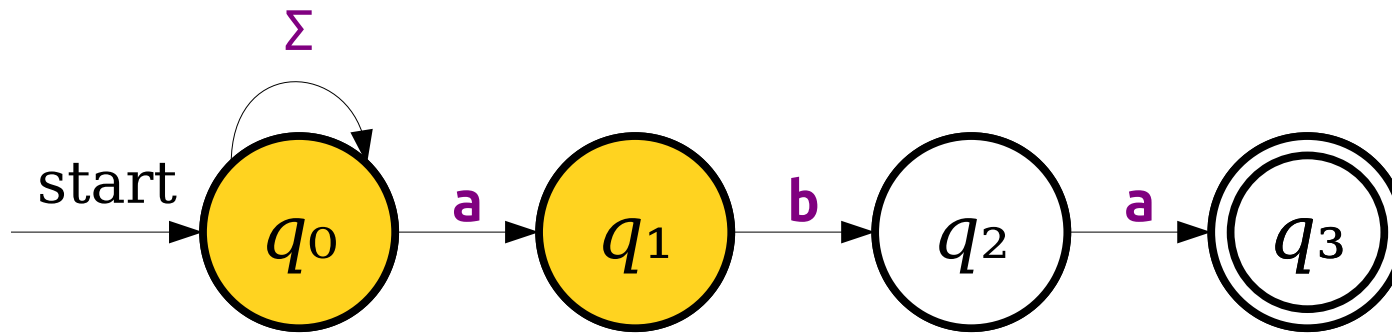
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$		



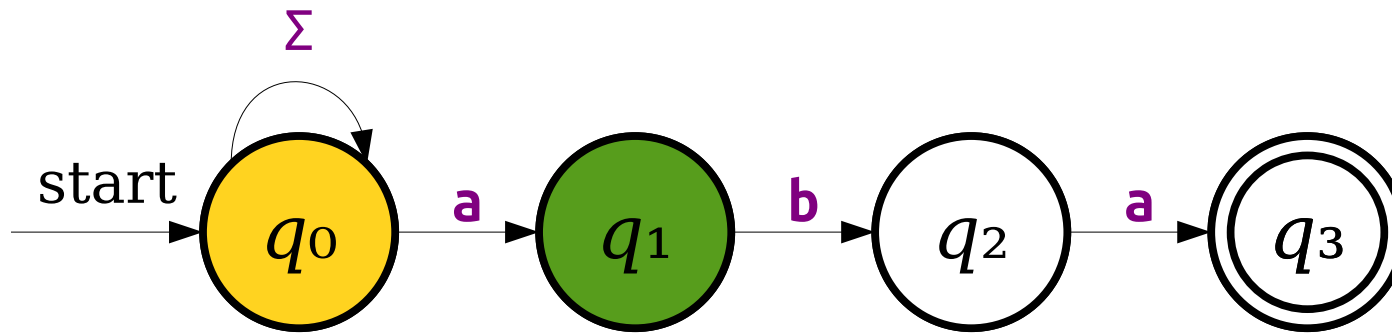
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$		



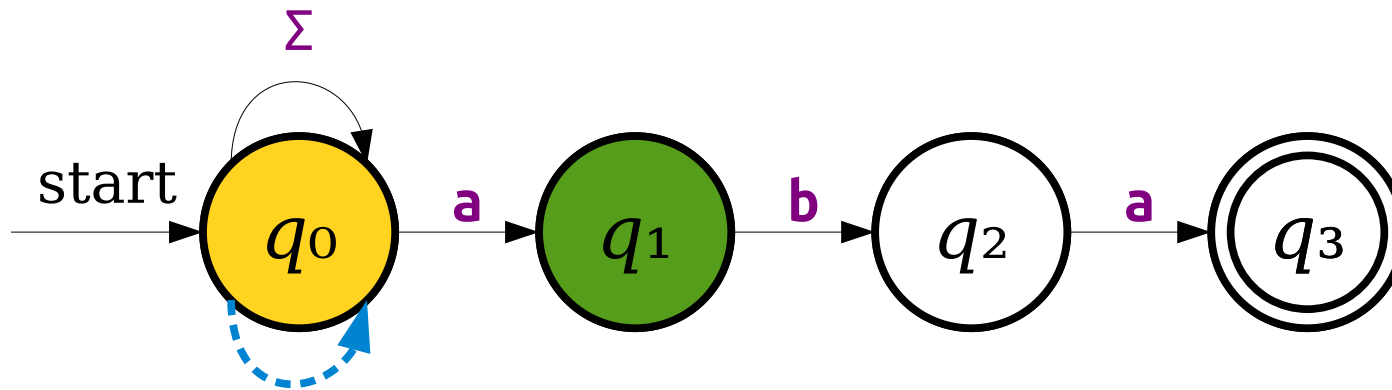
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$		



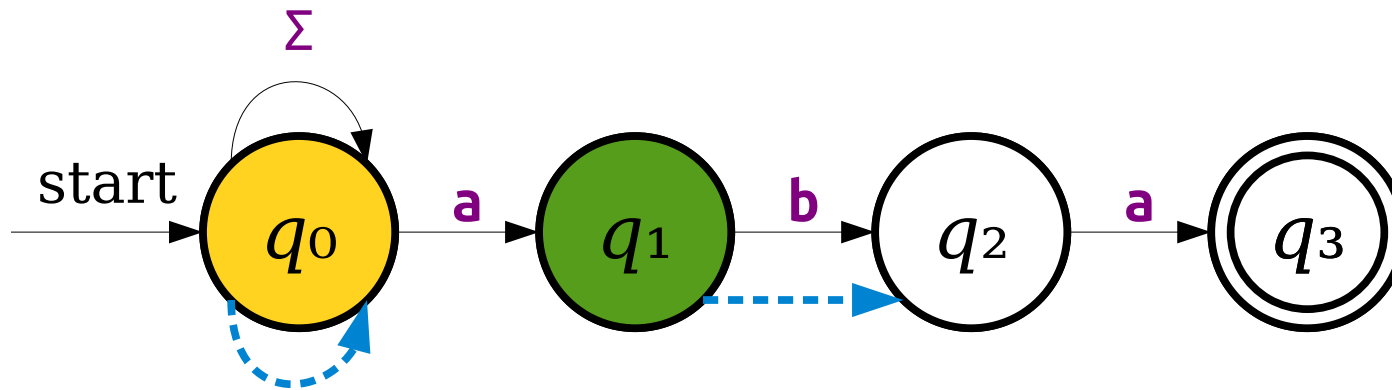
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	



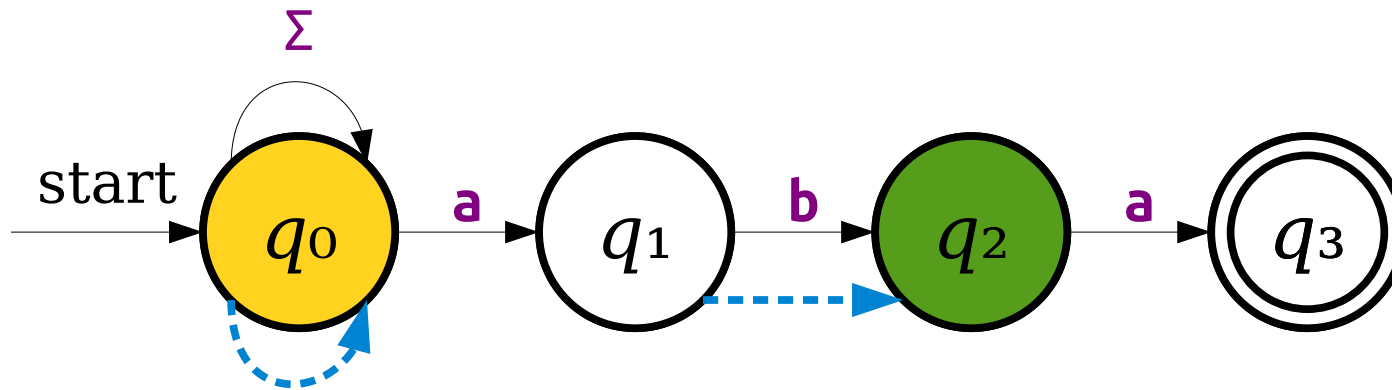
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	



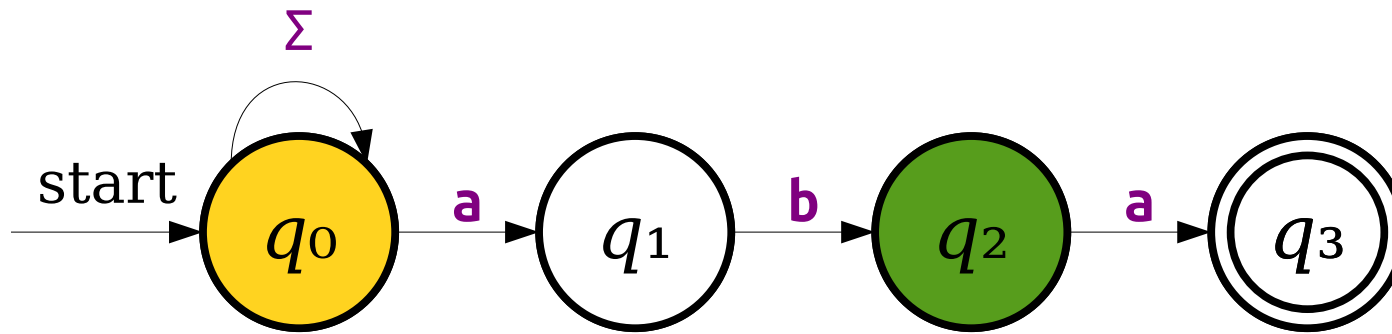
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	



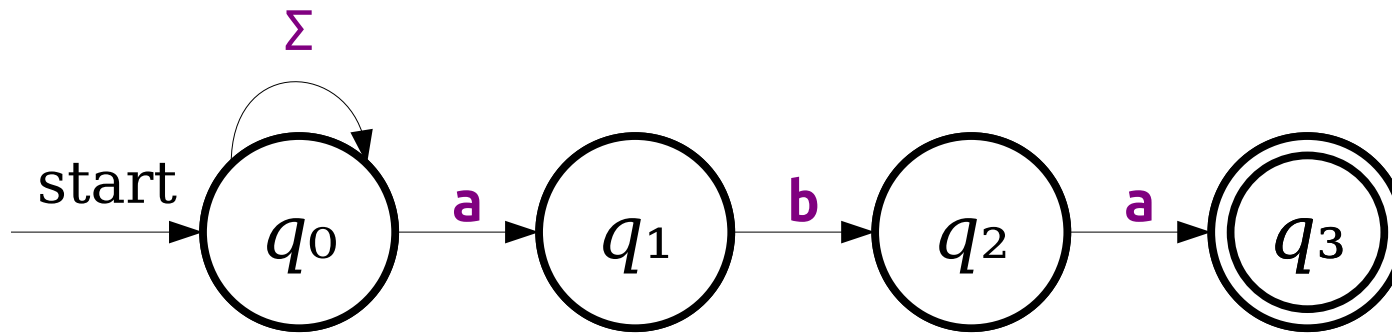
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	



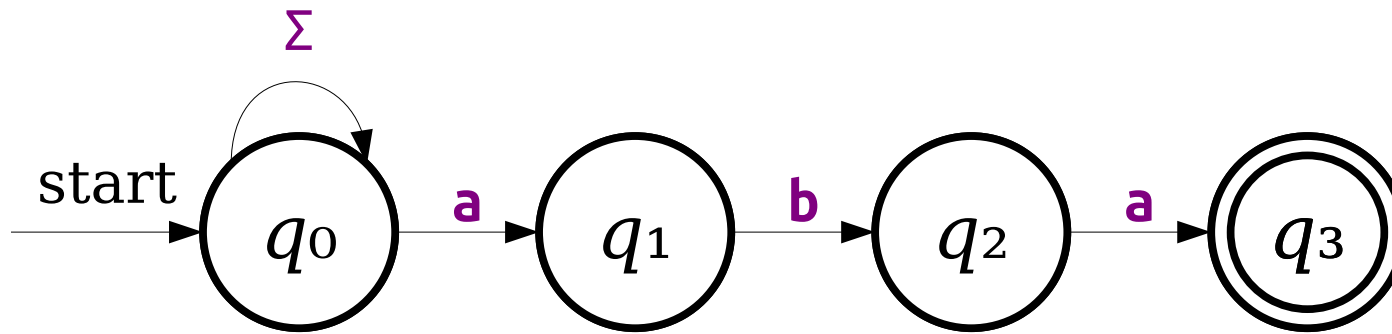
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	



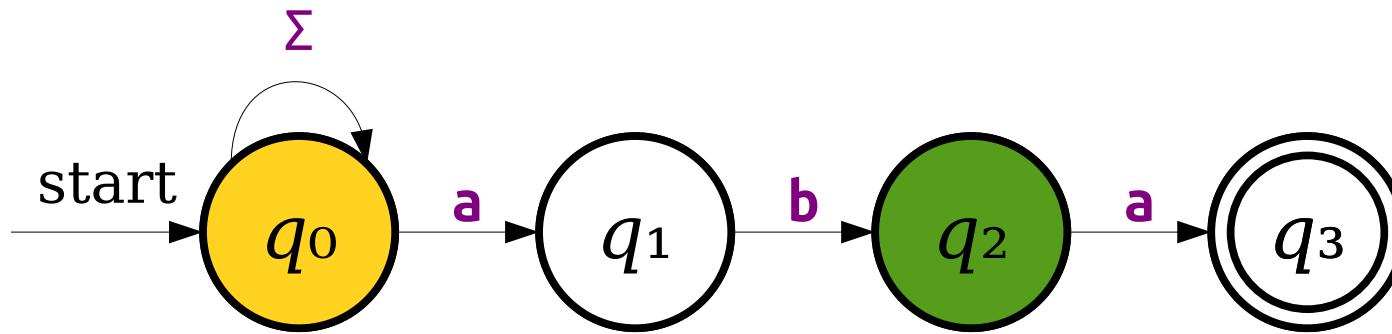
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$



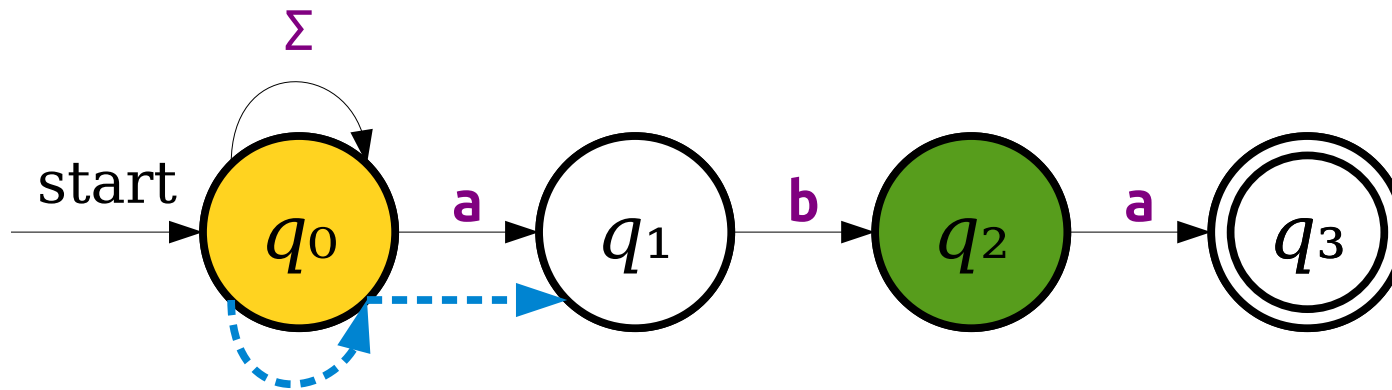
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$



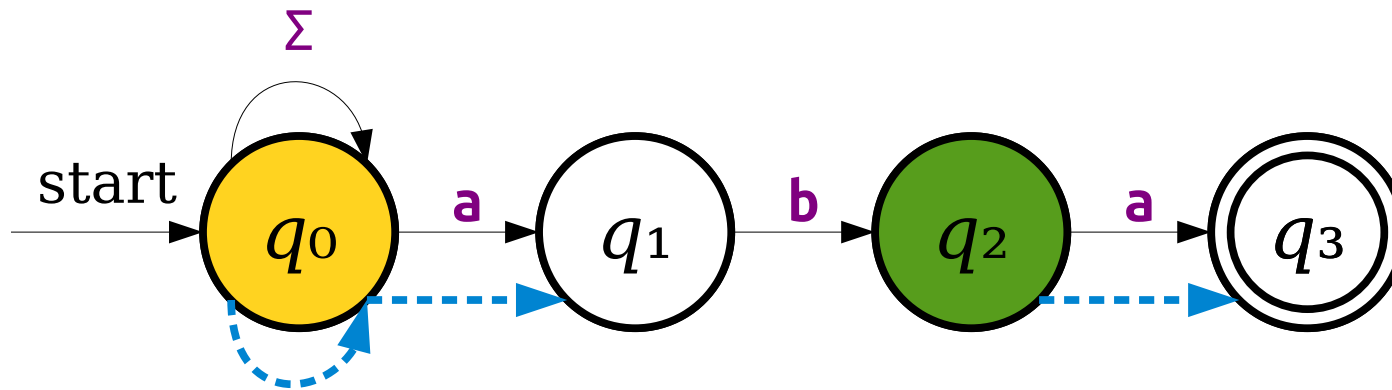
	<i>a</i>	<i>b</i>
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
$\{q_0, q_2\}$		



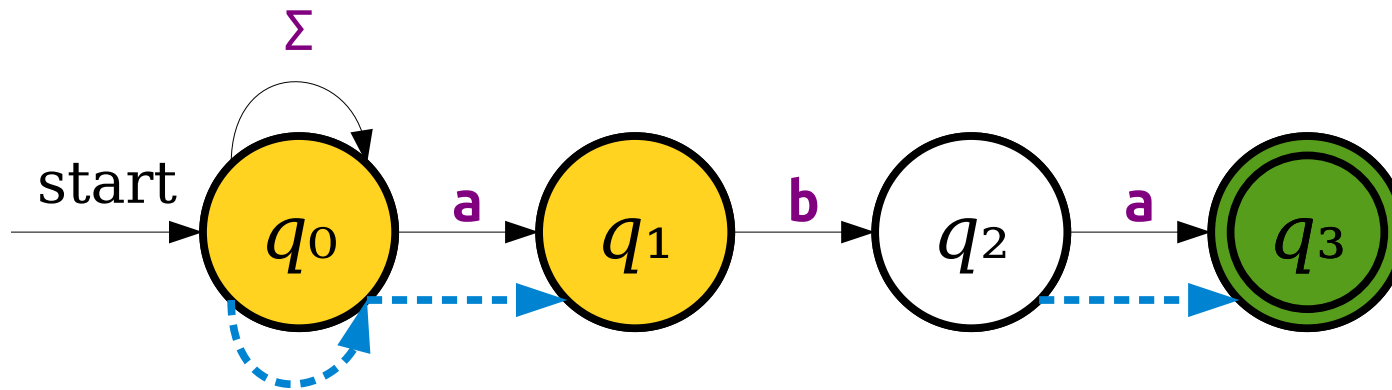
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
$\{q_0, q_2\}$		



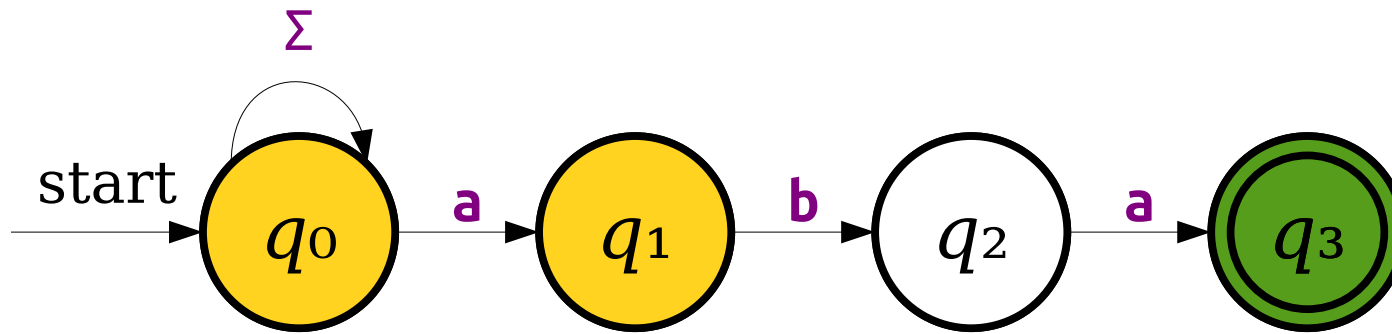
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
$\{q_0, q_2\}$		



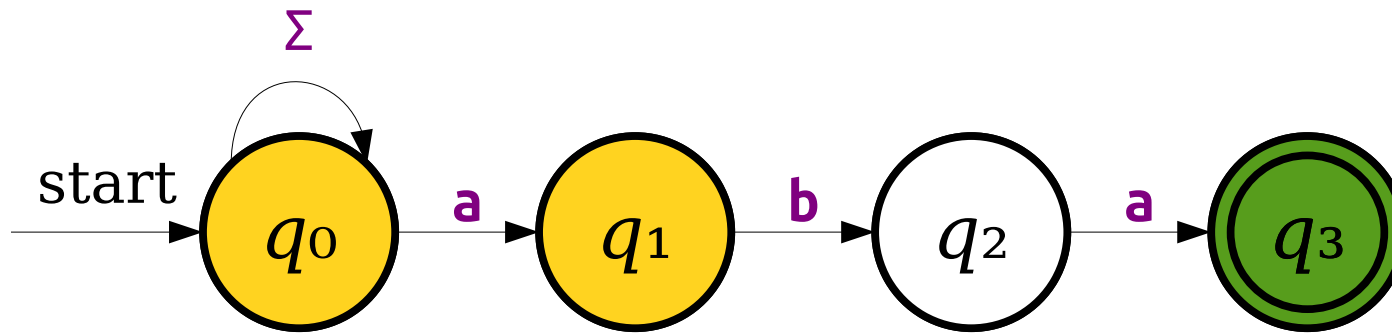
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
$\{q_0, q_2\}$		



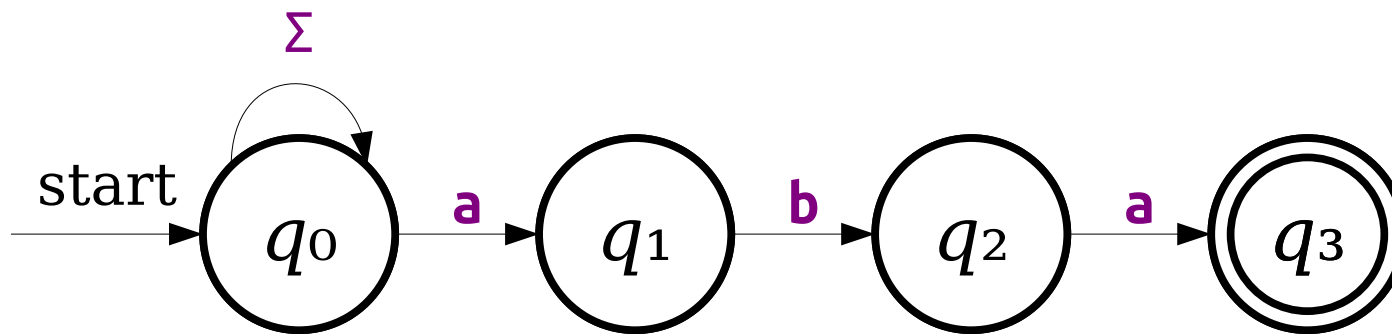
	a	b
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
$\{q_0, q_2\}$		



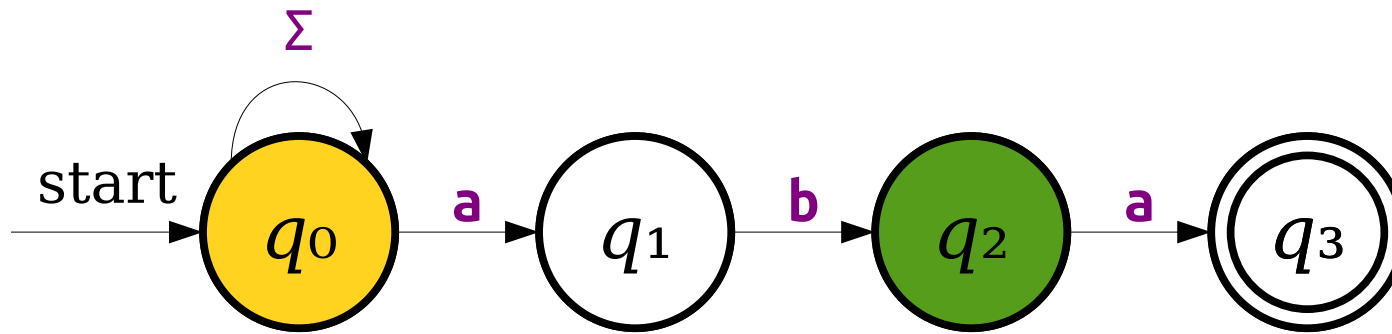
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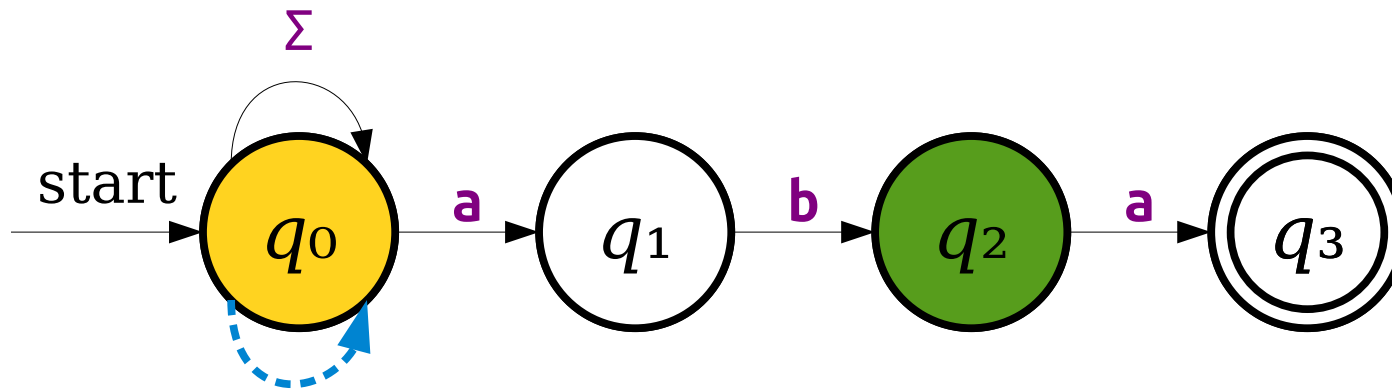
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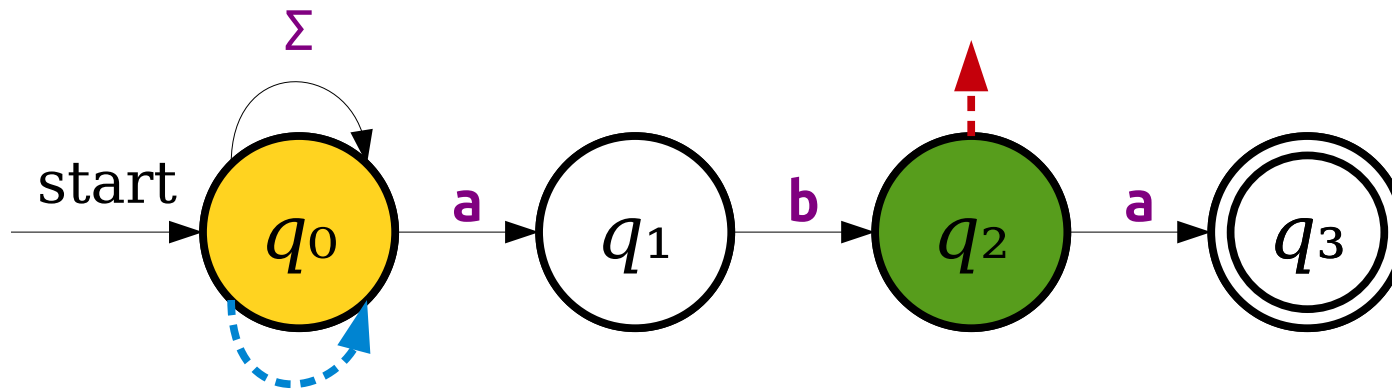
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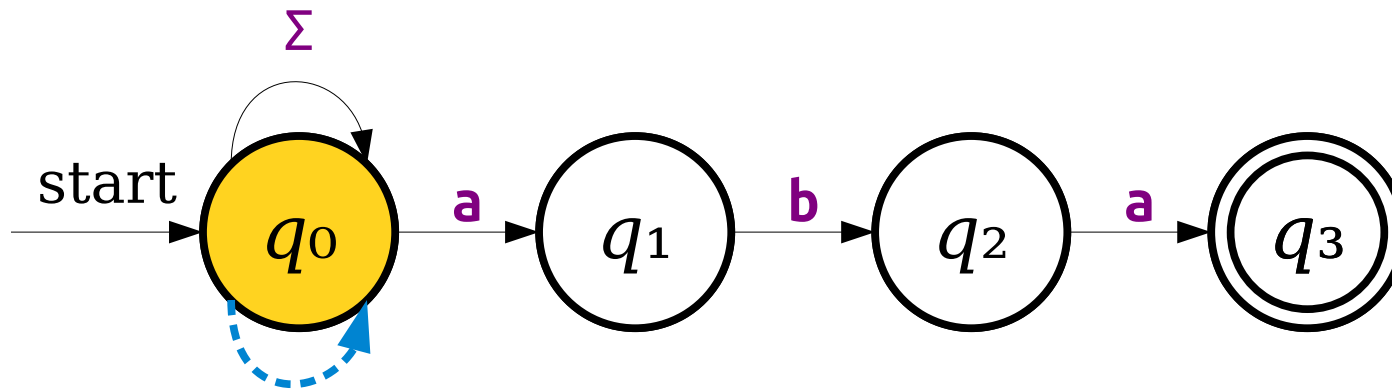
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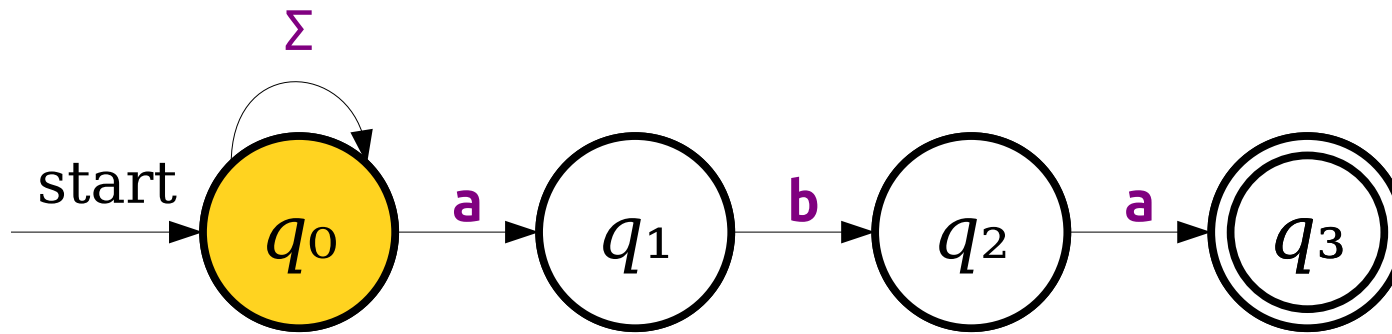
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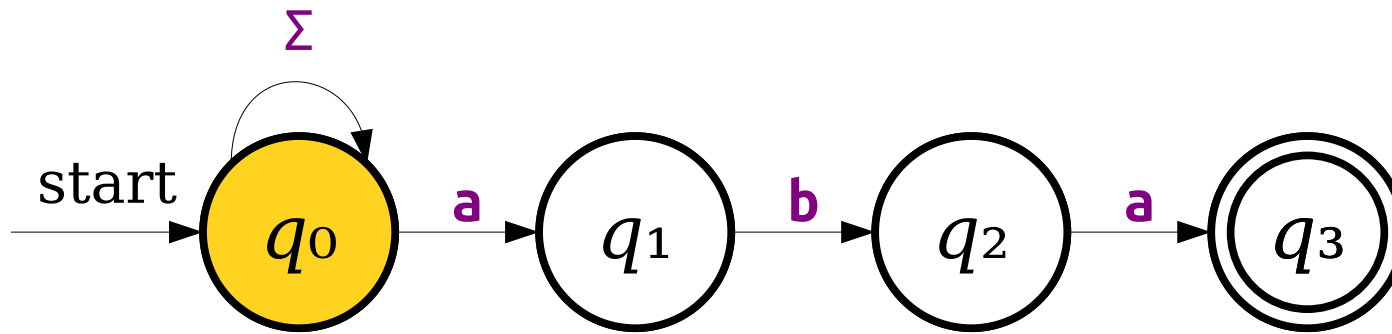
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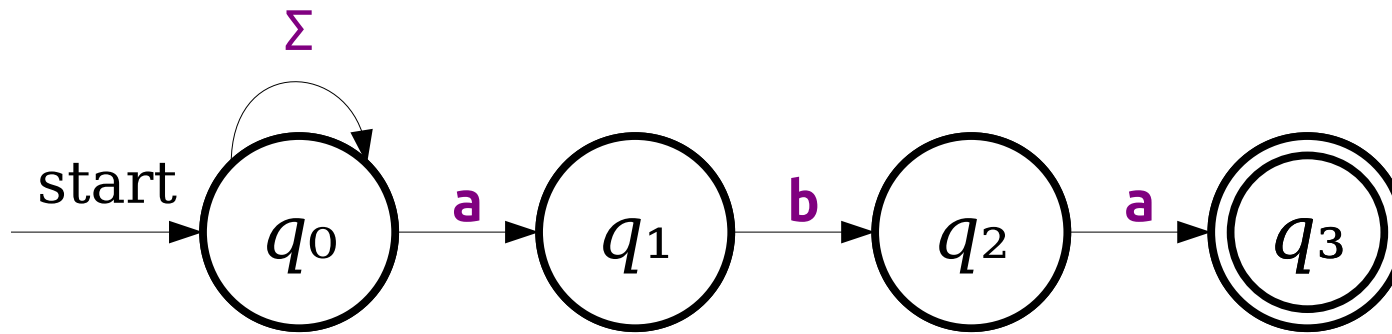
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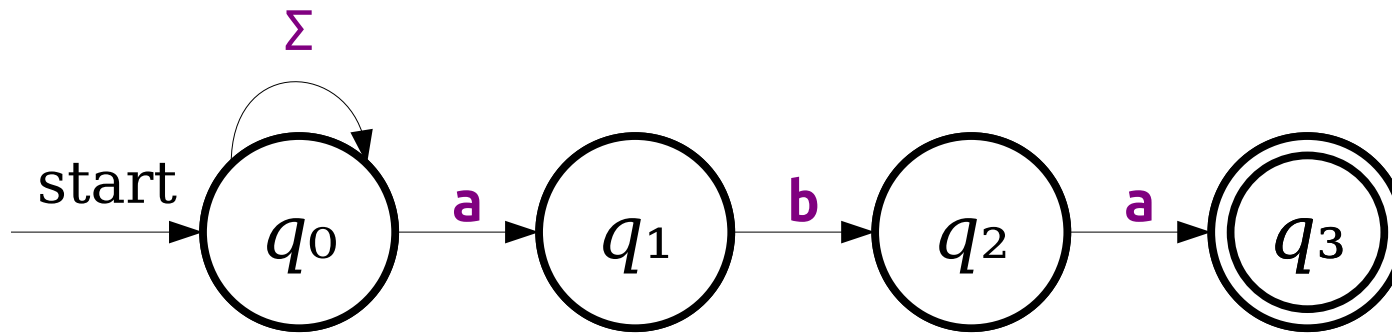
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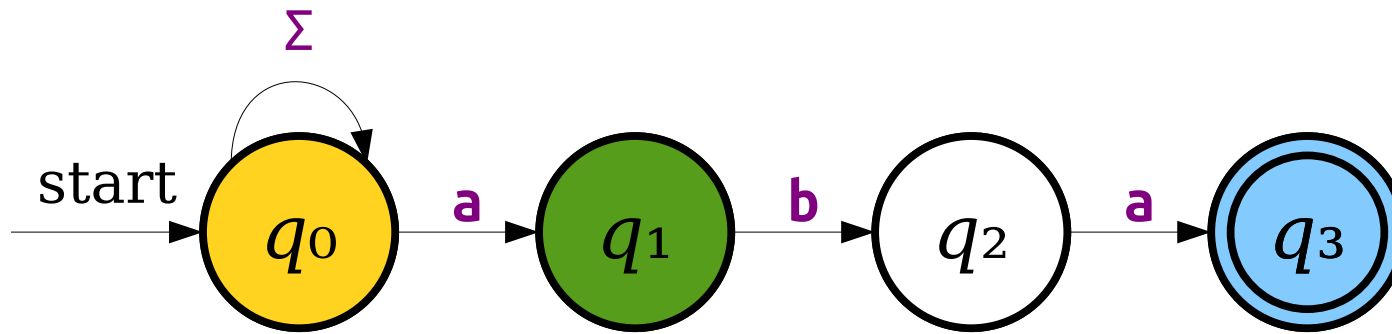
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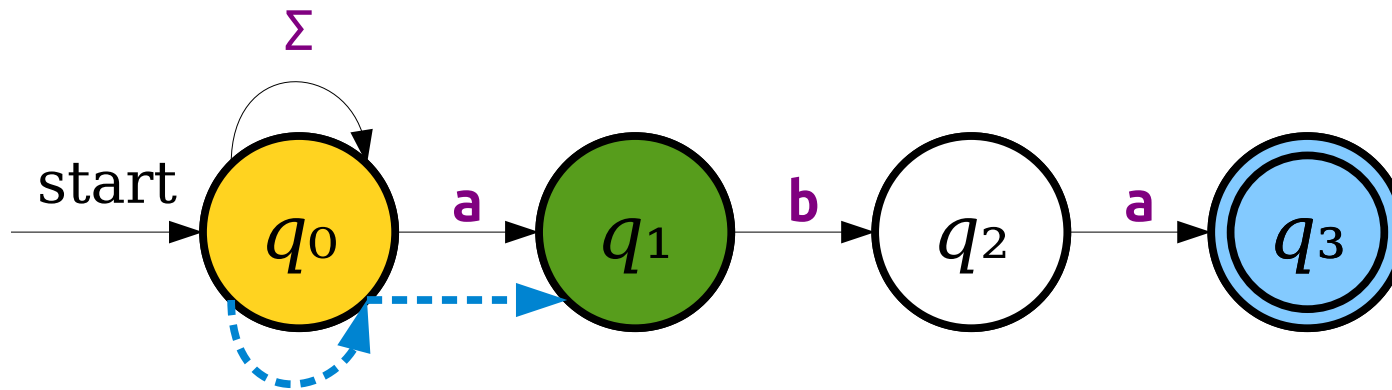
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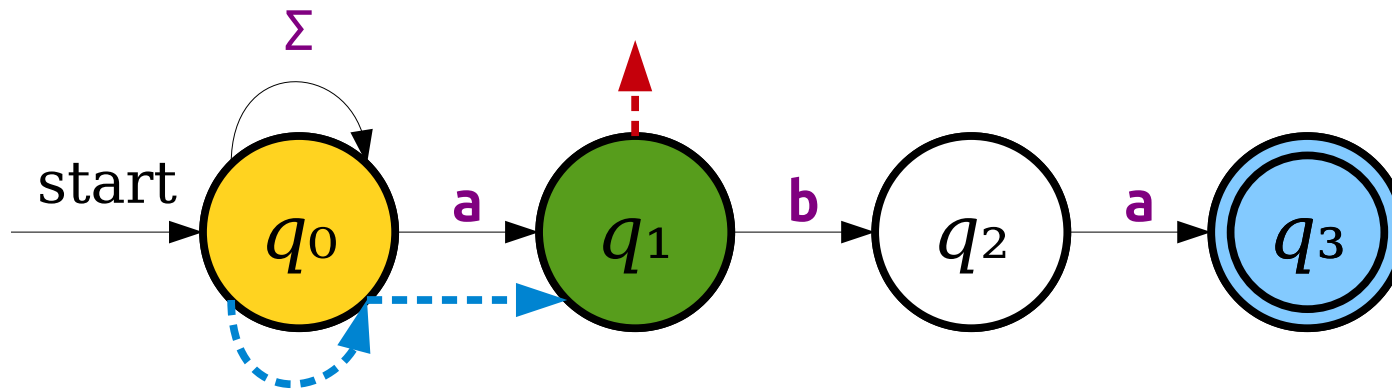
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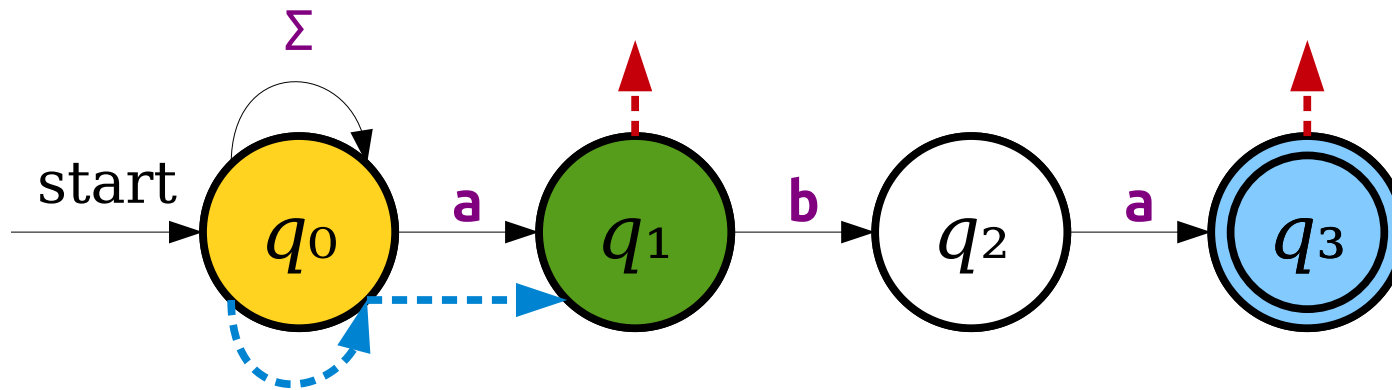
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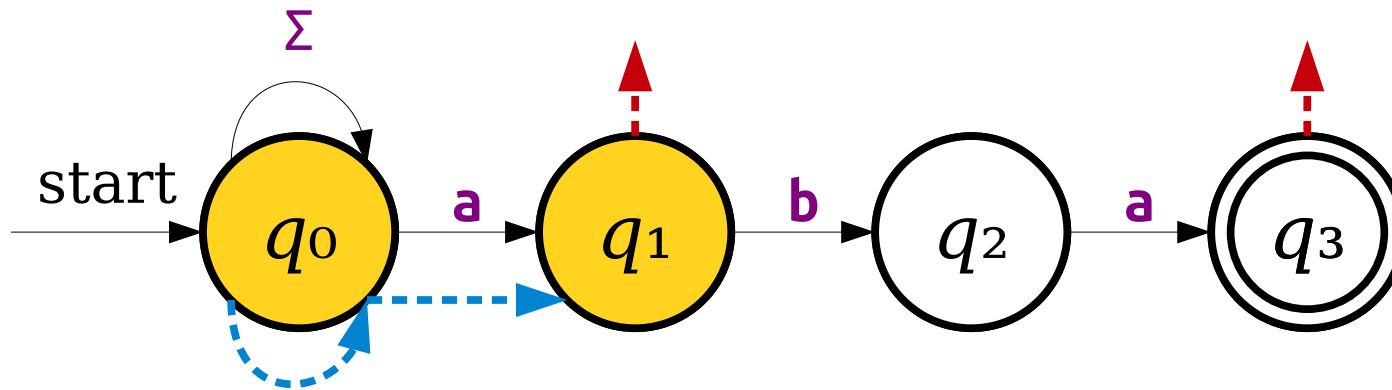
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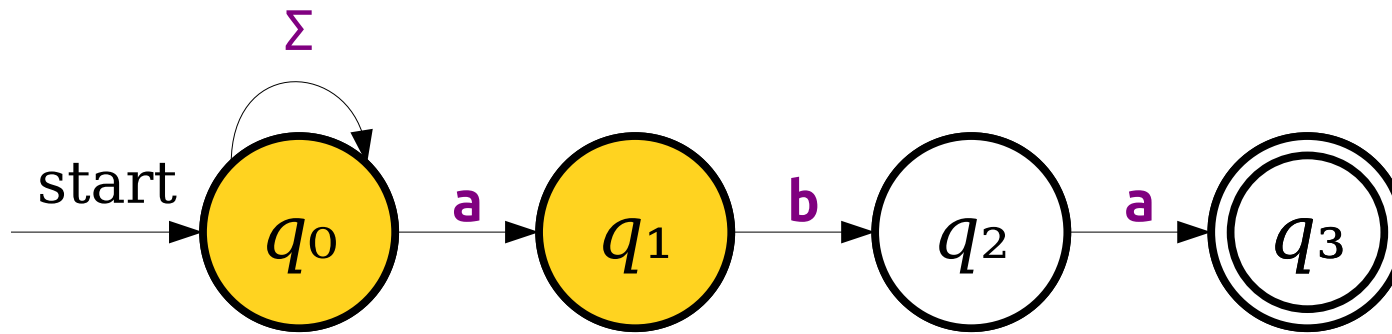
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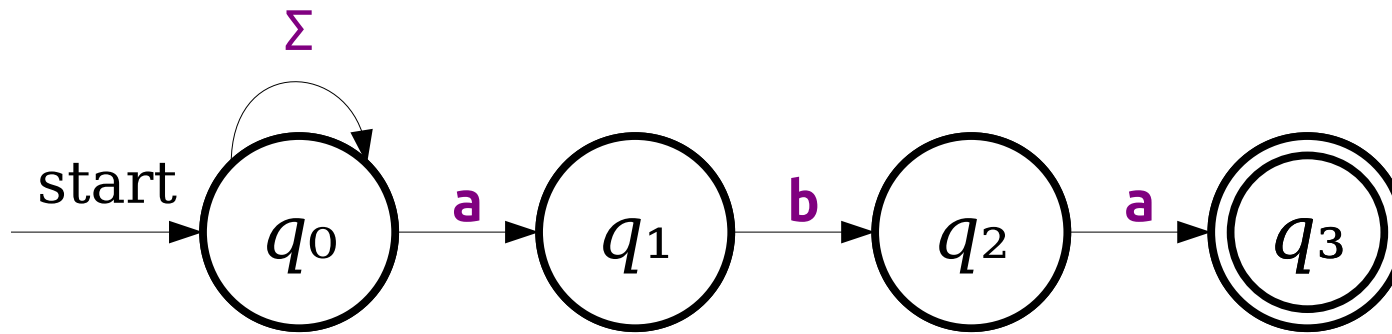
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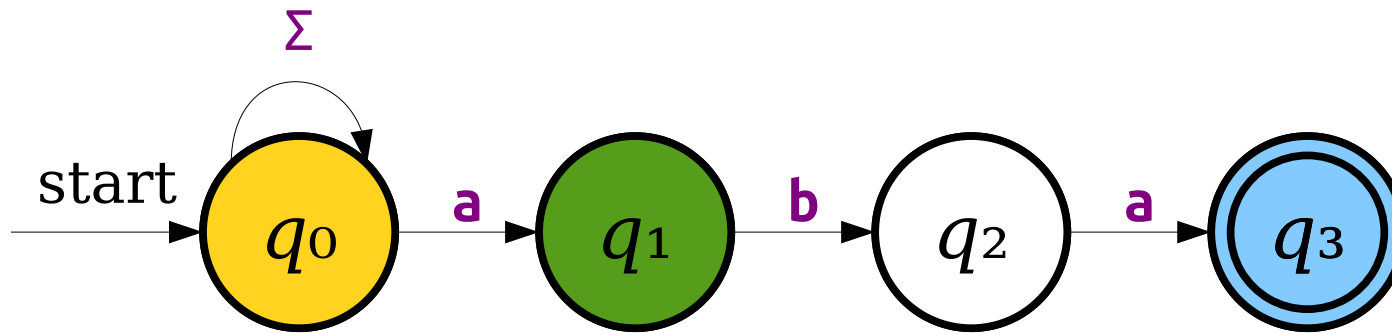
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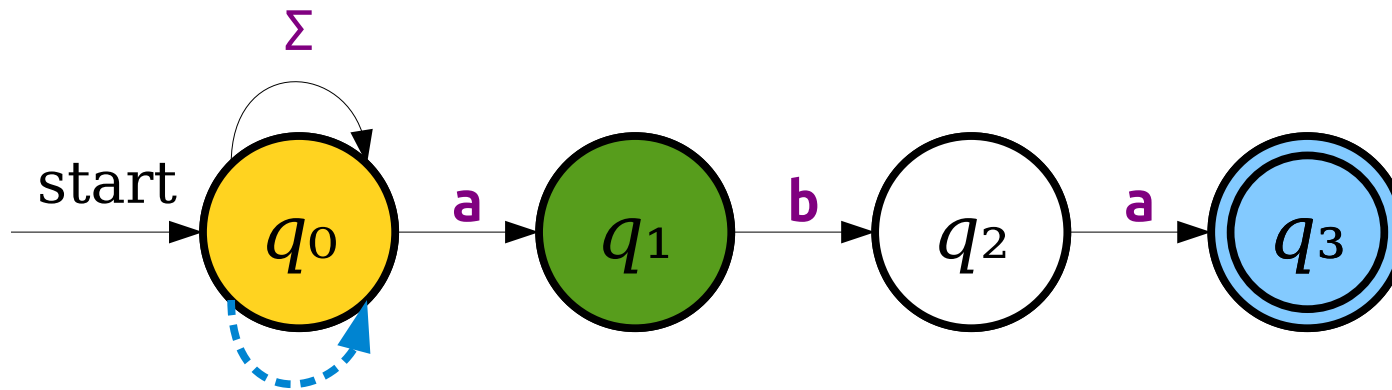
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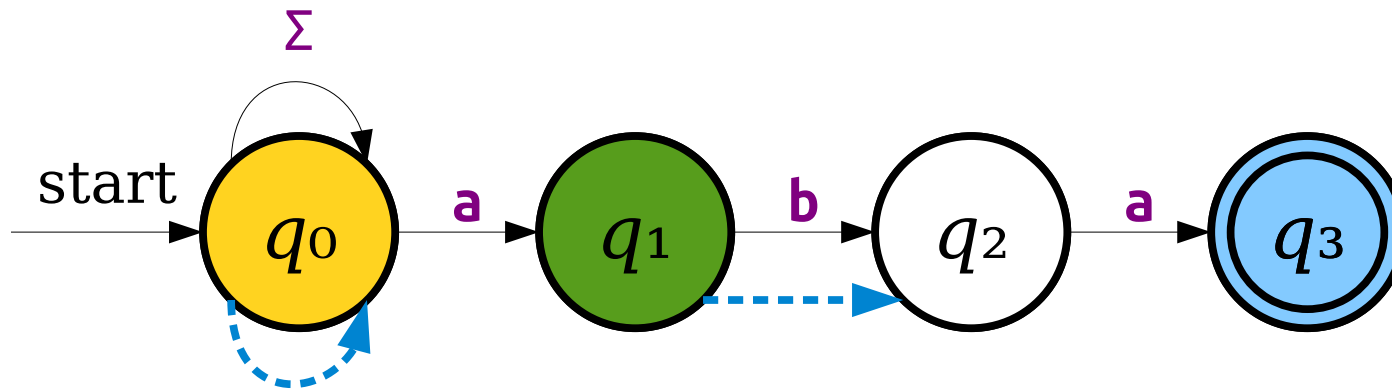
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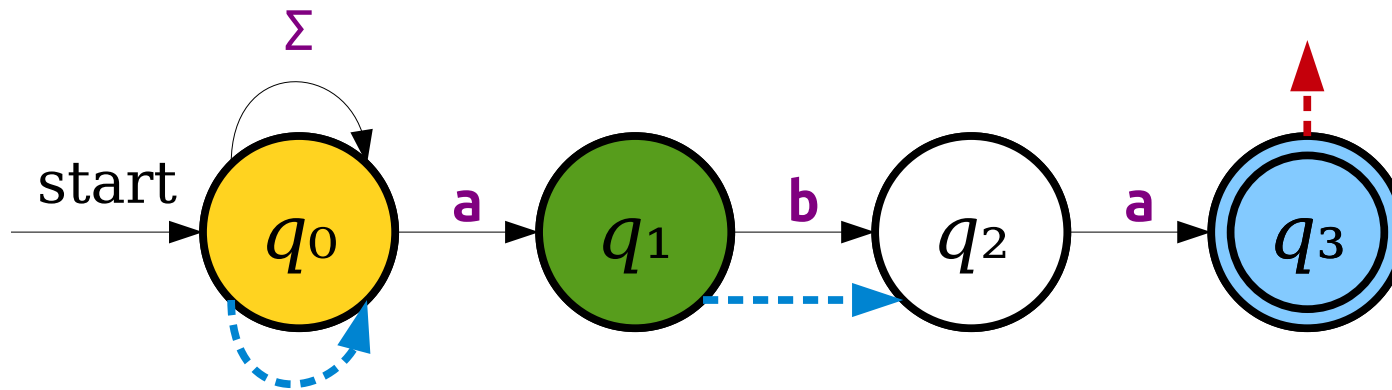
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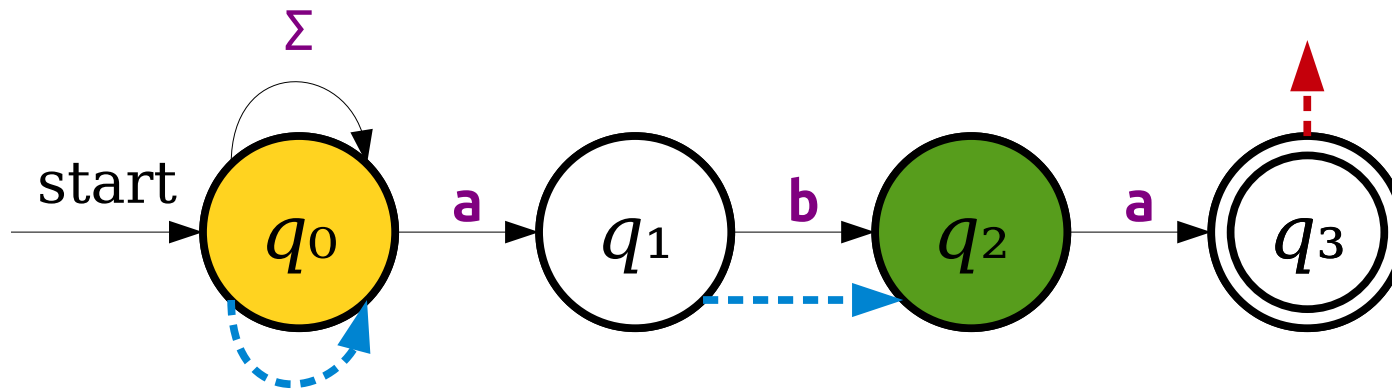
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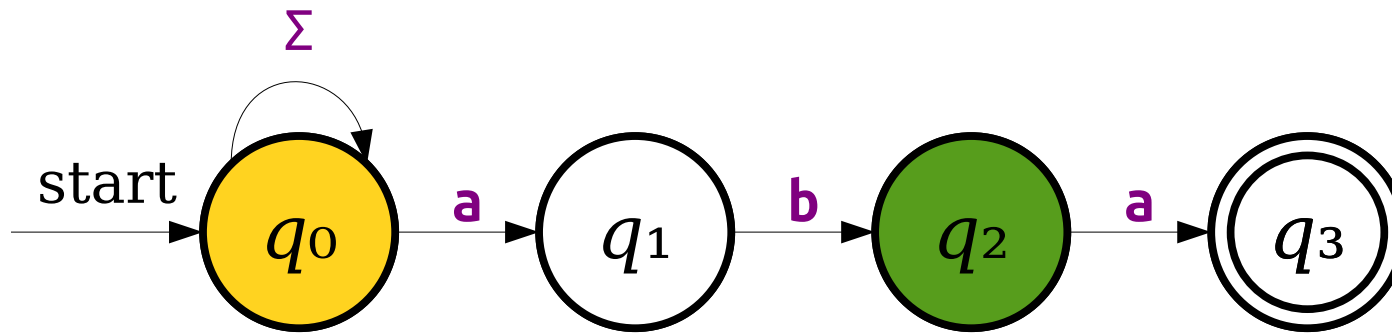
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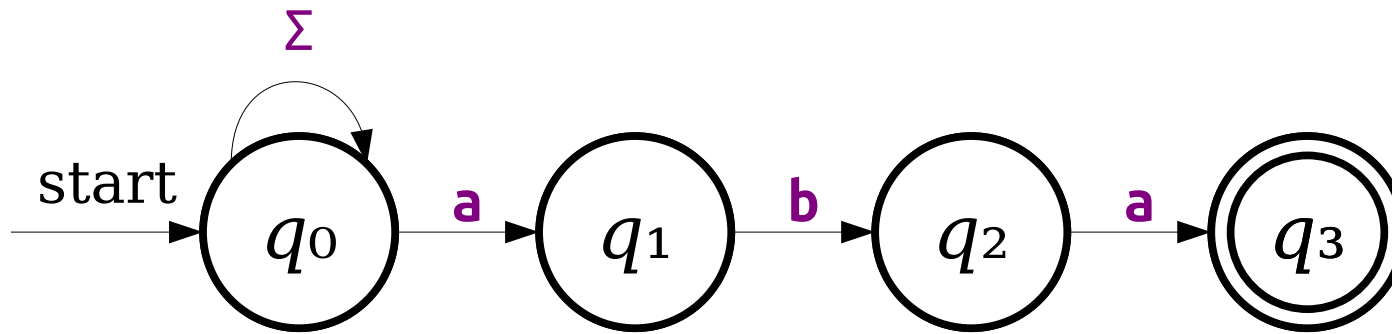
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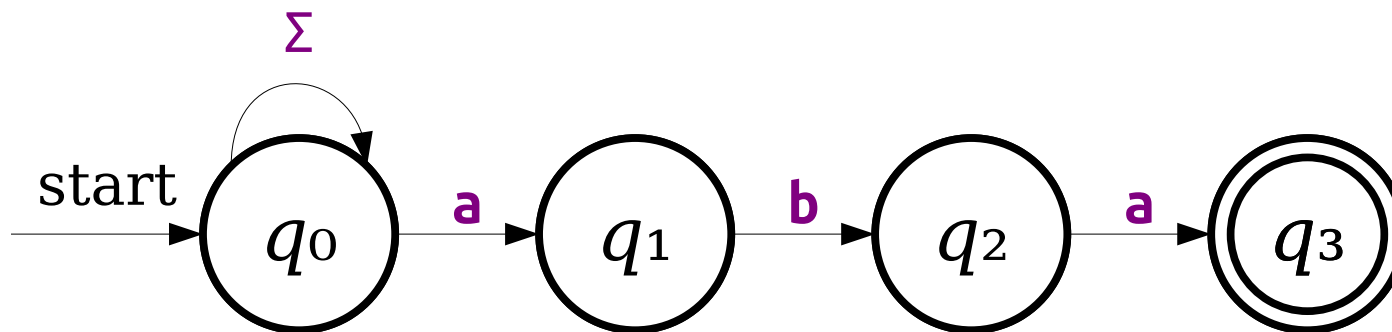
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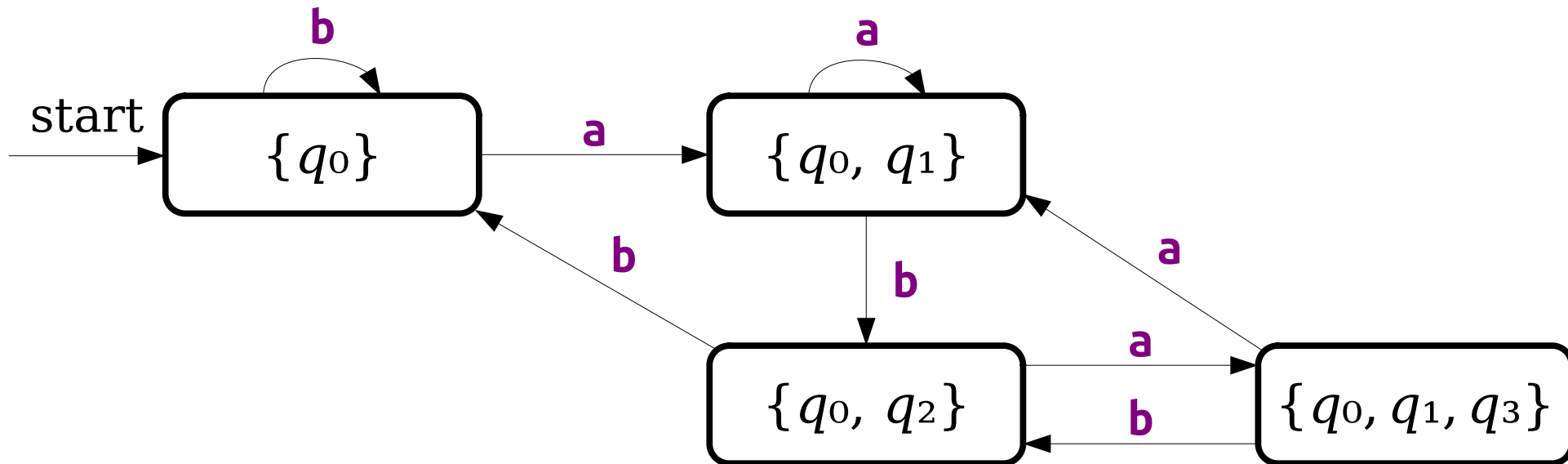
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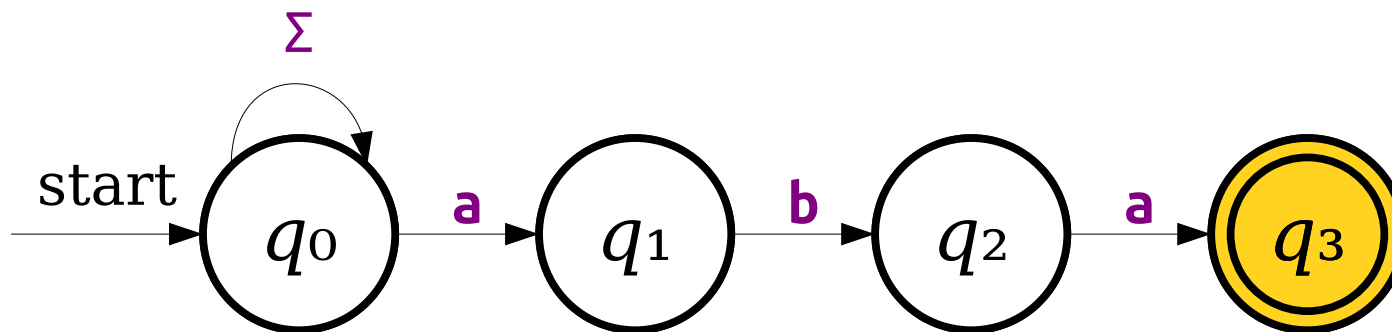


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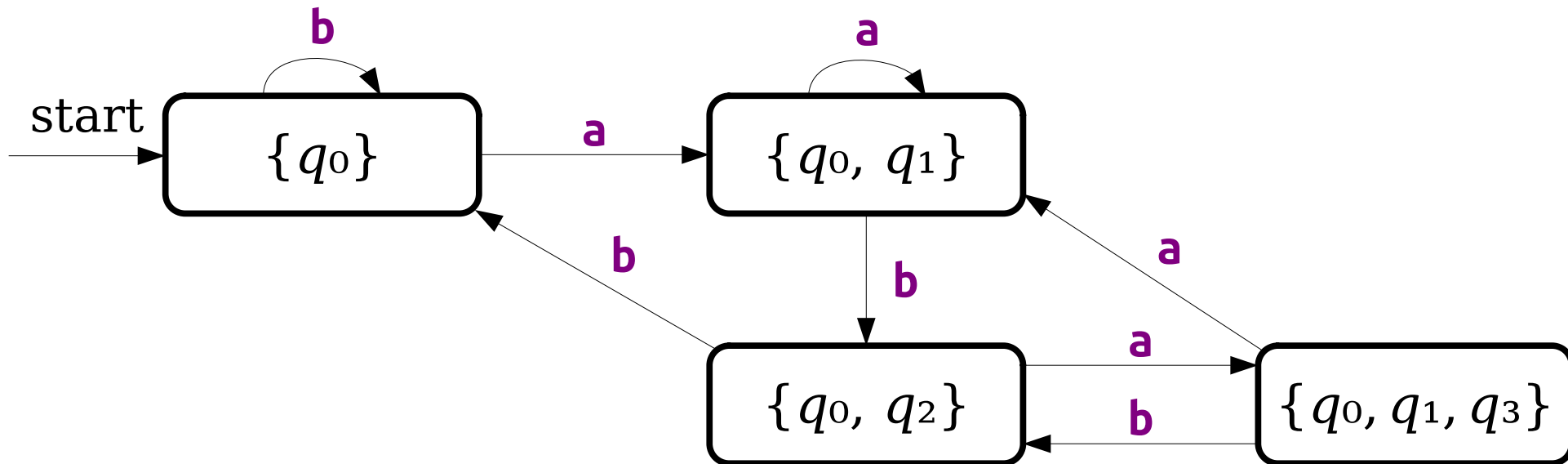


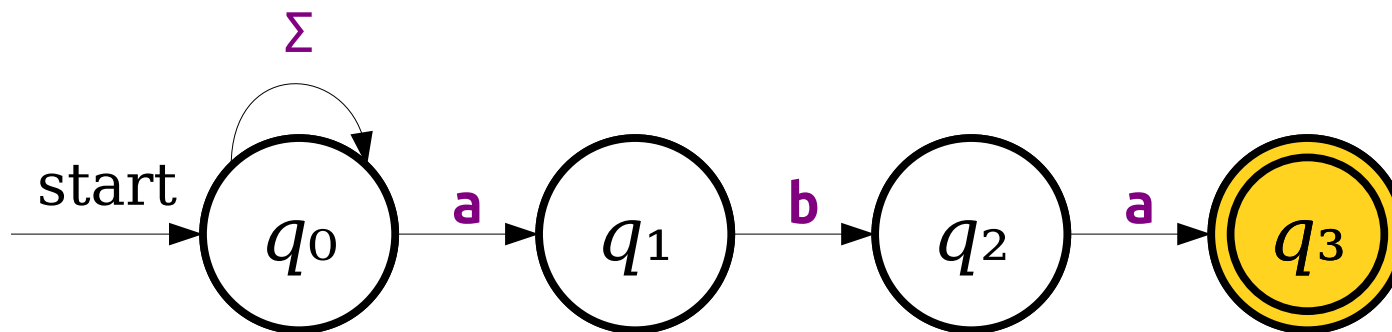
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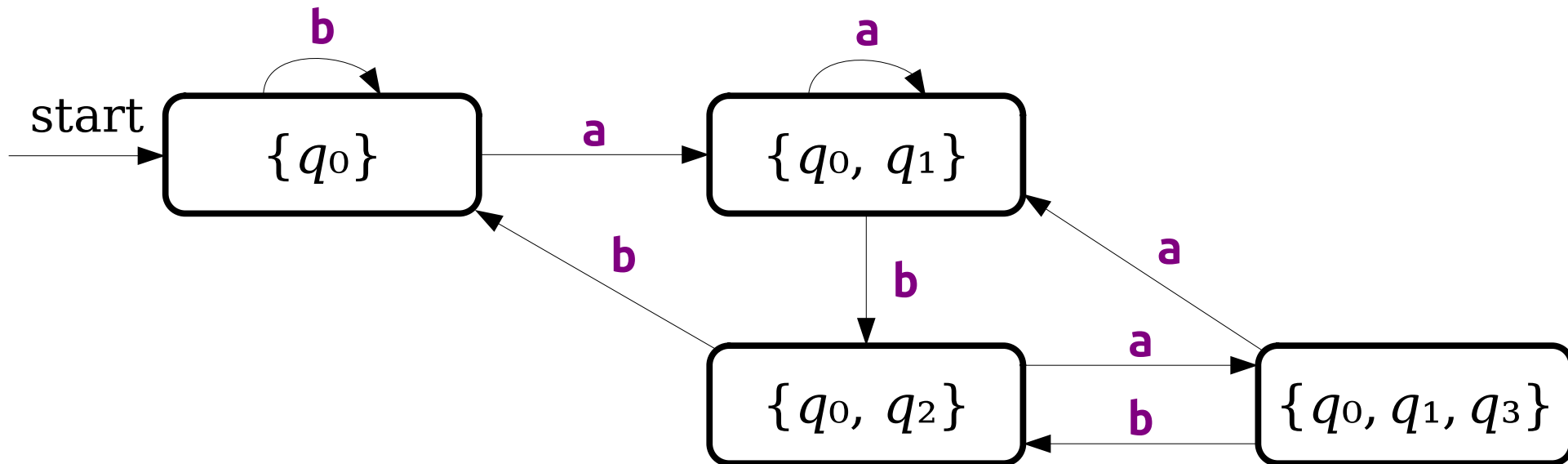


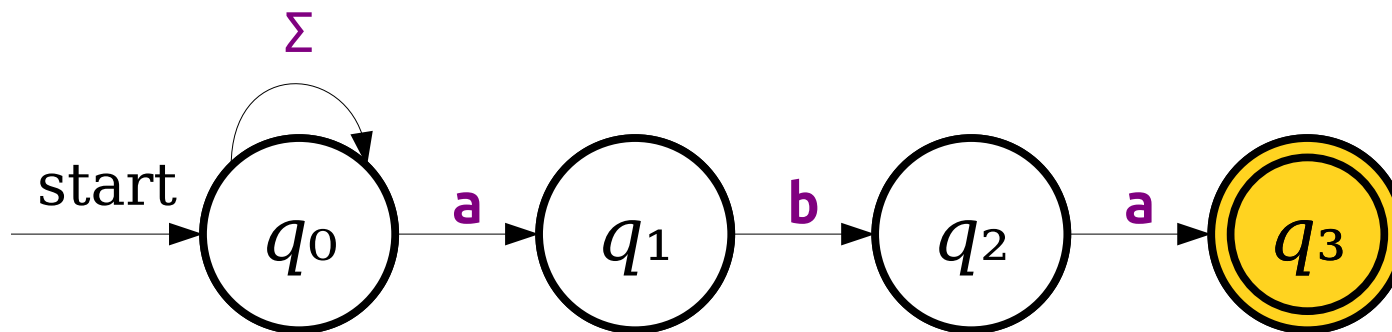
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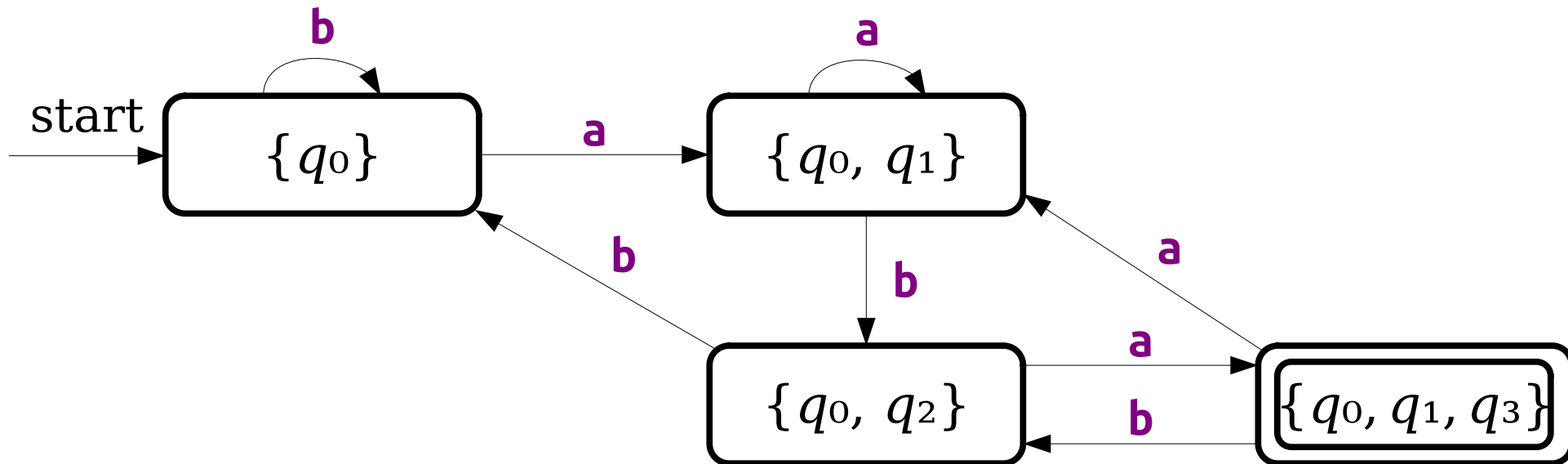


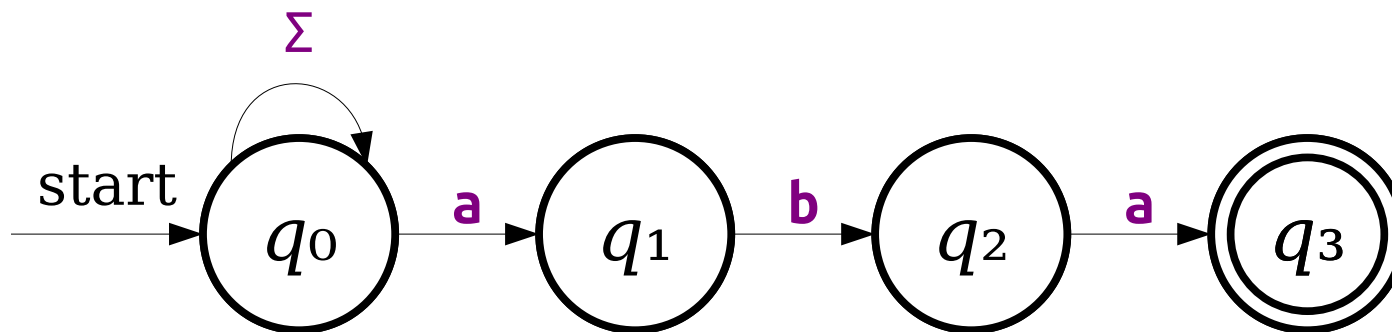
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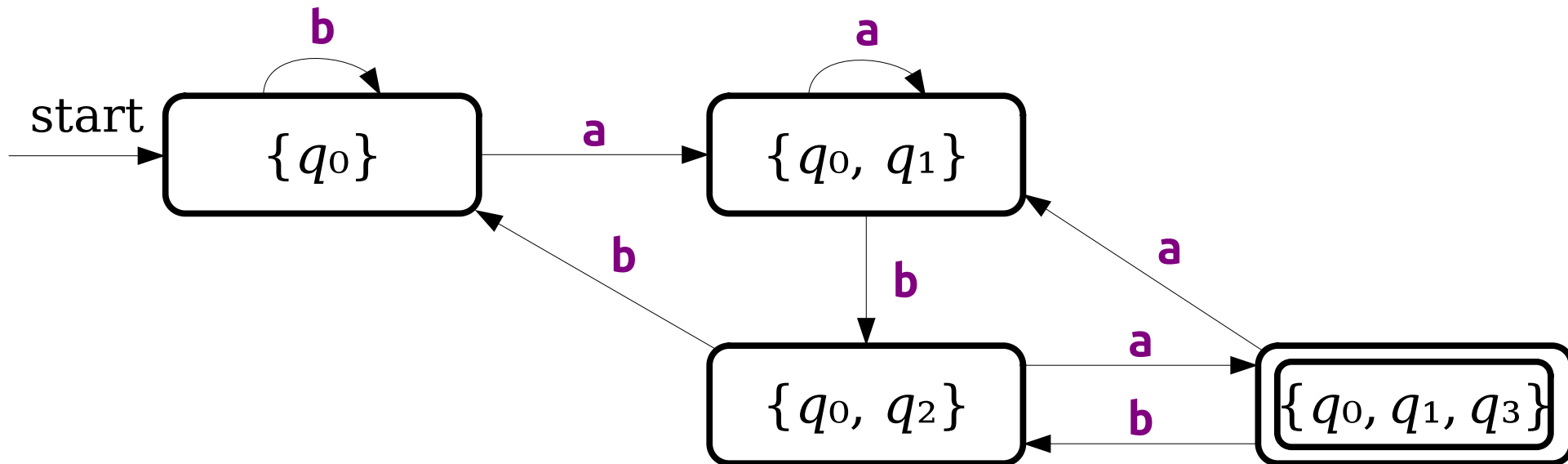


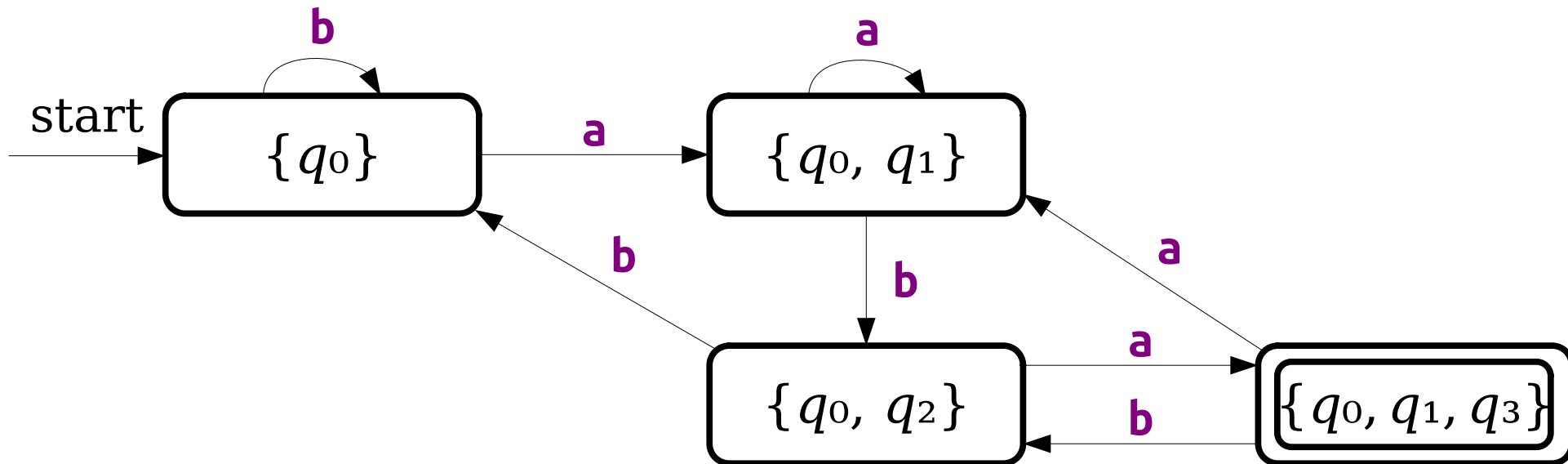
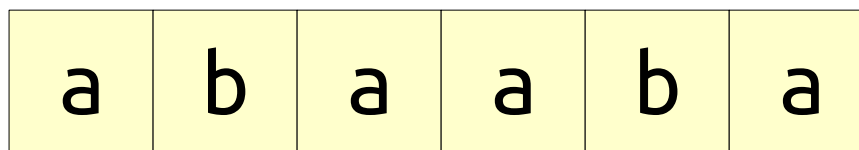
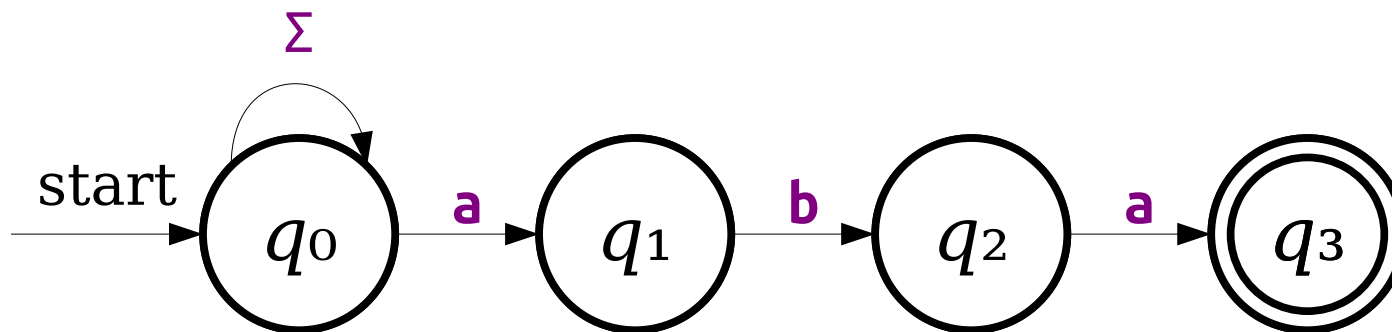
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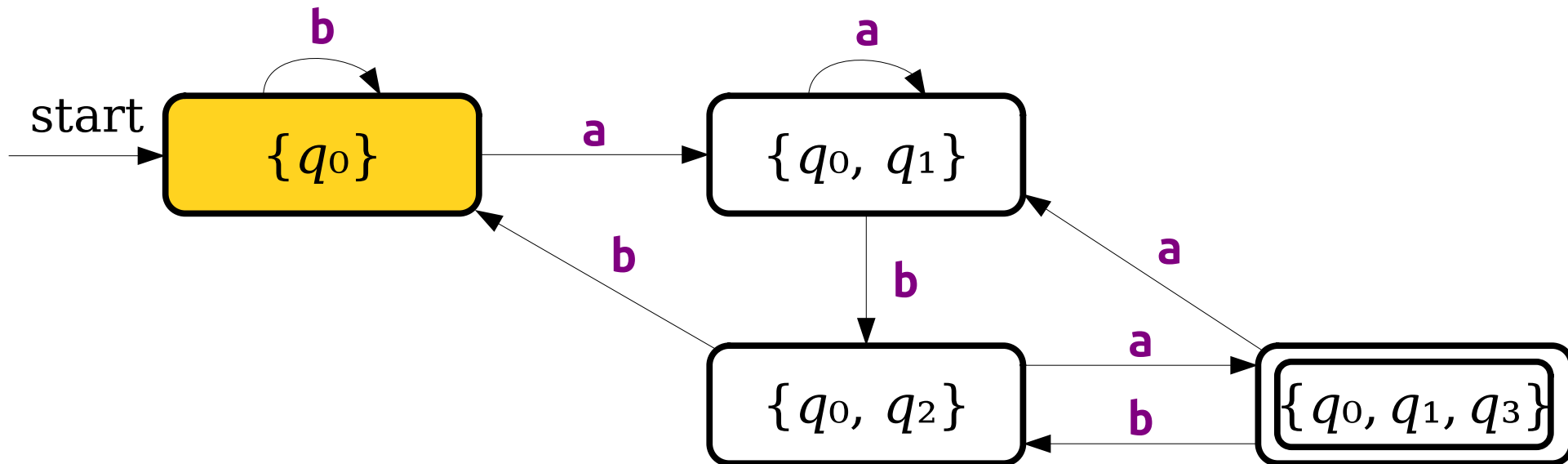
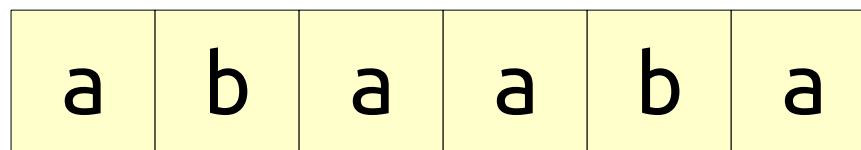
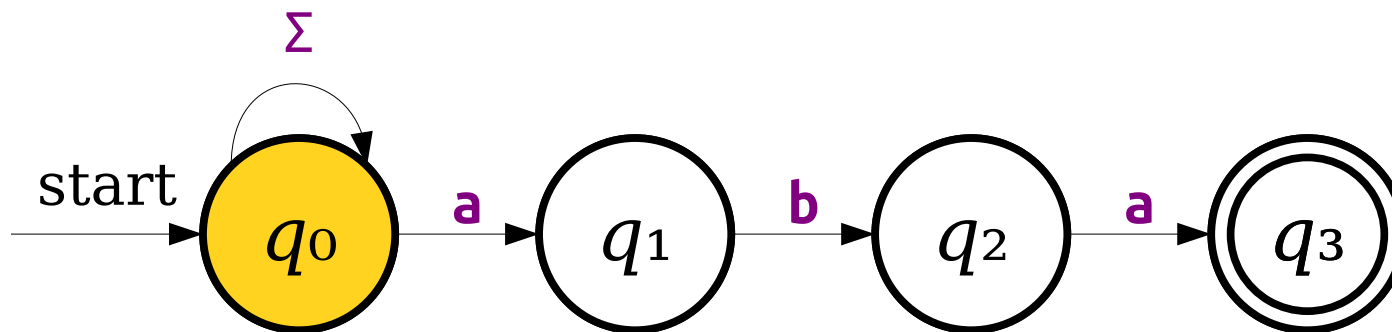


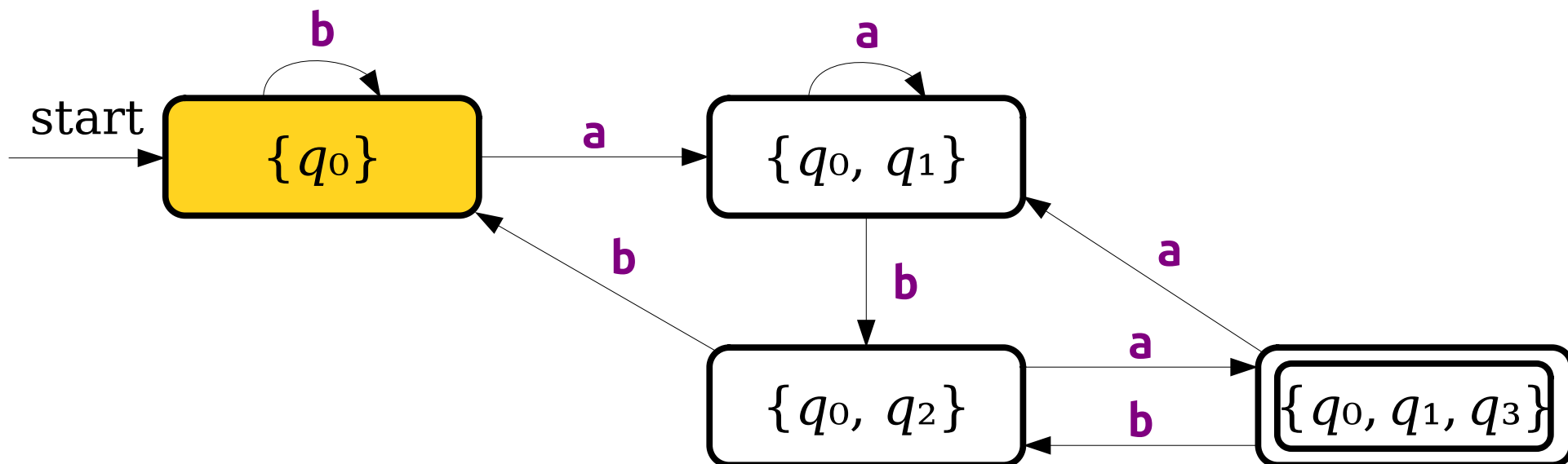
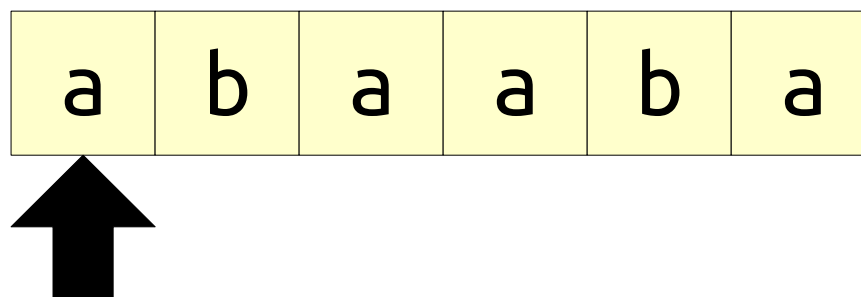
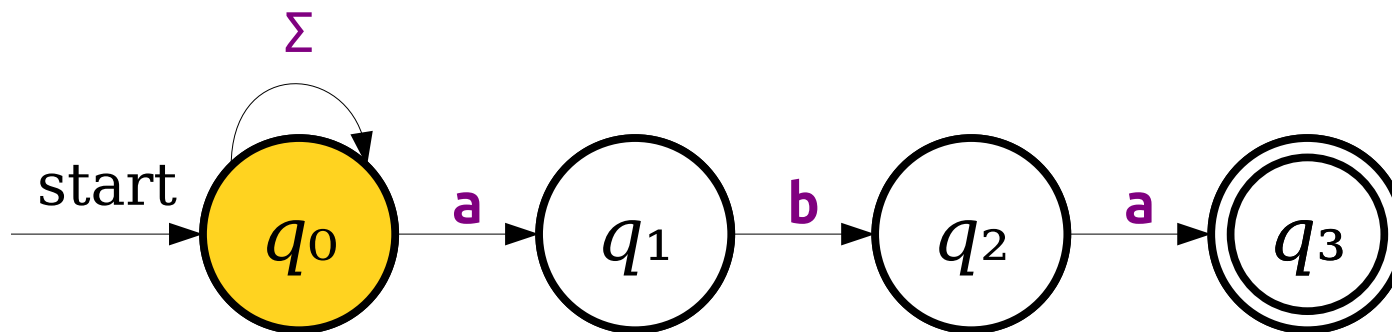


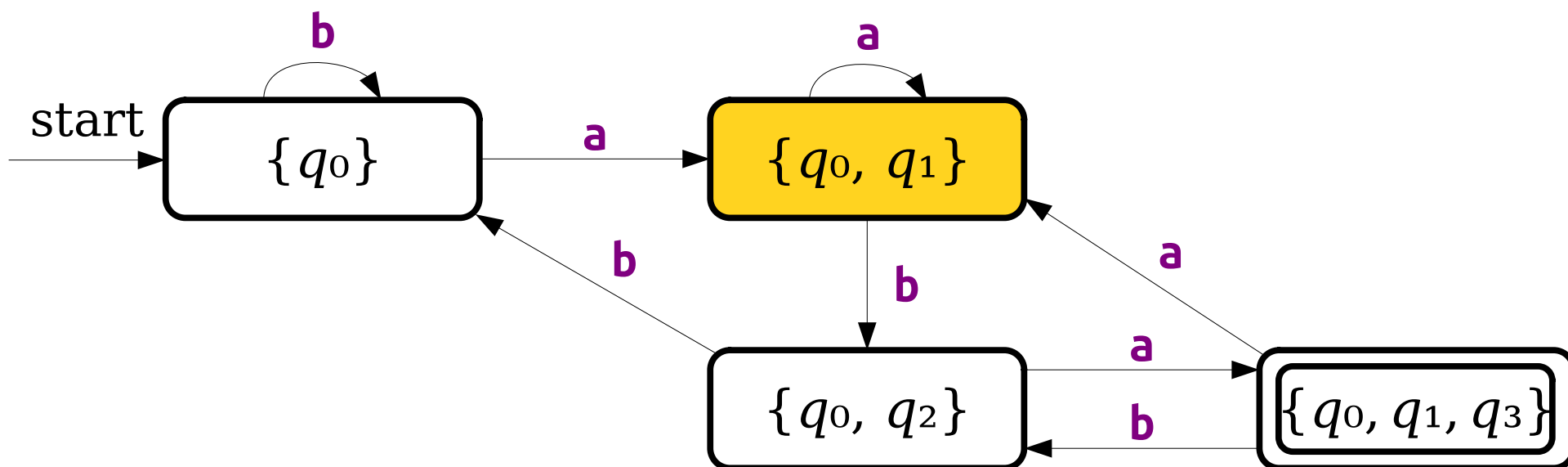
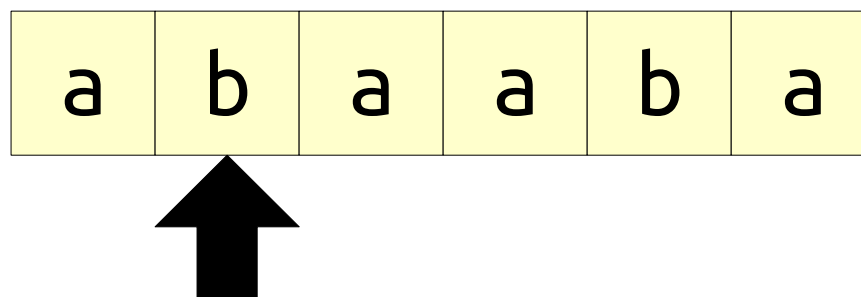
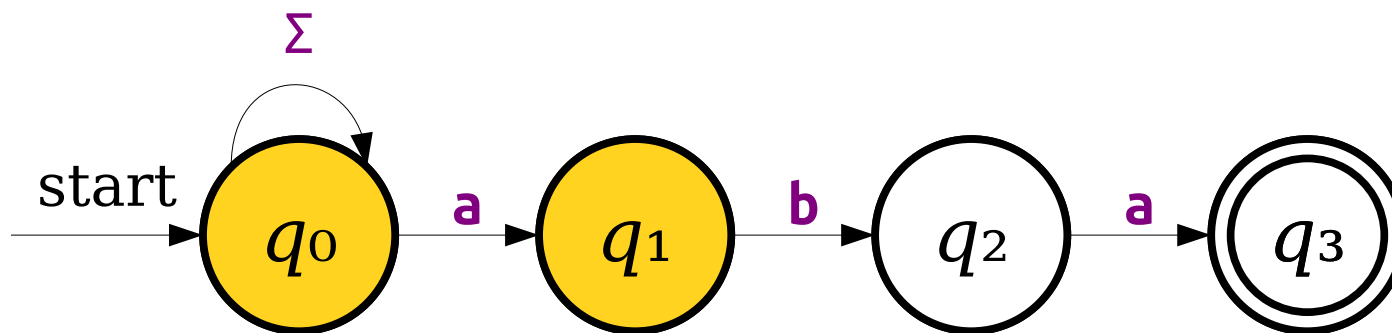
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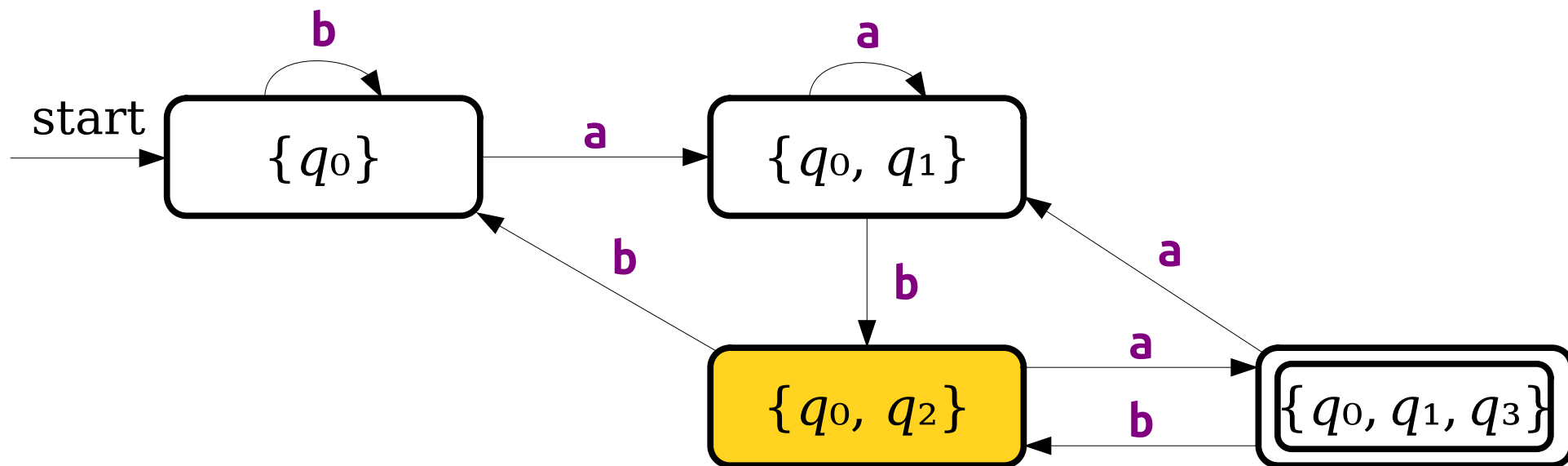
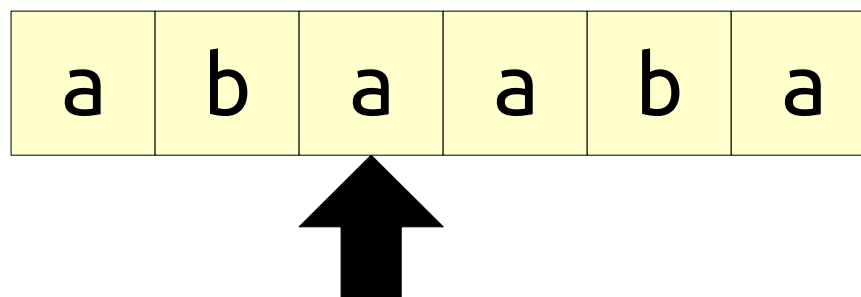
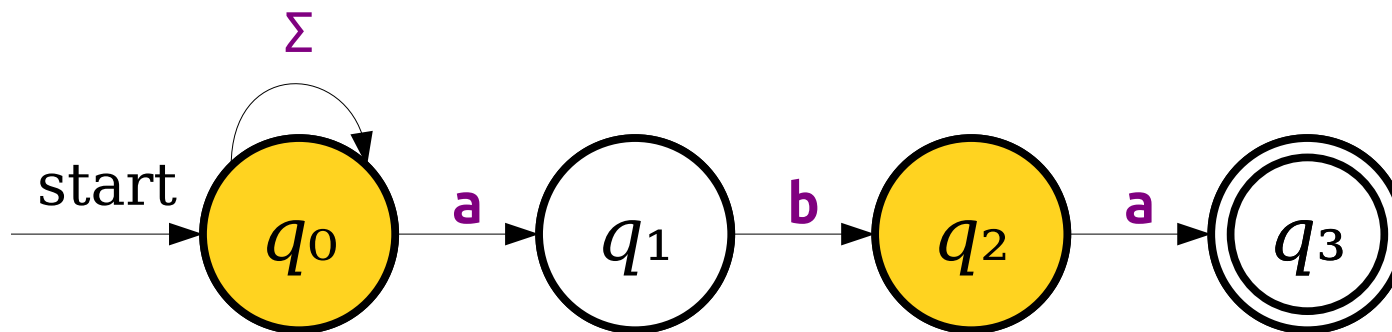


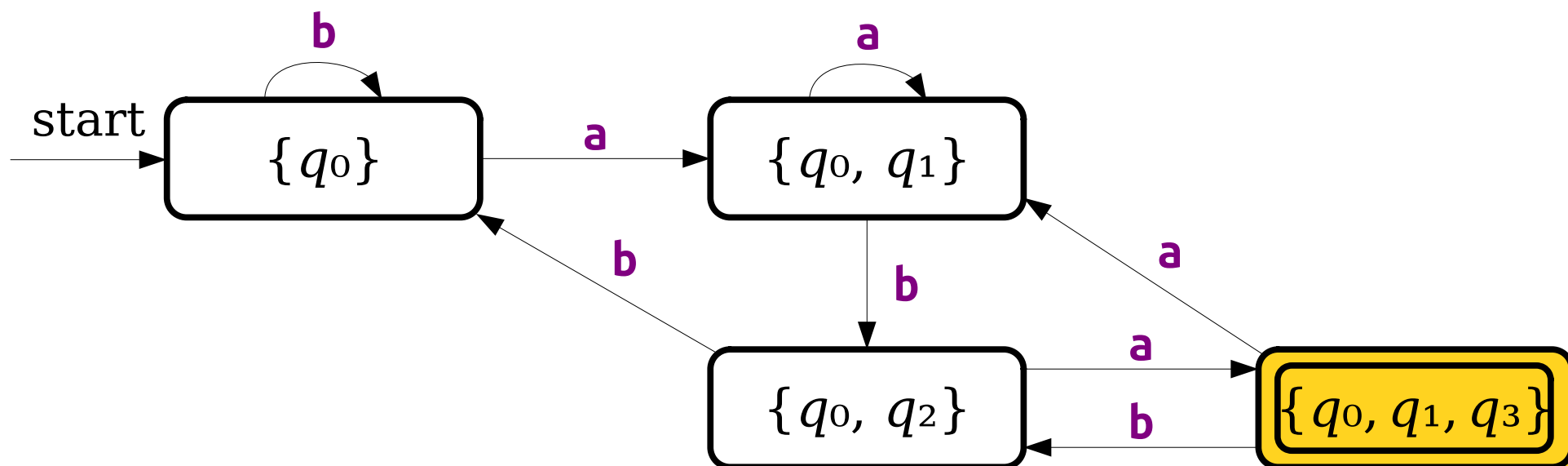
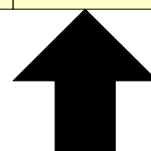
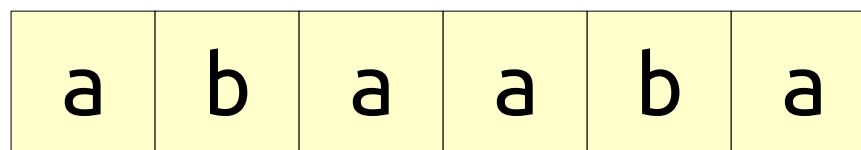
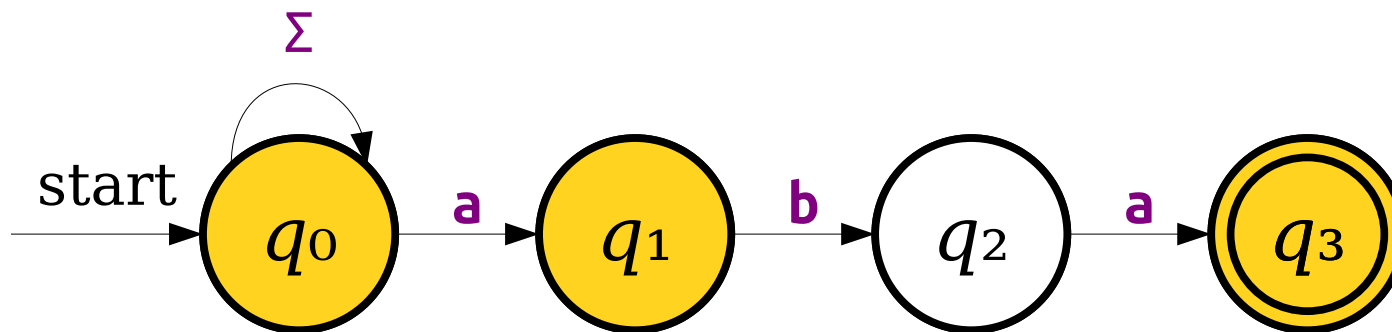


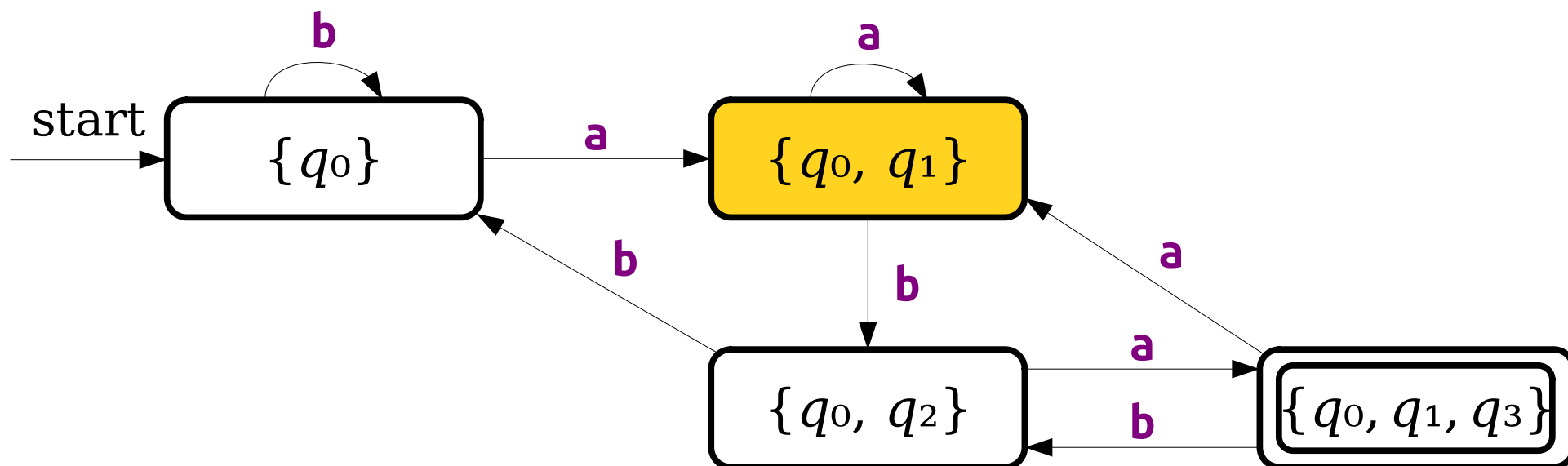
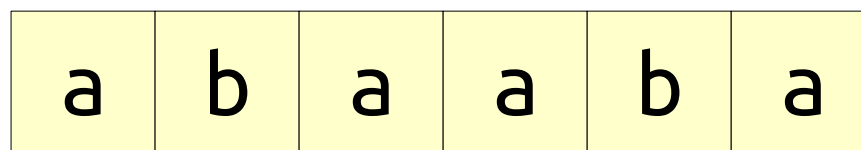
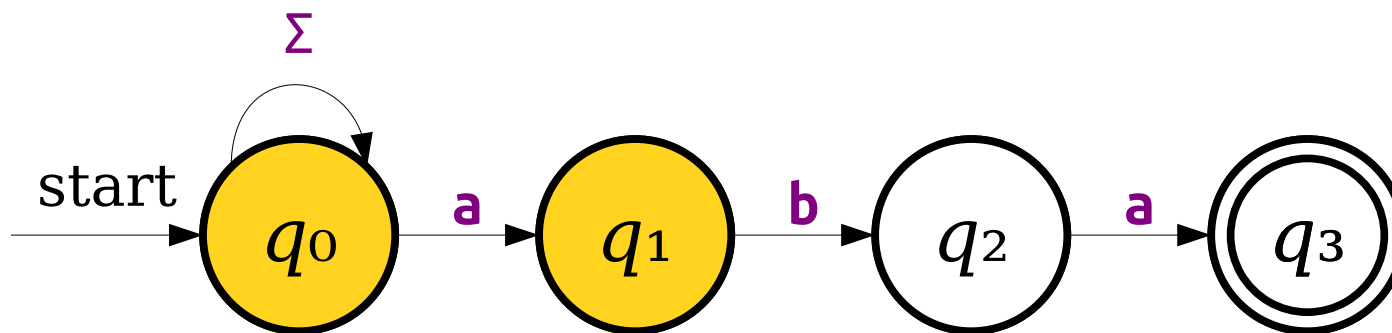


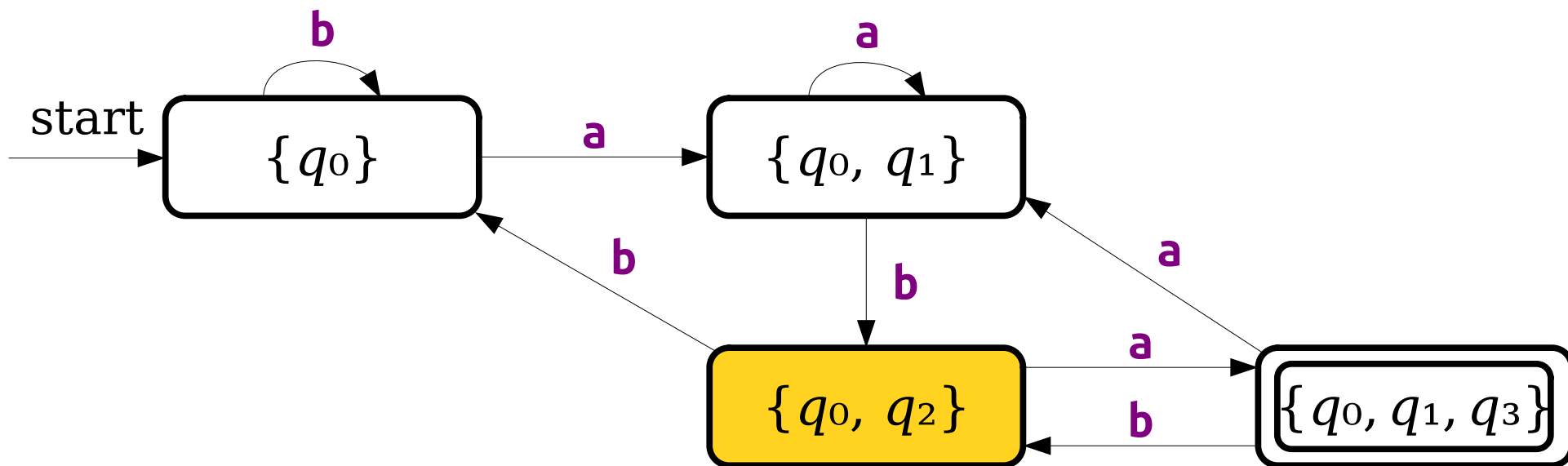
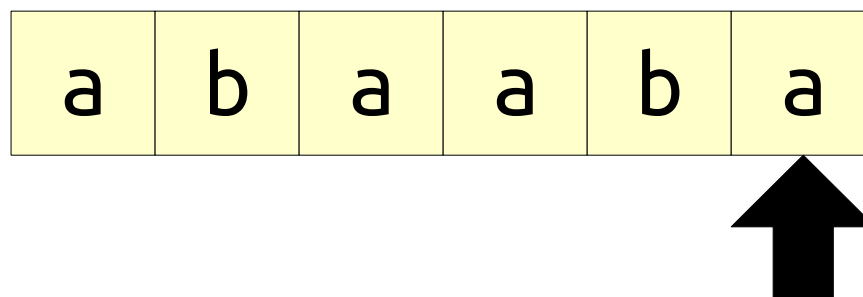
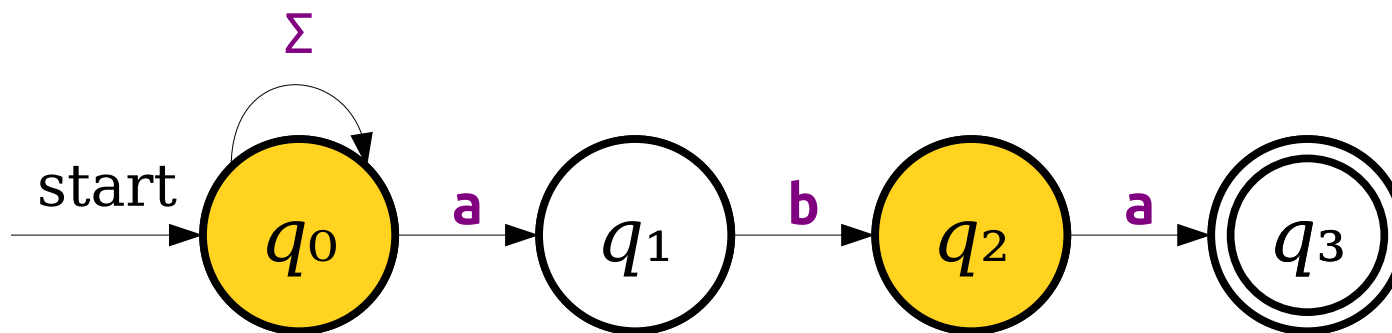


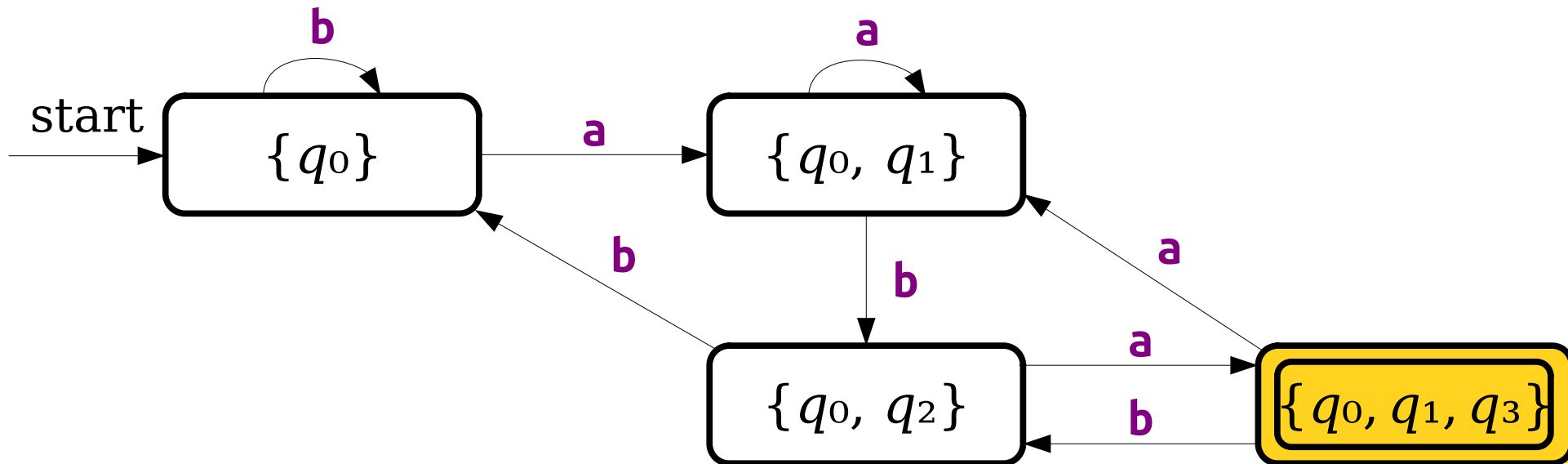
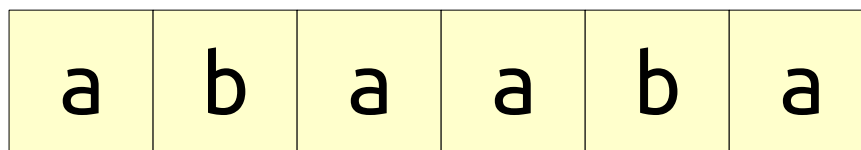
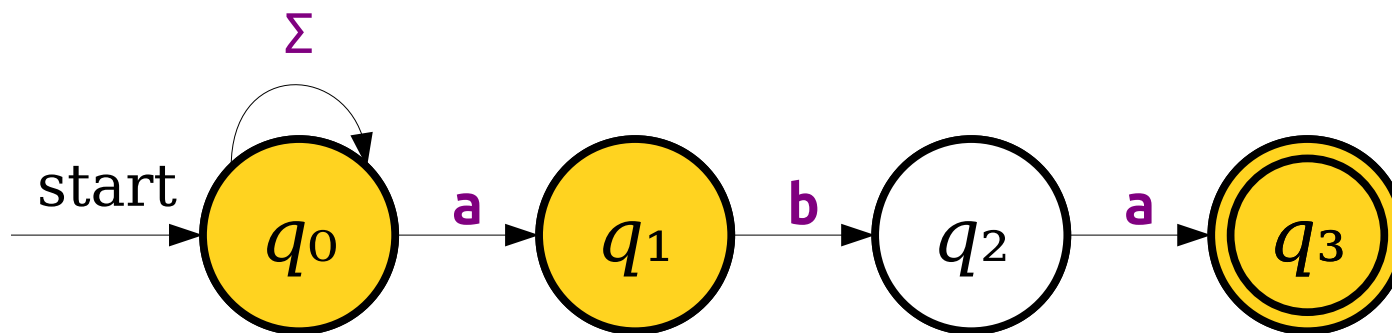












The Subset Construction

- This procedure for turning an NFA for a language L into a DFA for a language L is called the **subset construction**.
 - It's sometimes called the **powerset construction**; it's different names for the same thing!
- Intuitively:
 - Each state in the DFA corresponds to a set of states from the NFA.
 - Each transition in the DFA corresponds to what transitions would be taken in the NFA when using the massive parallel intuition.
 - The accepting states in the DFA correspond to which sets of states would be considered accepting in the NFA when using the massive parallel intuition.

The Subset Construction

- In converting an NFA to a DFA, the DFA's states correspond to sets of NFA states.
- **Useful fact:** $|\wp(S)| = 2^{|S|}$ for any finite set S .
- In the worst-case, the construction can result in a DFA that is *exponentially larger* than the original NFA.
- **Question to ponder:** Can you find a family of languages that have NFAs of size n , but no DFAs of size less than 2^n ?

A language L is called a ***regular language*** if there exists a DFA D such that $\mathcal{L}(D) = L$.

An Important Result

Theorem: A language L is regular if and only if there is some NFA N such that $\mathcal{L}(N) = L$.

Proof Sketch: Pick a language L . First, assume L is regular. That means there's a DFA D where $\mathcal{L}(D) = L$. Every DFA is “basically” an NFA, so there's an NFA (D) whose language is L .

Next, assume there's an NFA N such that $\mathcal{L}(N) = L$. Using the subset construction, we can build a DFA D where $\mathcal{L}(N) = \mathcal{L}(D)$. Then we have that $\mathcal{L}(D) = L$, so L is regular. ■-ish

Why This Matters

- We now have two perspectives on regular languages:
 - Regular languages are languages accepted by DFAs.
 - Regular languages are languages accepted by NFAs.
- We can now reason about the regular languages in two different ways.

Properties of Regular Languages

The Complement of a Language

- Given a language $L \subseteq \Sigma^*$, the **complement** of that language (denoted $\overline{L}$) is the language of all strings in Σ^* that aren't in L .
- Formally:

$$\overline{L} = \Sigma^* - L$$

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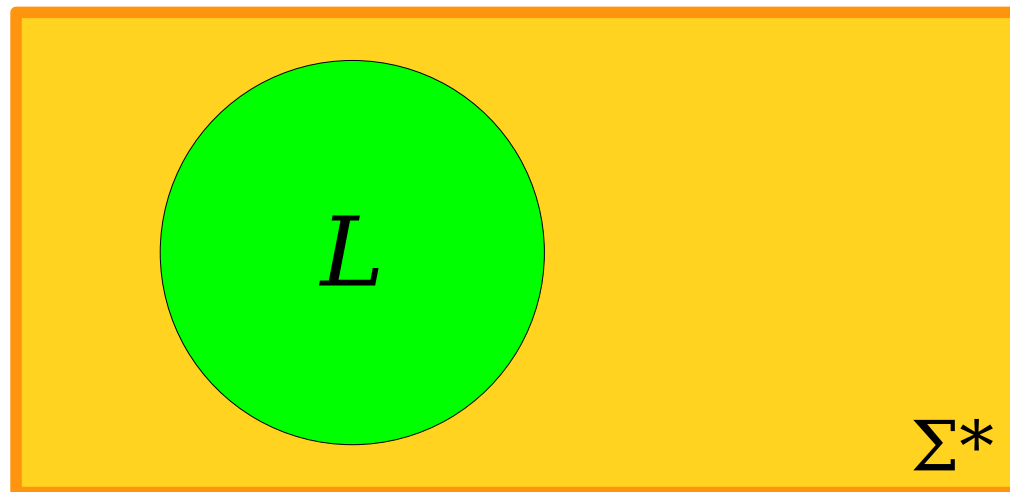
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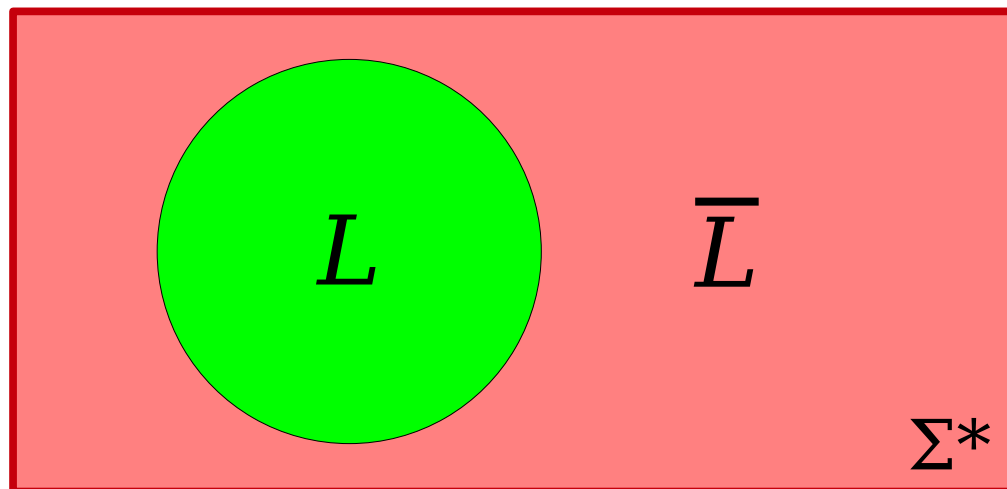
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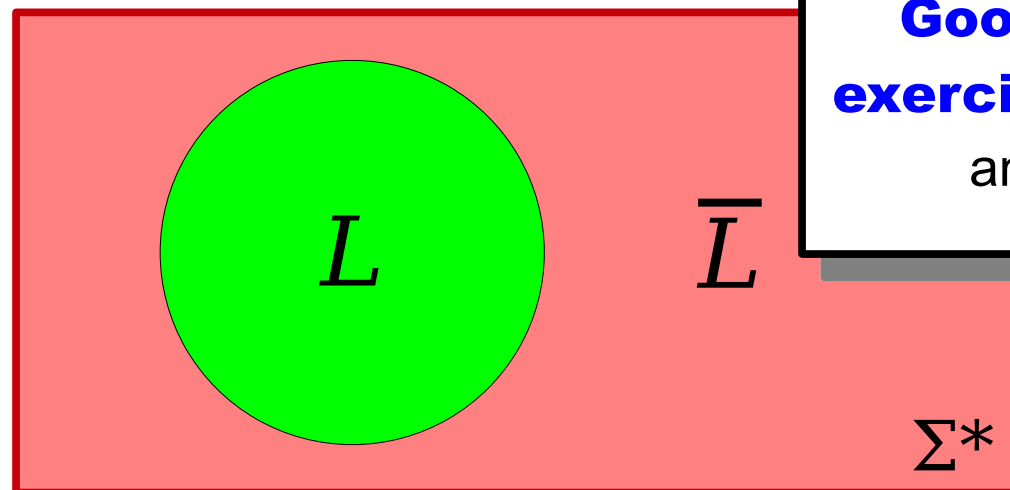
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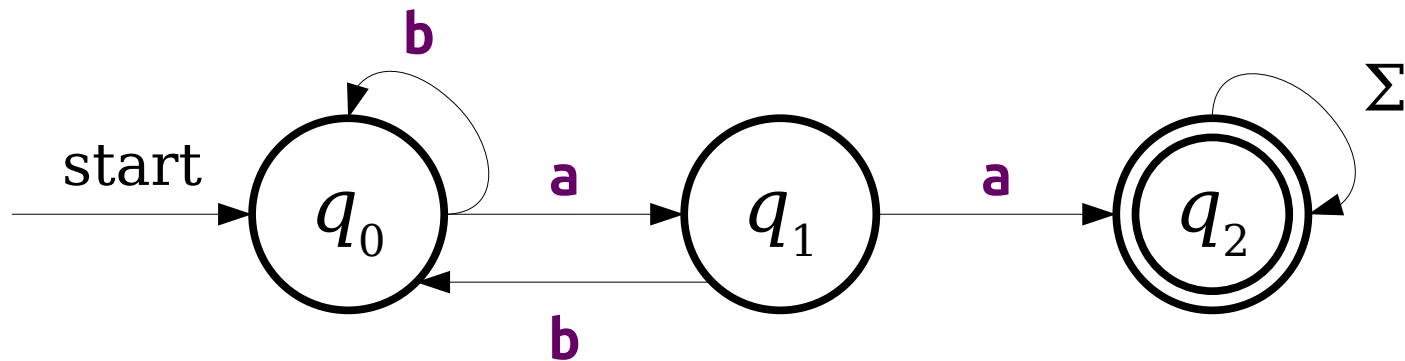
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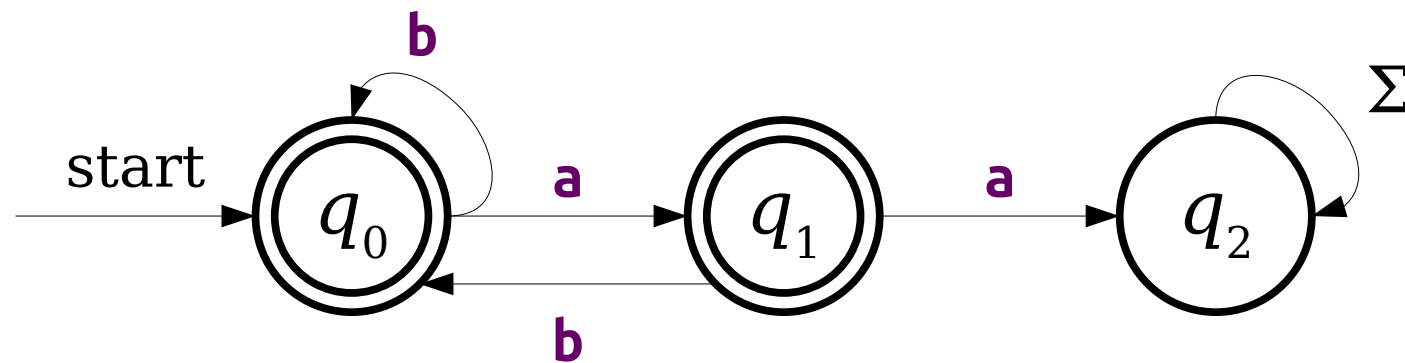
Good proofwriting
exercise: prove $\bar{\bar{L}} = L$ for
any language L .

Complementing Regular Languages

$$L = \{ w \in \{a, b\}^* \mid w \text{ contains } aa \text{ as a substring} \}$$

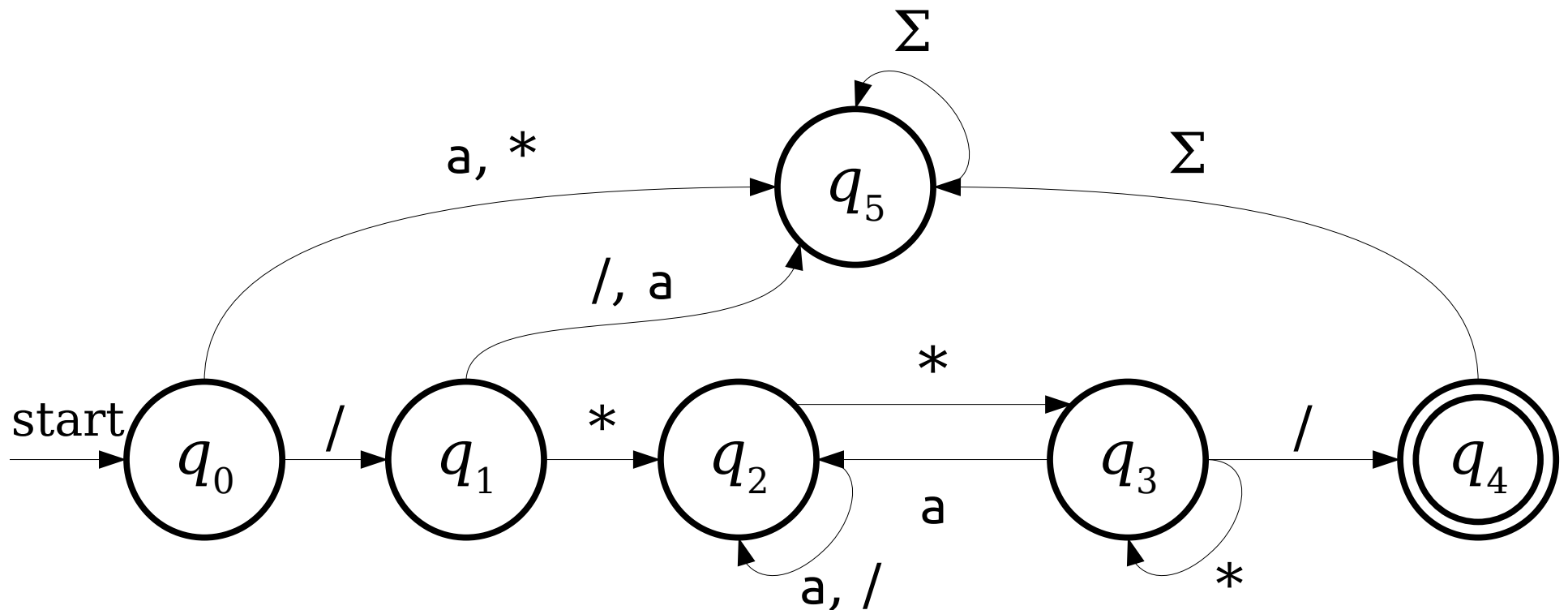


$$\bar{L} = \{ w \in \{a, b\}^* \mid w \text{ **does not** contain } aa \text{ as a substring} \}$$



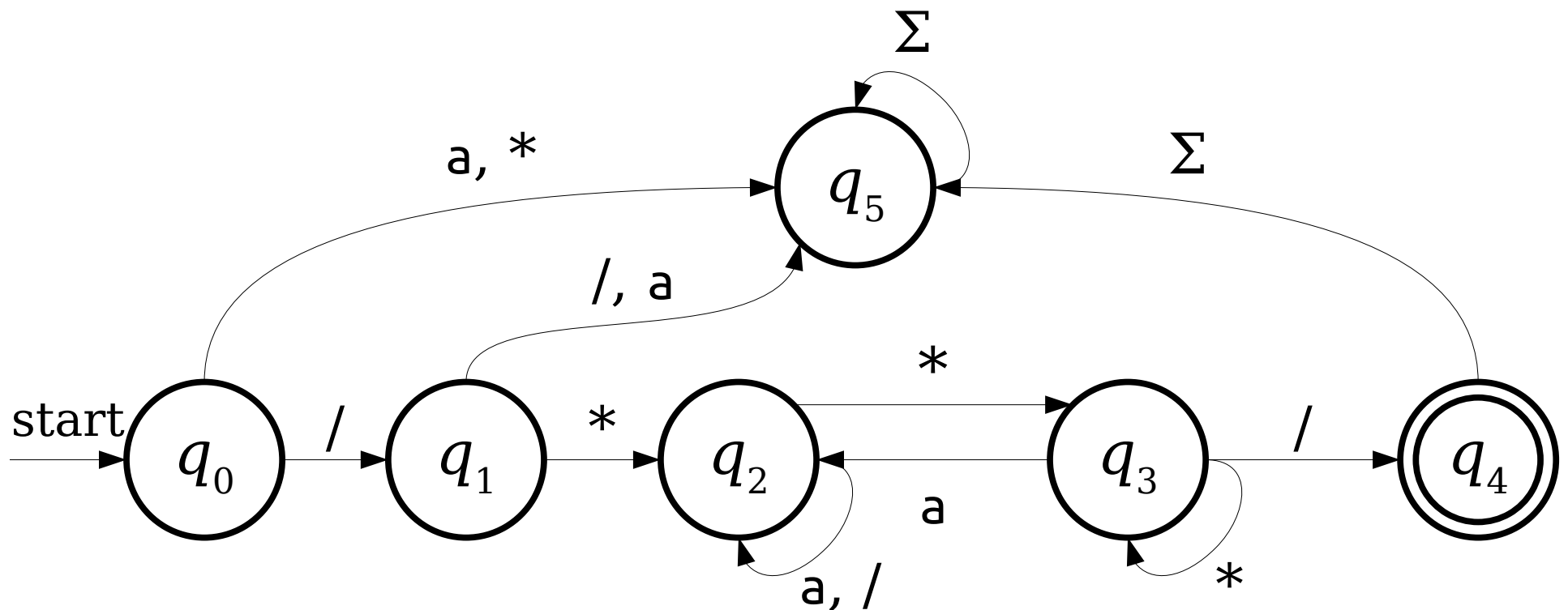
Complementing Regular Languages

$L = \{ w \in \{\mathbf{a}, \mathbf{*}, \mathbf{/}\}^* \mid w \text{ represents a C-style comment} \}$



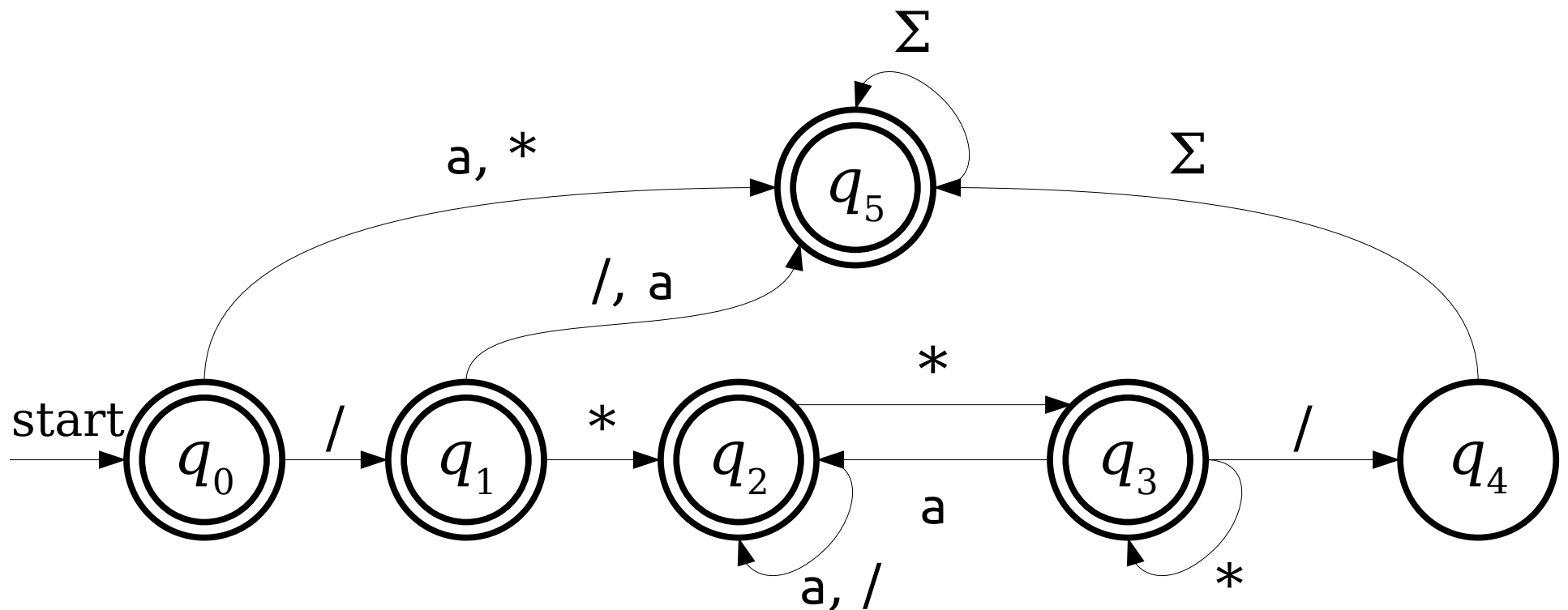
Complementing Regular Languages

$\bar{L} = \{ w \in \{\text{a}, *, /\}^* \mid w \text{ *doesn't* represent a C-style comment} \}$



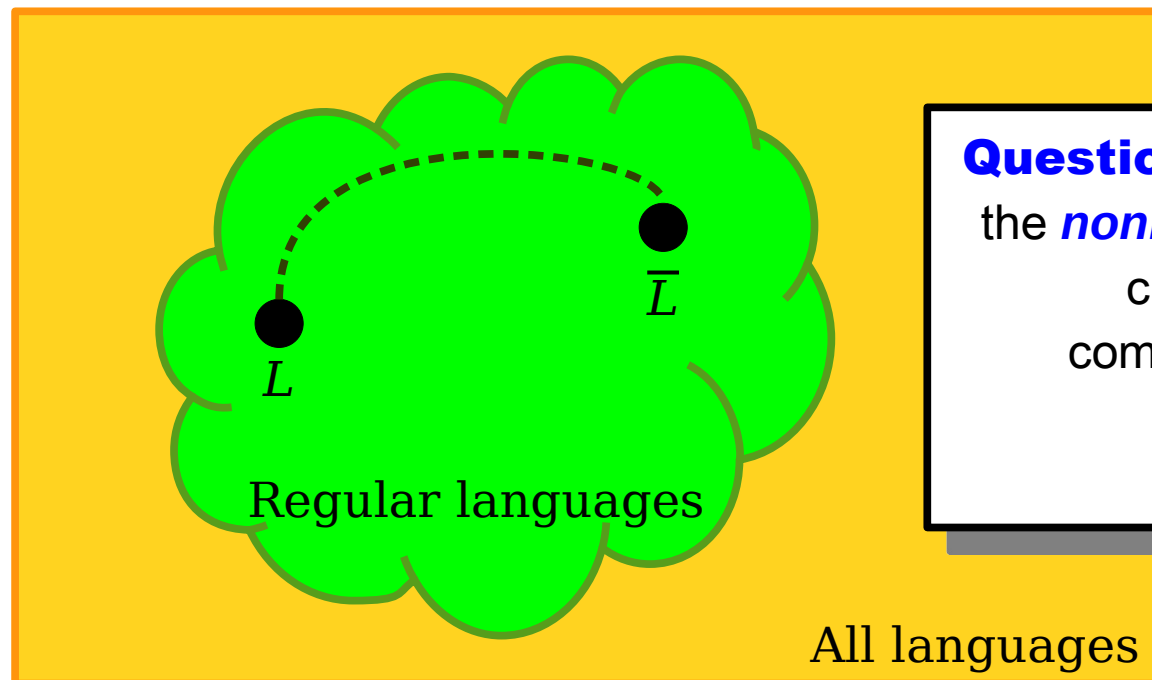
Complementing Regular Languages

$\bar{L} = \{ w \in \{\mathbf{a}, *, /\}^* \mid w \text{ *doesn't* represent a C-style comment} \}$



Closure Properties

- **Theorem:** If L is a regular language, then $\bar{L}$ is also a regular language.
- As a result, we say that the regular languages are **closed under complementation**.



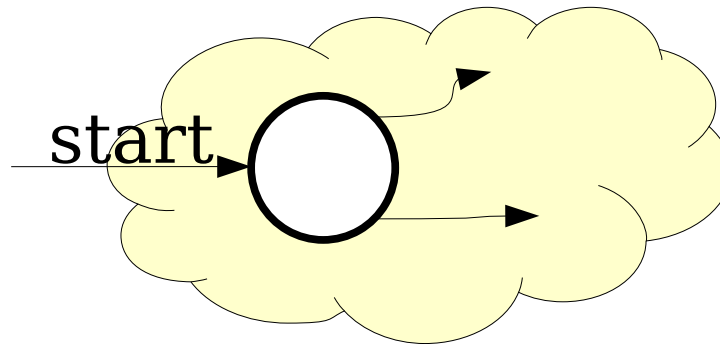
Question to ponder: are the *nonregular* languages closed under complementation?

The Union of Two Languages

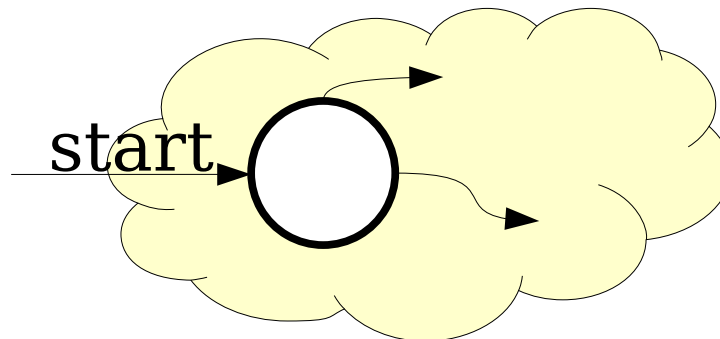
- If L_1 and L_2 are languages over the alphabet Σ , the language $L_1 \cup L_2$ is the language of all strings in at least one of the two languages.
- If L_1 and L_2 are regular languages, is $L_1 \cup L_2$?

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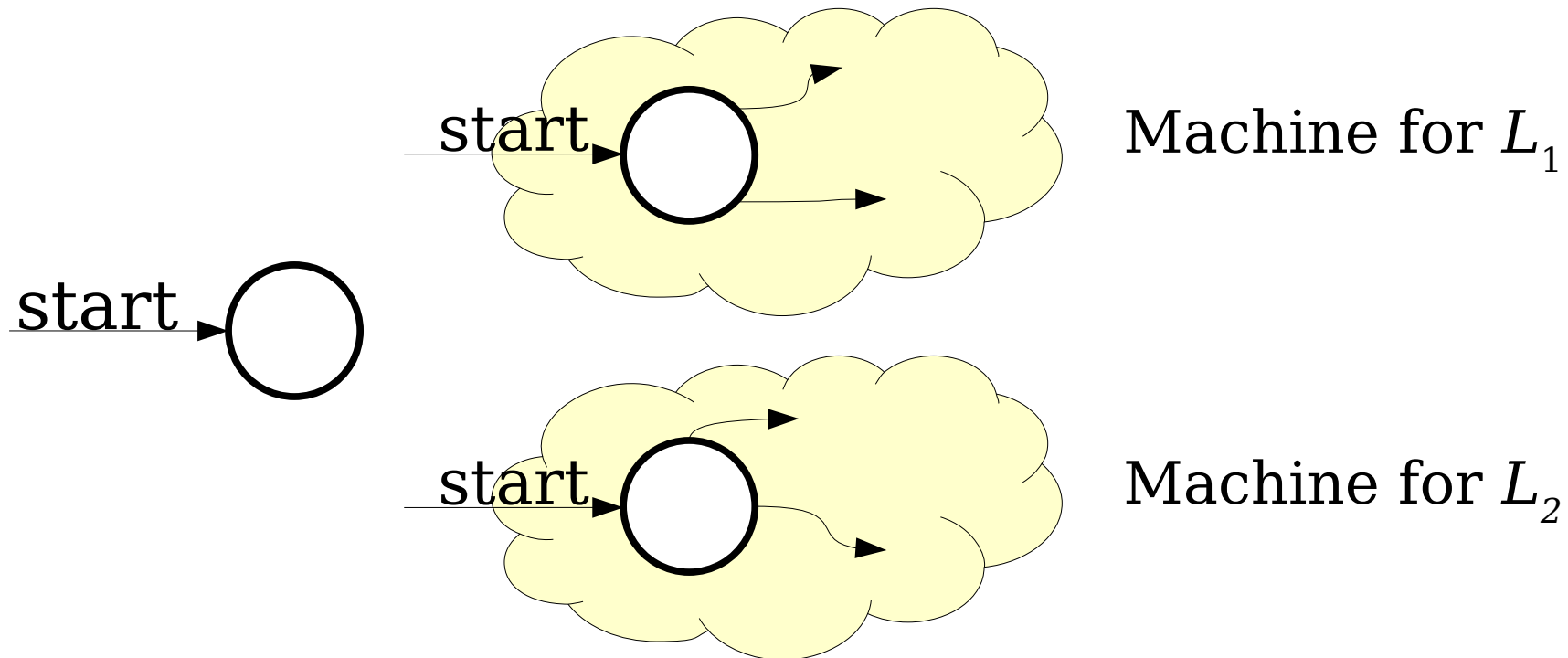
Machine for L_1



Machine for L_2

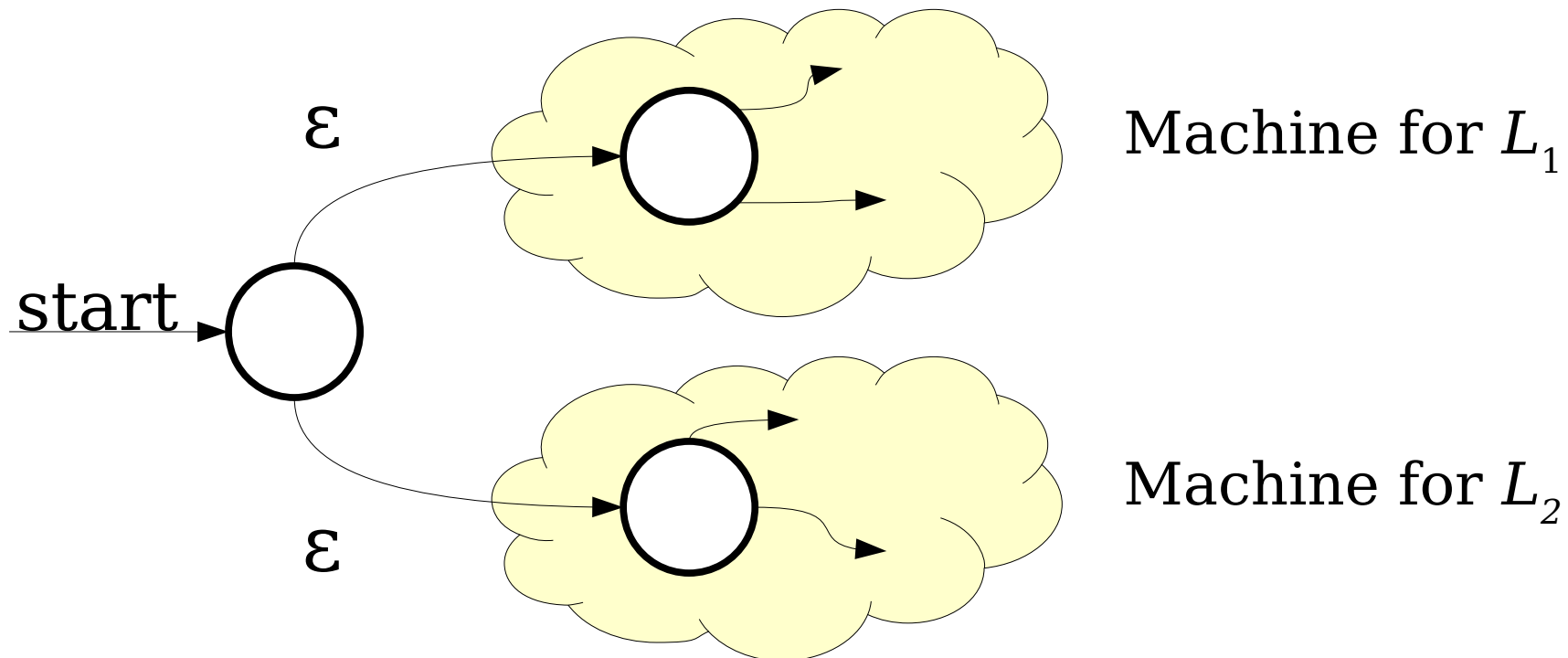
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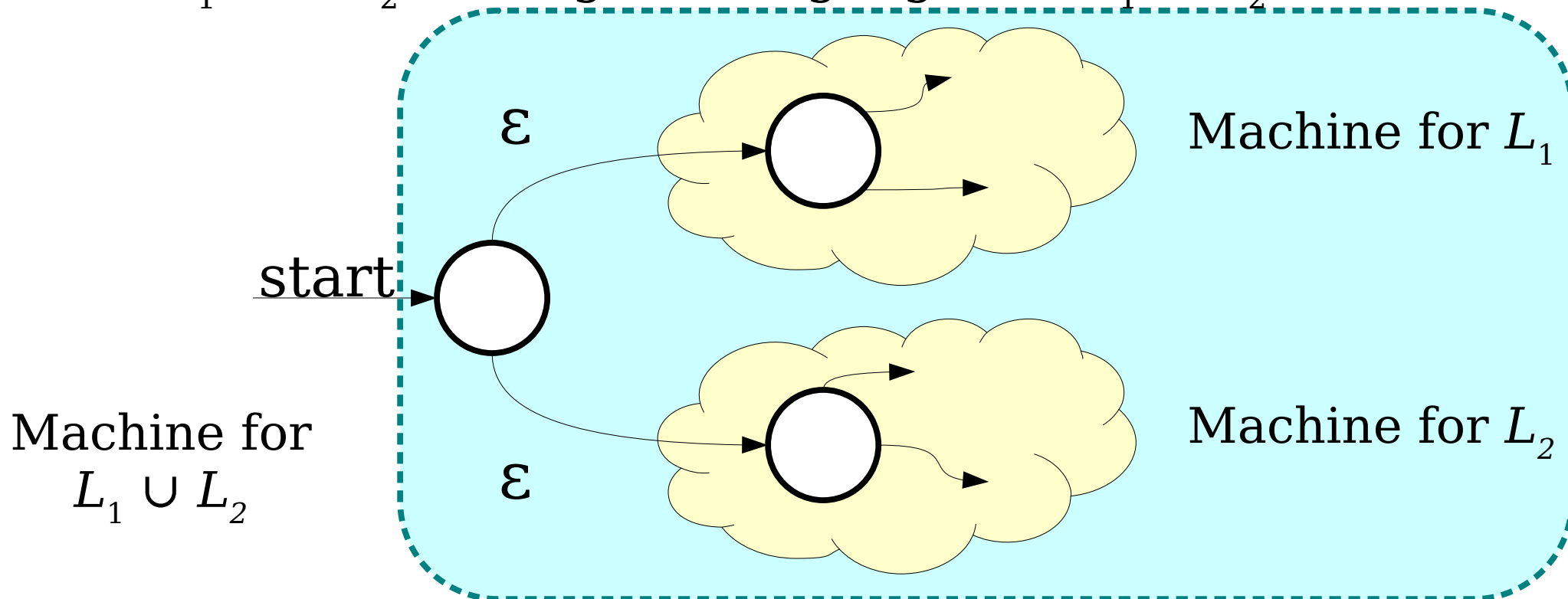
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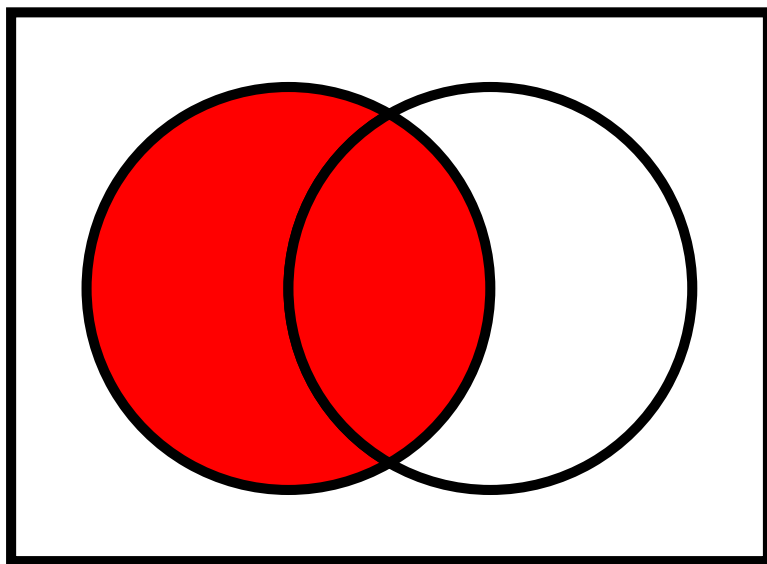


The Intersection of Two Languages

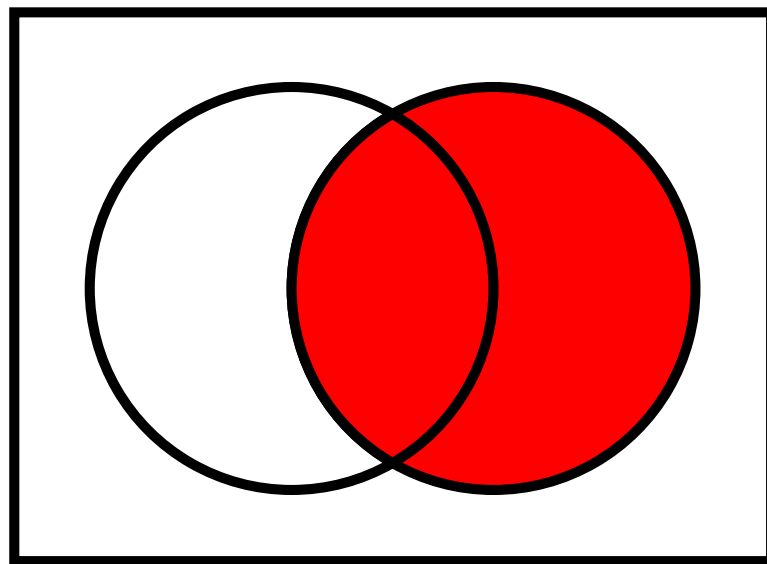
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- Question: If L_1 and L_2 are regular, is $L_1 \cap L_2$ regular as well?

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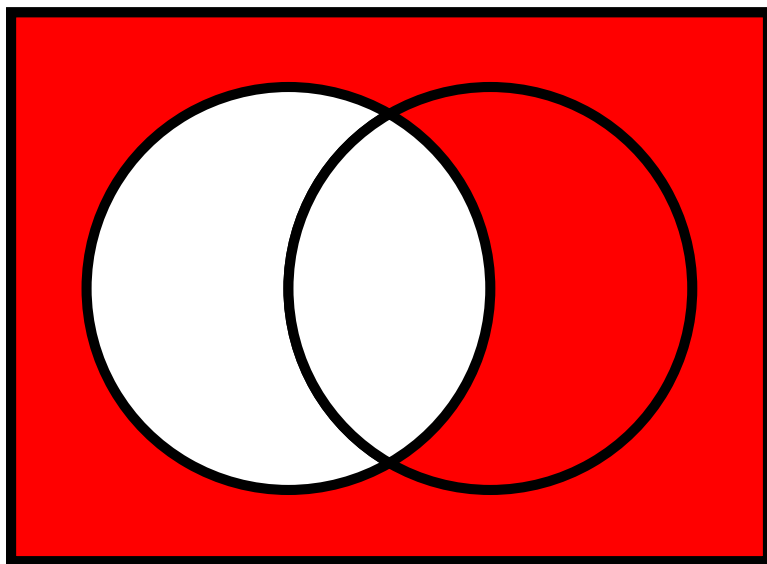
L_1



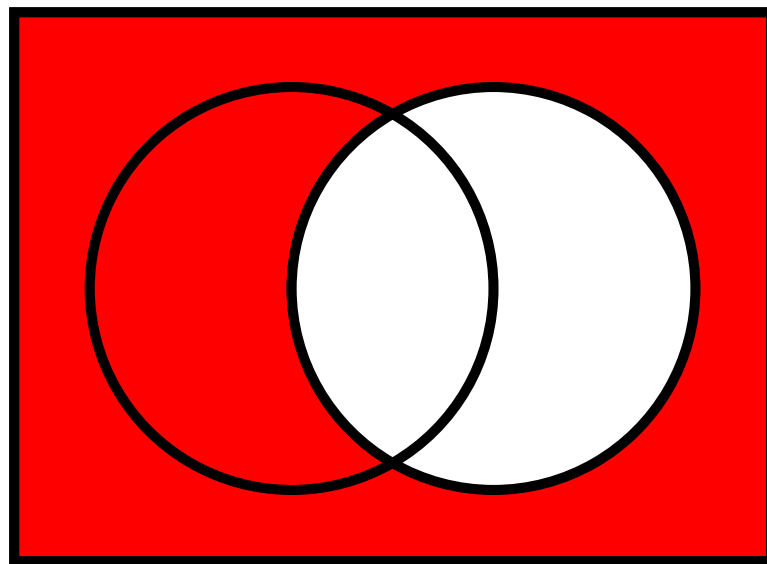
L_2

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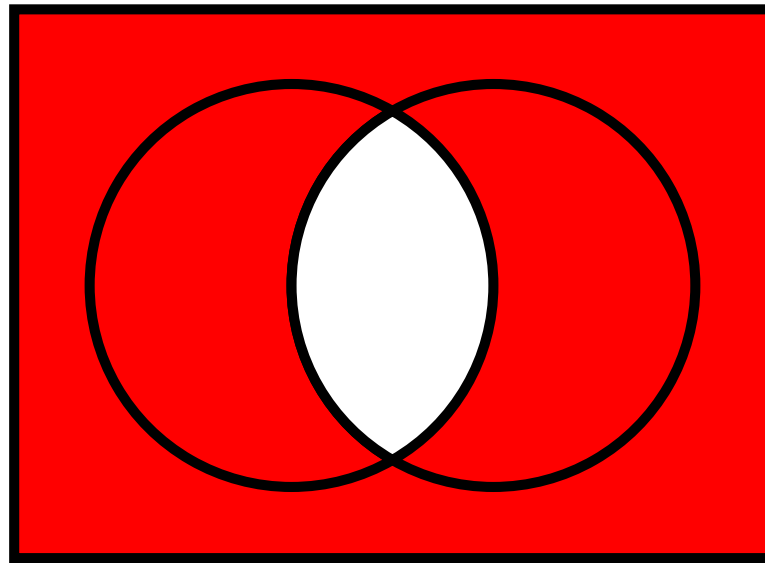
$\overline{L}_1$



$\overline{L}_2$

The Intersection of Two Languages

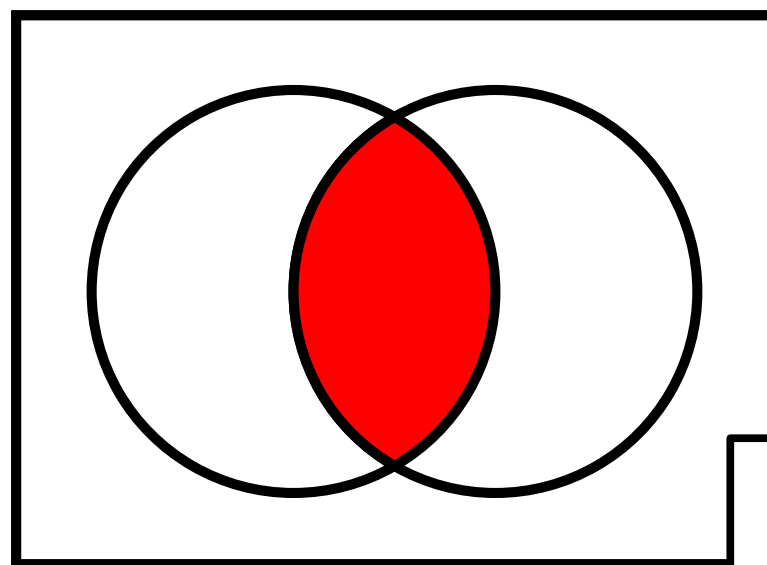
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$$\overline{\overline{L_1}} \cup \overline{\overline{L_2}}$$

Hey, it's De
Morgan's laws!

Concatenation

String Concatenation

- If $w \in \Sigma^*$ and $x \in \Sigma^*$, the **concatenation** of w and x , denoted **wx** , is the string formed by tacking all the characters of x onto the end of w .
- Example: if $w = \text{quo}$ and $x = \text{kka}$, the concatenation $wx = \text{quokka}$.
- This is analogous to the $+$ operator for strings in many programming languages.
- Some facts about concatenation:
 - The empty string ε is the **identity element** for concatenation:

$$w\varepsilon = \varepsilon w = w$$

- Concatenation is **associative**:

$$wxy = w(xy) = (wx)y$$

Concatenation

- The **concatenation** of two languages L_1 and L_2 over the alphabet Σ is the language

$$L_1L_2 = \{ wx \in \Sigma^* \mid w \in L_1 \wedge x \in L_2 \}$$

Concatenation Example

- Let $\Sigma = \{ \text{a, b, ..., z, A, B, ..., Z} \}$ and consider these languages over Σ :
 - ***Noun*** = { Puppy, Rainbow, Whale, ... }
 - ***Verb*** = { Hugs, Juggles, Loves, ... }
 - ***The*** = { The }
- The language ***TheNounVerbTheNoun*** is
 - { ThePuppyHugsTheWhale,
TheWhaleLovesTheRainbow,
TheRainbowJugglesTheRainbow, ... }

Concatenation

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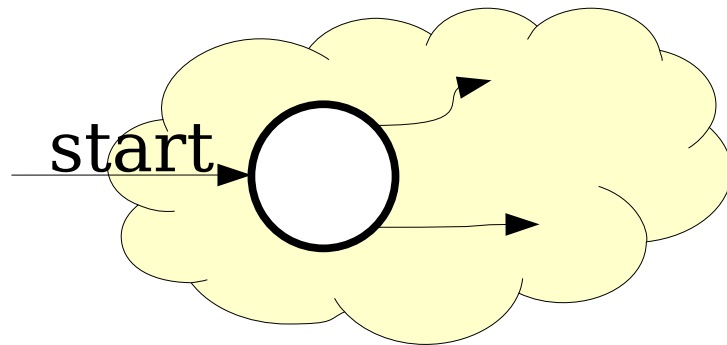
- Two views of L_1L_2 :
 - The set of all strings that can be made by concatenating a string in L_1 with a string in L_2 .
 - The set of strings that can be split into two pieces: a piece from L_1 and a piece from L_2 .

Concatenating Regular Languages

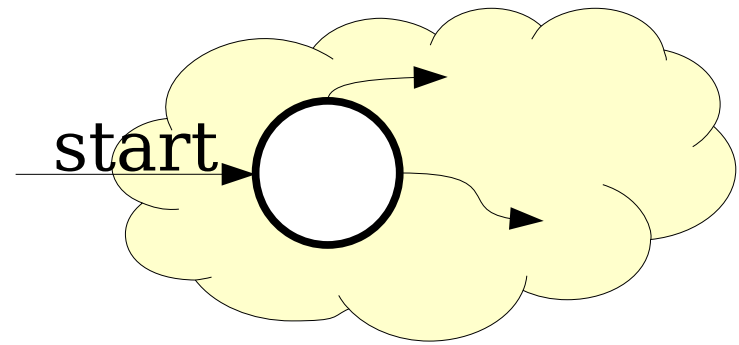
- If L_1 and L_2 are regular languages, is L_1L_2 ?
- Intuition – can we split a string w into two strings xy such that $x \in L_1$ and $y \in L_2$?

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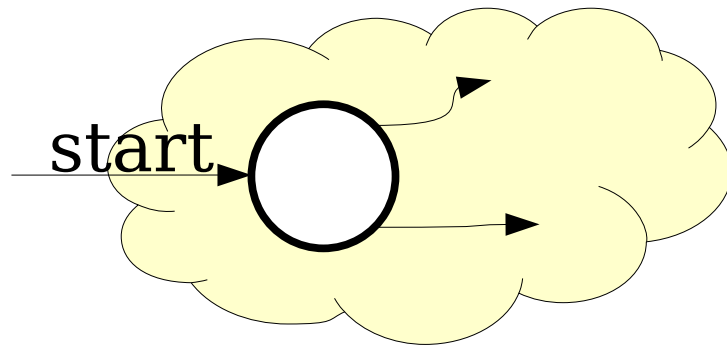
Machine for L_1



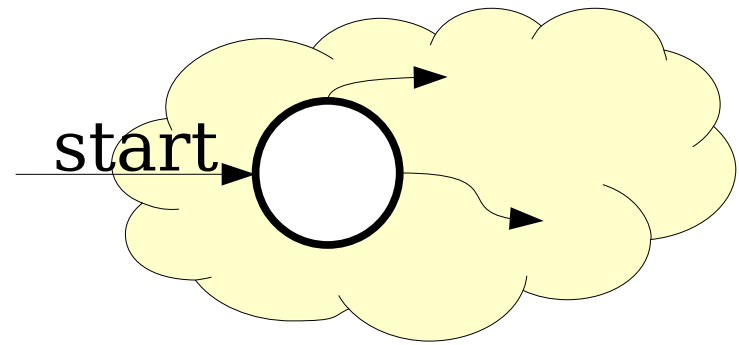
Machine for L_2

Concatenating Regular Languages

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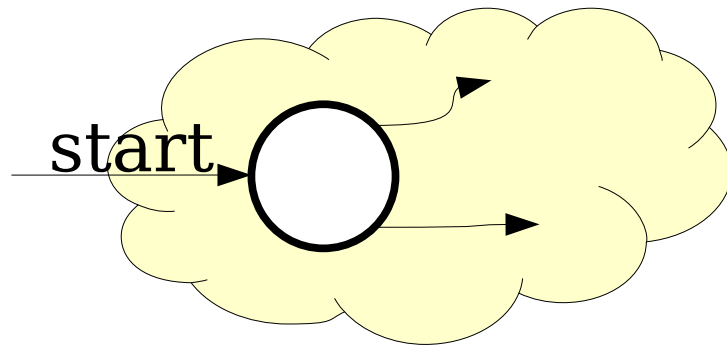


Machine for L_2

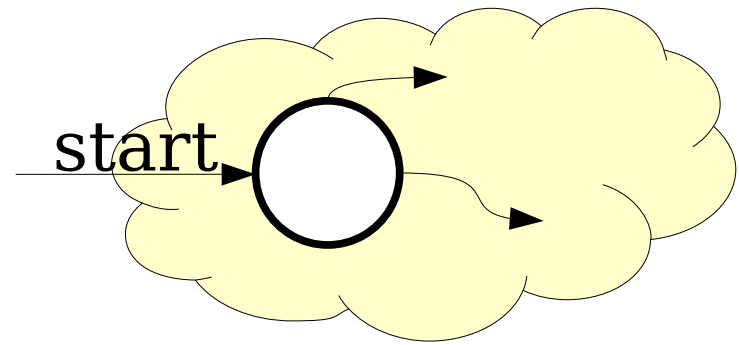
b	o	o	k	k	e	e	p	e	r
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Concatenating Regular Languages

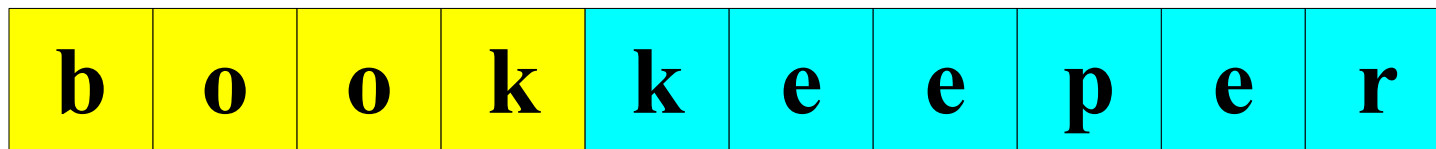
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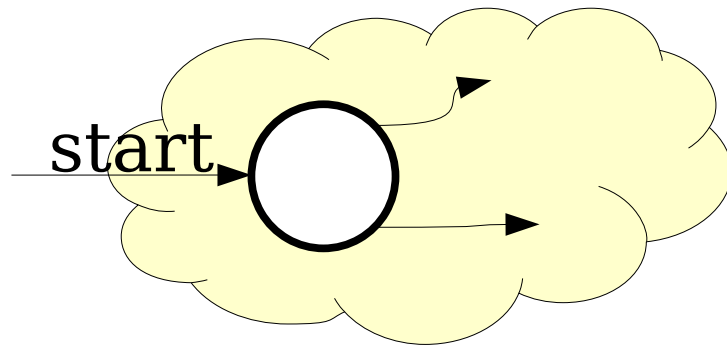


Machine for L_2



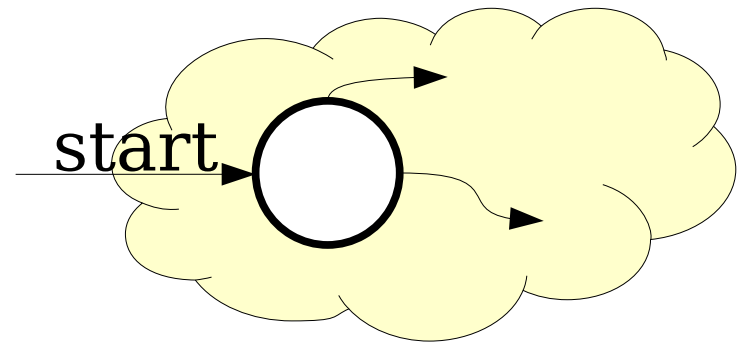
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Machine for L_1

b	o	o	k
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Machine for L_2

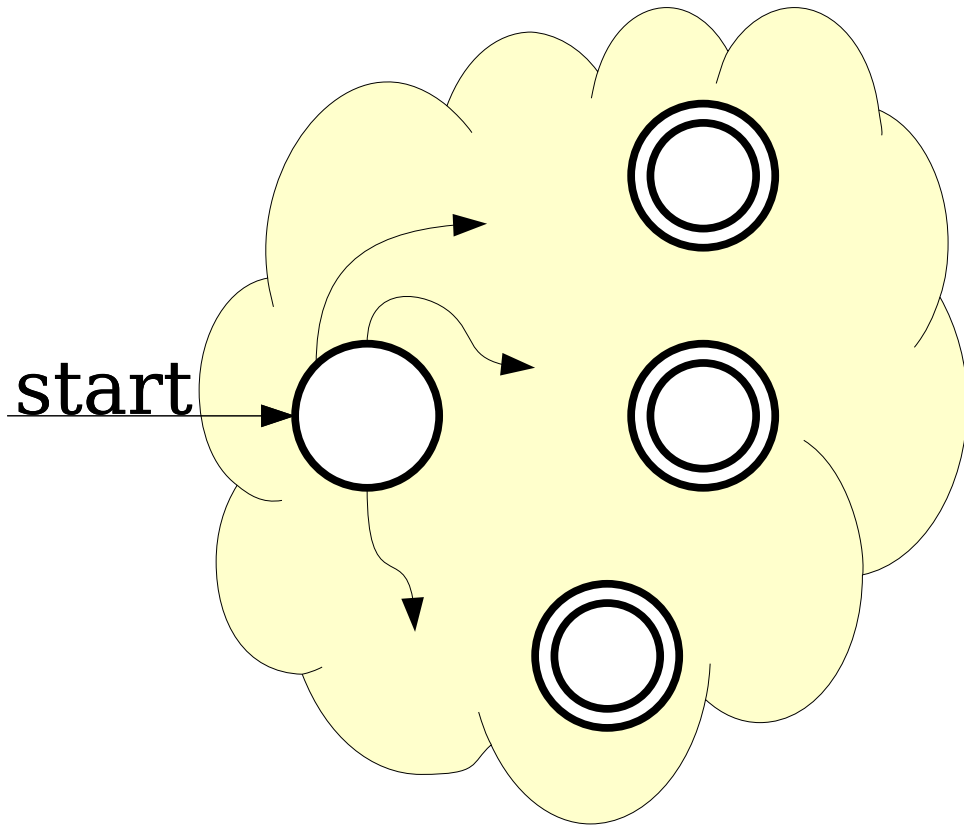
k	e	e	p	e	r
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Concatenating Regular Languages

- If L_1 and L_2 are regular languages, is L_1L_2 ?
- Intuition – can we split a string w into two strings xy such that $x \in L_1$ and $y \in L_2$?
- **Idea:**
 - Run a DFA/NFA for L_1 on w .
 - Whenever it reaches an accepting state, optionally hand the rest of w to a DFA/NFA for L_2 .
 - If the automaton for L_2 accepts the rest, $w \in L_1L_2$.
 - If the automaton for L_2 rejects the remainder, the split was incorrect.

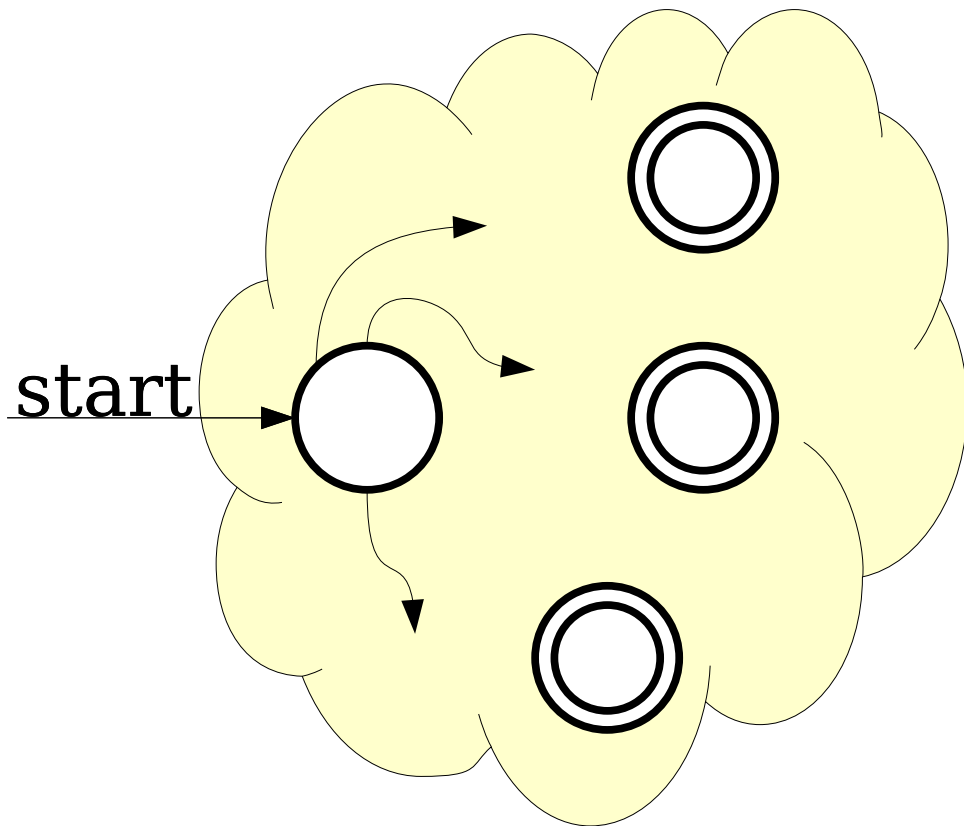
Concatenating Regular Languages

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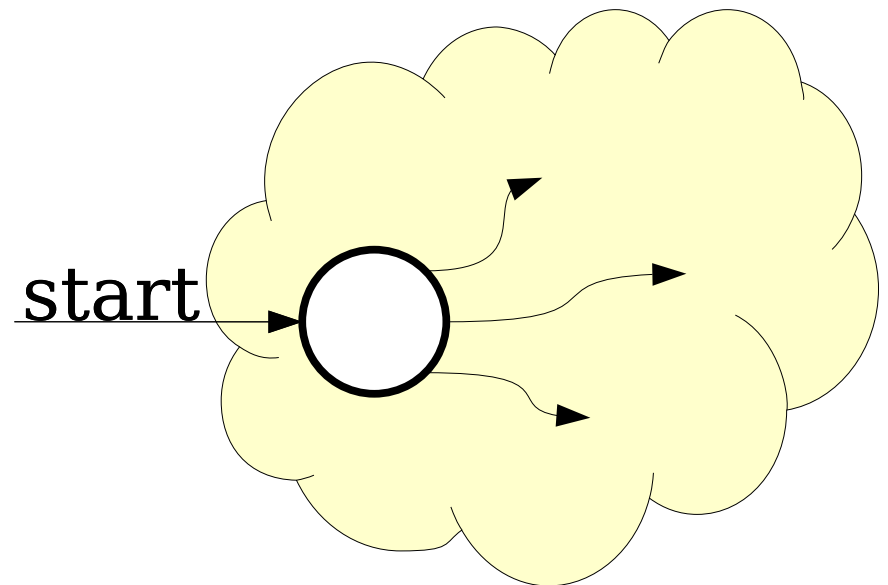


Machine for
 L_1

Concatenating Regular Languages

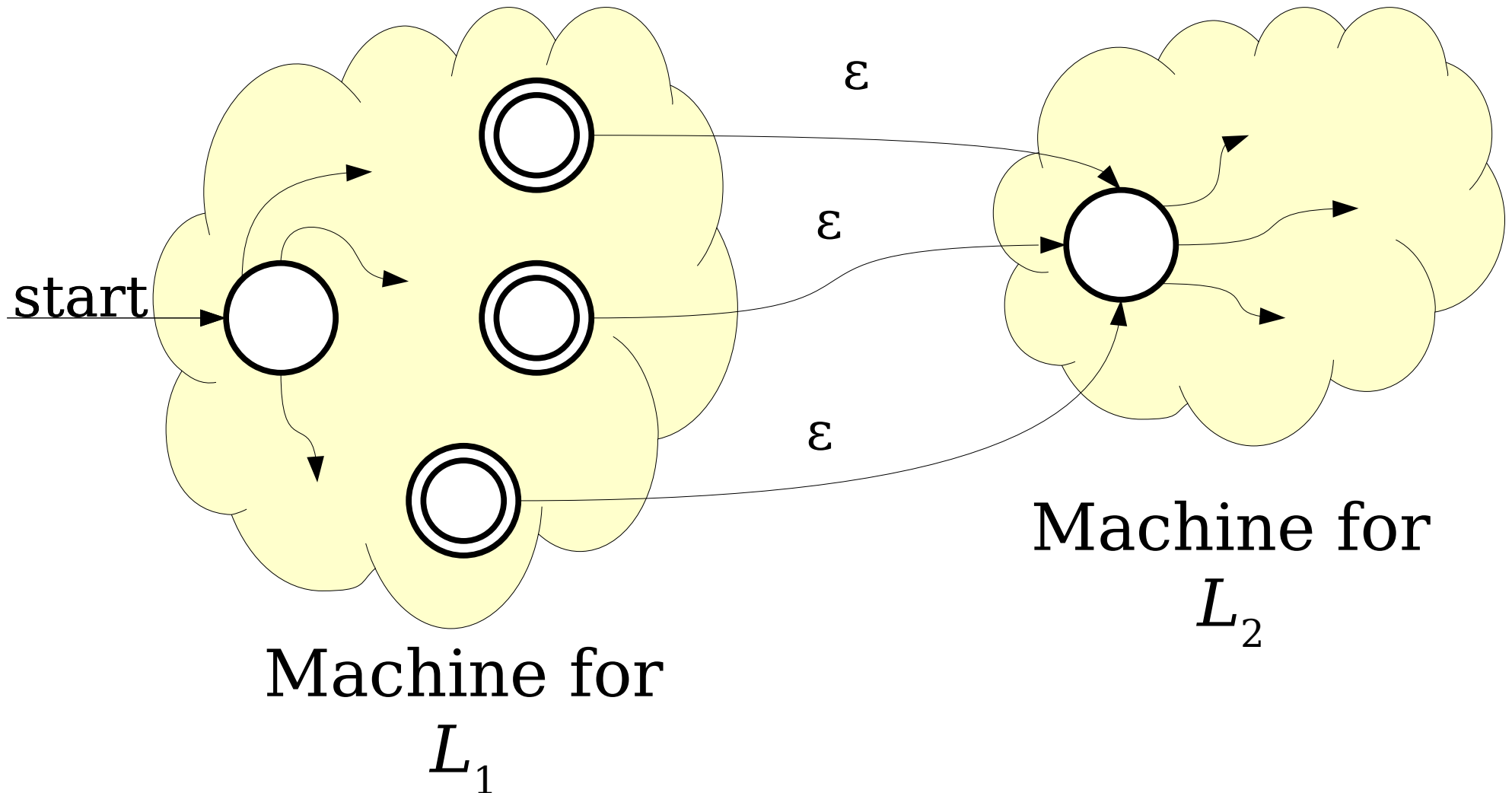


Machine for
 L_1

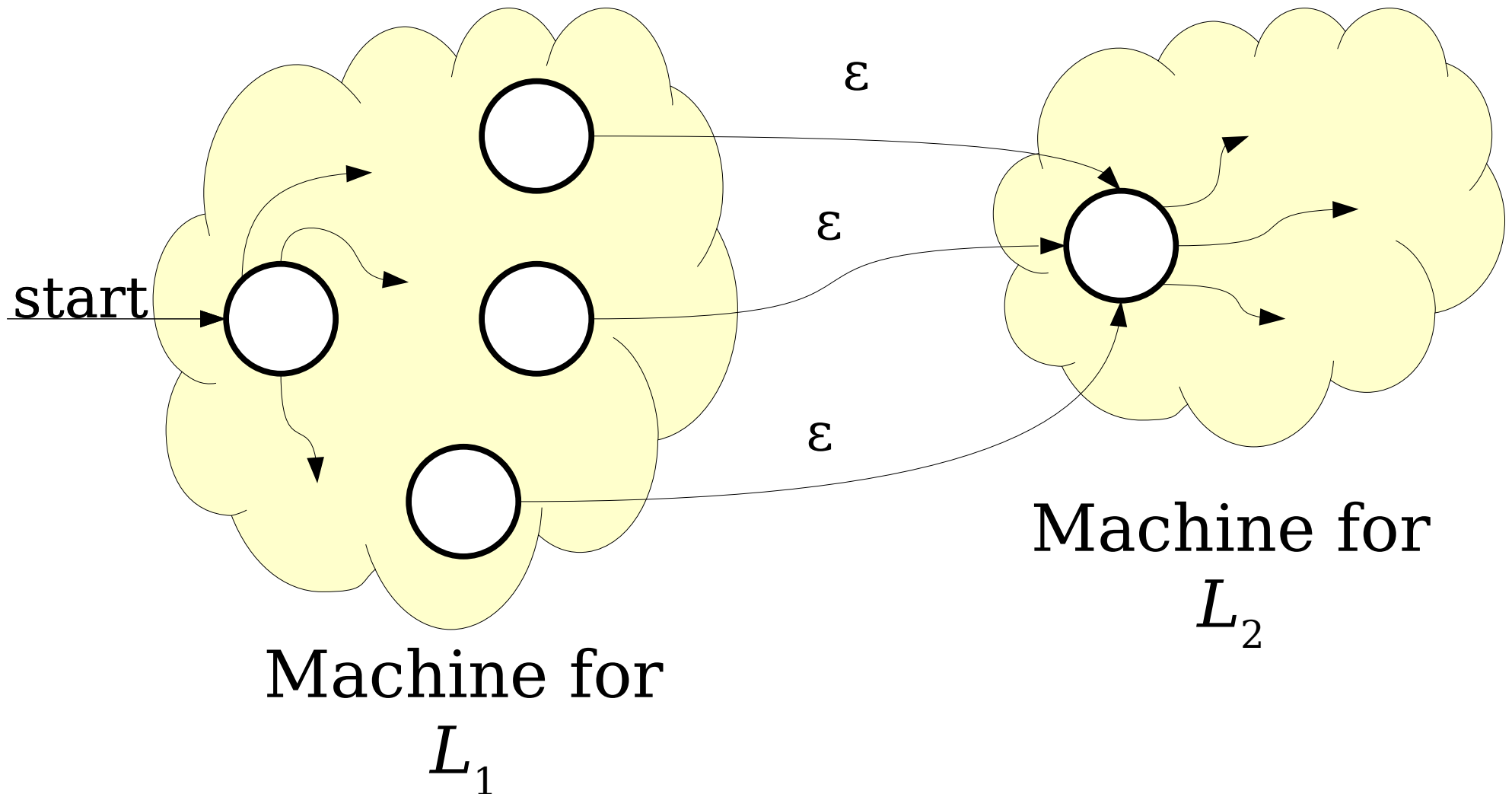


Machine for
 L_2

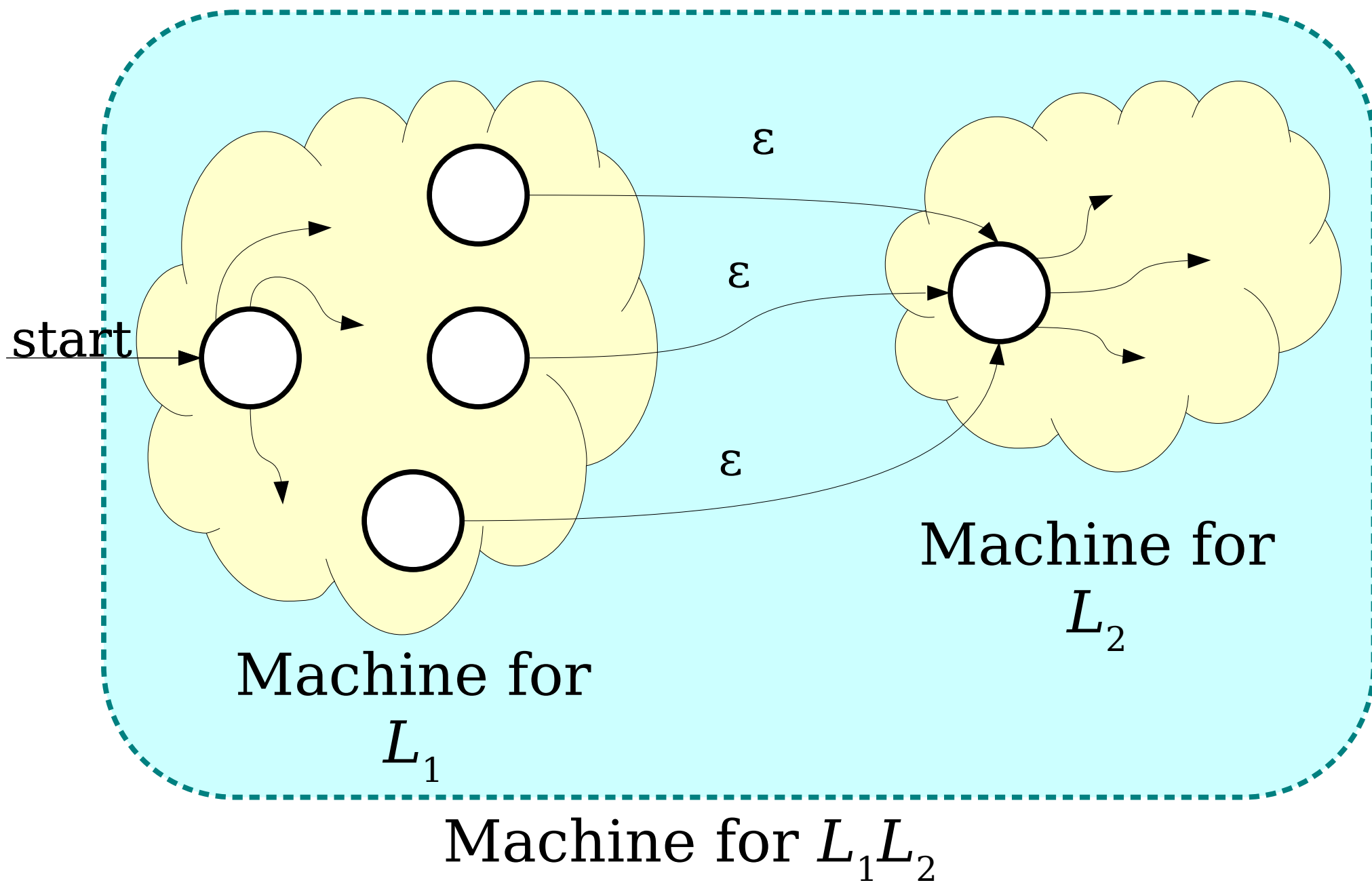
Concatenating Regular Languages



Concatenating Regular Languages



Concatenating Regular Languages



Lots and Lots of Concatenation

- Consider the language $L = \{ \text{aa}, \text{b} \}$
- LL is the set of strings formed by concatenating pairs of strings in L .

$\{ \text{aaaa}, \text{aab}, \text{baa}, \text{bb} \}$

- LLL is the set of strings formed by concatenating triples of strings in L .

$\{ \text{aaaaaaa}, \text{aaaab}, \text{aabaa}, \text{aabb}, \text{baaaa}, \text{baab}, \text{bbaa}, \text{bbb} \}$

- $LLLL$ is the set of strings formed by concatenating quadruples of strings in L .

$\{ \text{aaaaaaaa}, \text{aaaaaab}, \text{aaaabaa}, \text{aaaabb}, \text{aabaaaa}, \text{aabbaab}, \text{aabbaa}, \text{aabbb}, \text{baaaaaa}, \text{baaaab}, \text{baabaa}, \text{baabb}, \text{bbaaaa}, \text{bbaab}, \text{bbbaa}, \text{bbbb} \}$

Language Exponentiation

- We can define what it means to “exponentiate” a language as follows:
- $L^0 = \{\varepsilon\}$
 - Intuition: The only string you can form by gluing no strings together is the empty string.
 - Notice that $\{\varepsilon\} \neq \emptyset$. Can you explain why?
- $L^{n+1} = LL^n$
 - Idea: Concatenating $(n+1)$ strings together works by concatenating n strings, then concatenating one more.
- **Question to ponder:** Why define $L^0 = \{\varepsilon\}$?
- **Question to ponder:** What is $\emptyset^0$?

The Kleene Star

The Kleene Closure

- An important operation on languages is the ***Kleene Closure***, which is defined as

$$L^* = \{ w \in \Sigma^* \mid \exists n \in \mathbb{N}. w \in L^n \}$$

- Mathematically:

$$w \in L^* \quad \leftrightarrow \quad \exists n \in \mathbb{N}. w \in L^n$$

- Intuitively, L^* is the language all possible ways of concatenating zero or more strings in L together, possibly with repetition.
- ***Question to ponder:*** What is $\emptyset^*$?

The Kleene Closure

If $L = \{ \text{a}, \text{bb} \}$, then $L^* = \{$

$\epsilon,$

$\text{a}, \text{bb},$

$\text{aa}, \text{abb}, \text{bba}, \text{bbbb},$

$\text{aaa}, \text{aabb}, \text{abba}, \text{abbbb}, \text{bbaa}, \text{bbabb}, \text{bbbba}, \text{bbbbbb},$

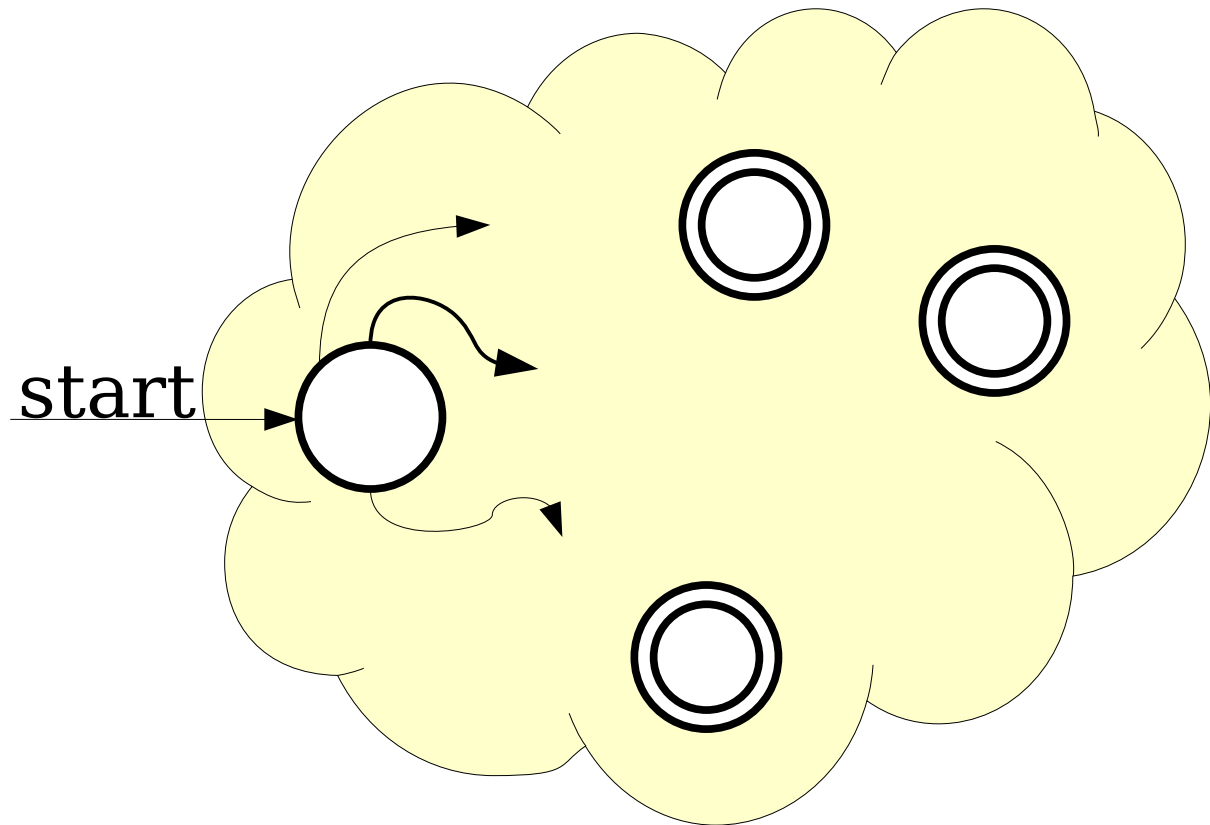
$\dots$

$\}$

Think of L^* as the set of strings you can make if you have a collection of stamps – one for each string in L – and you form every possible string that can be made from those stamps.

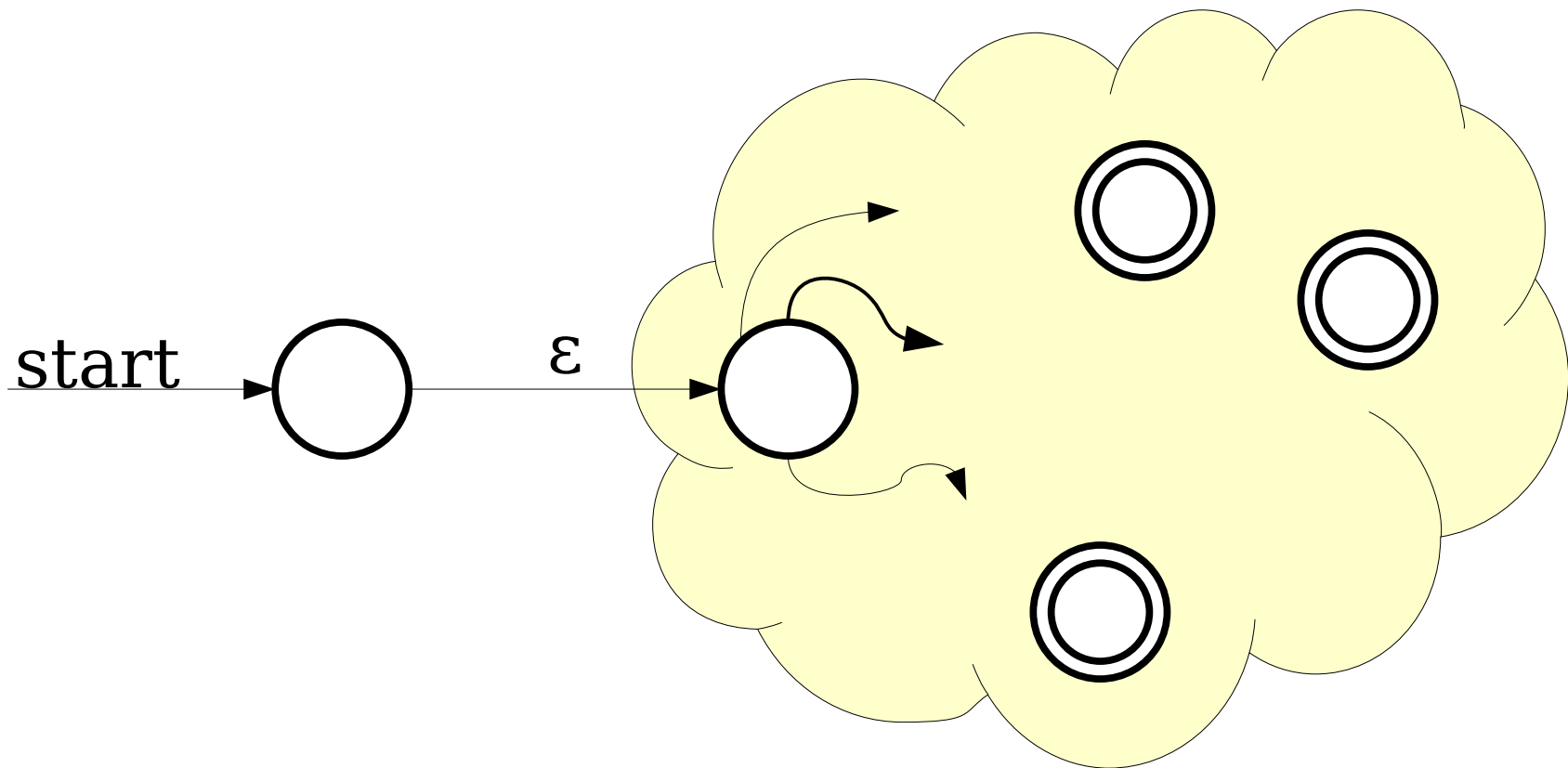
Idea: Can we convert an NFA for language L to an NFA for language L^* ?

The Kleene Star



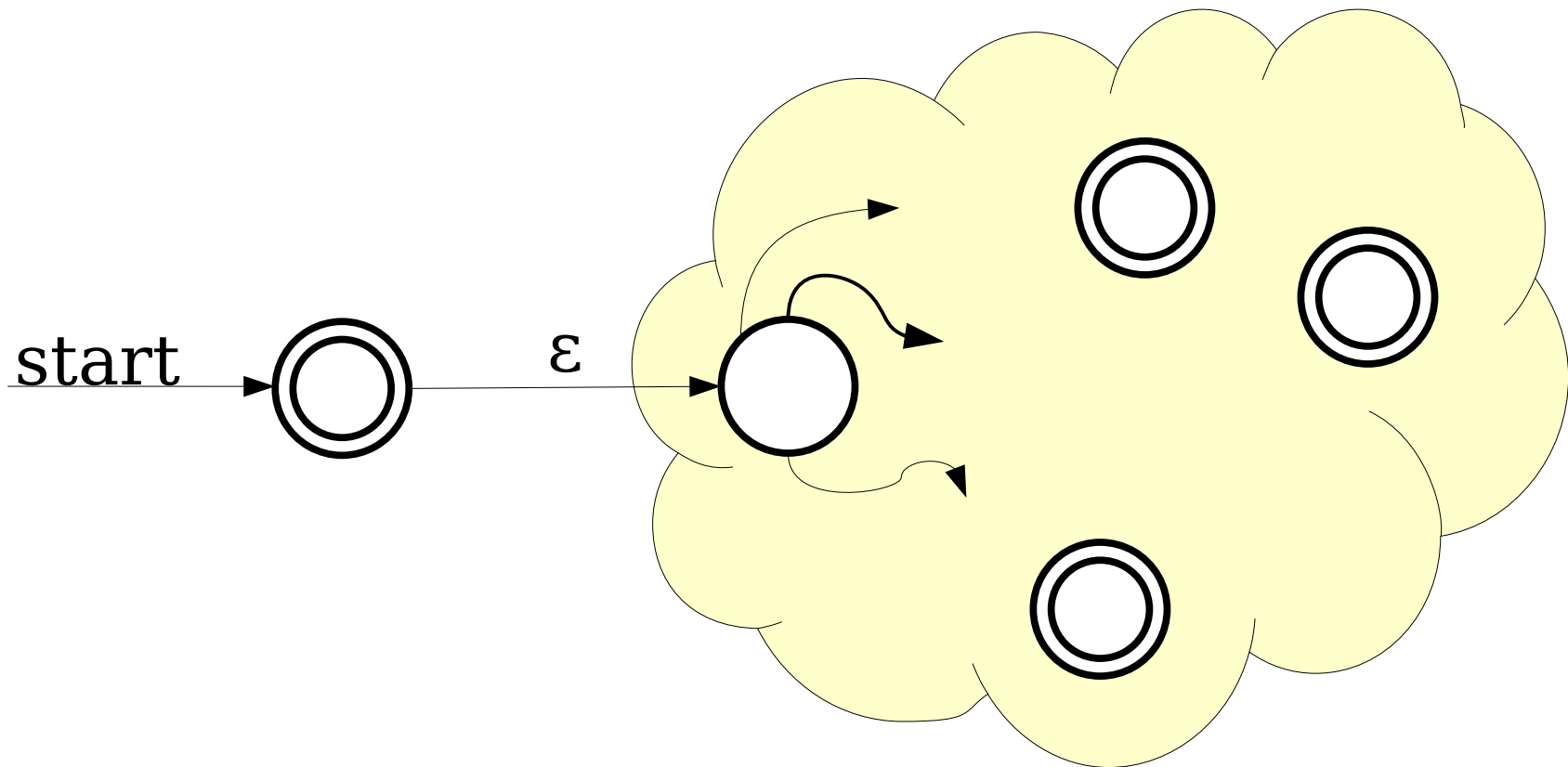
Machine for L

The Kleene Star



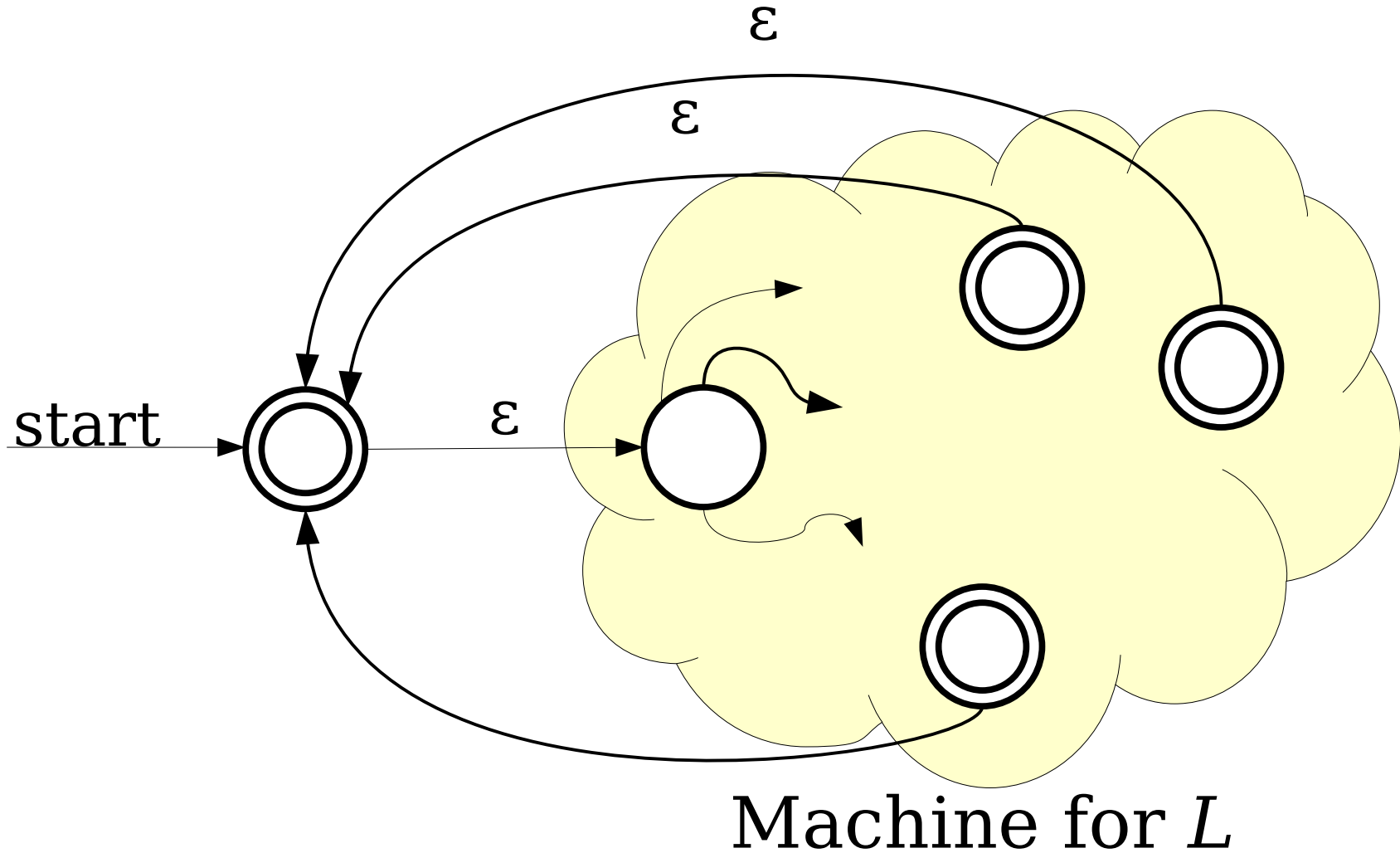
Machine for L

The Kleene Star

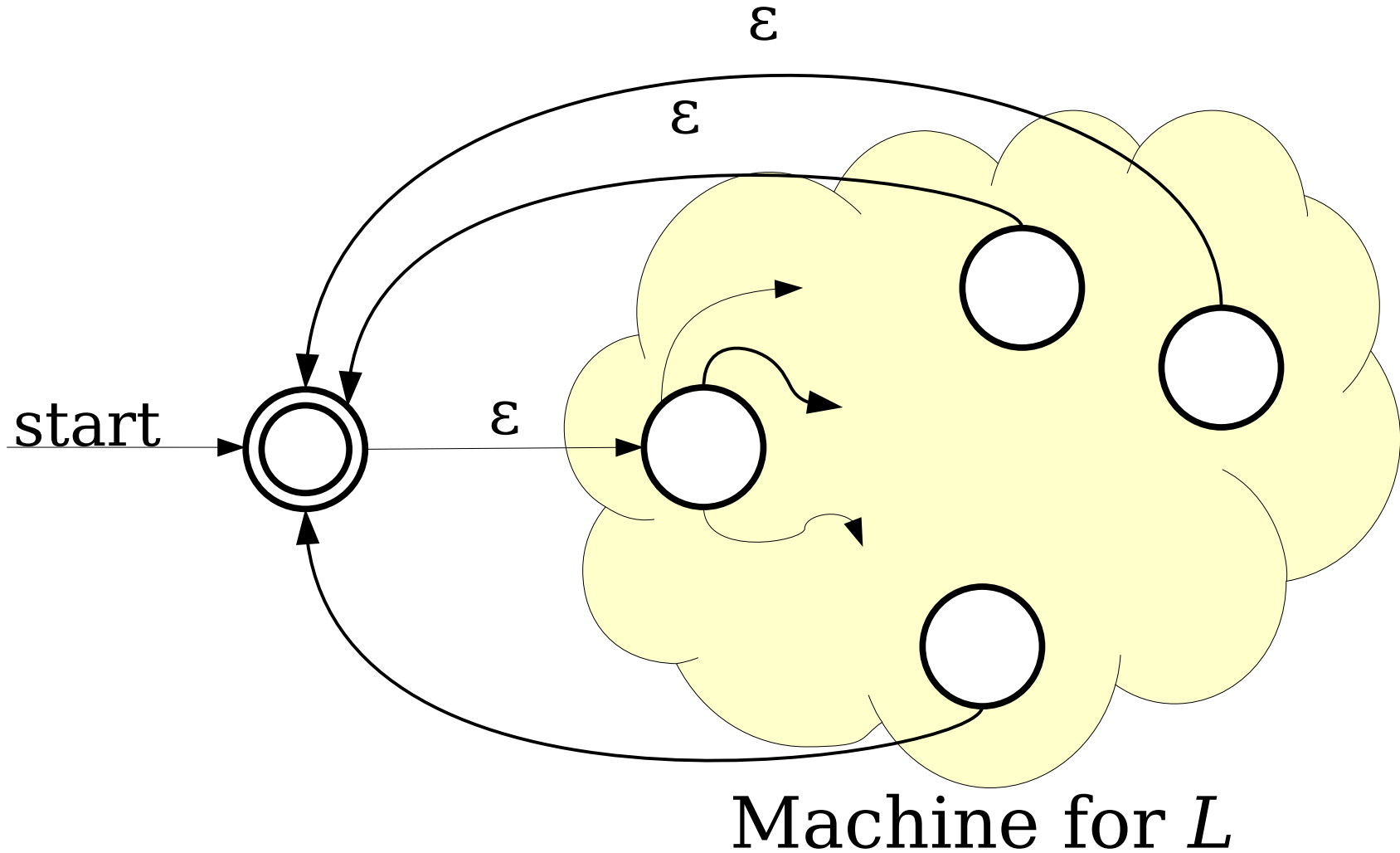


Machine for L

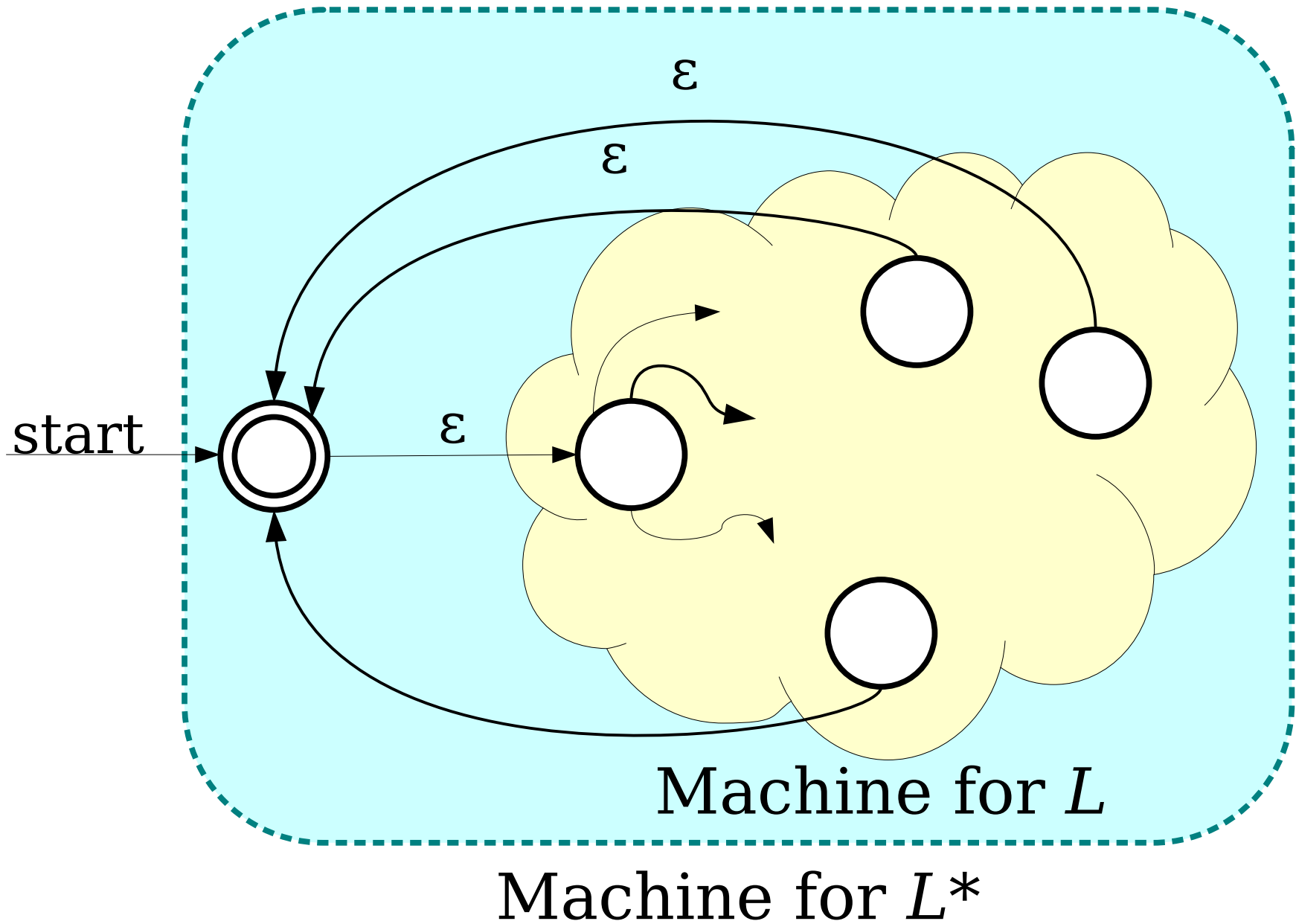
The Kleene Star



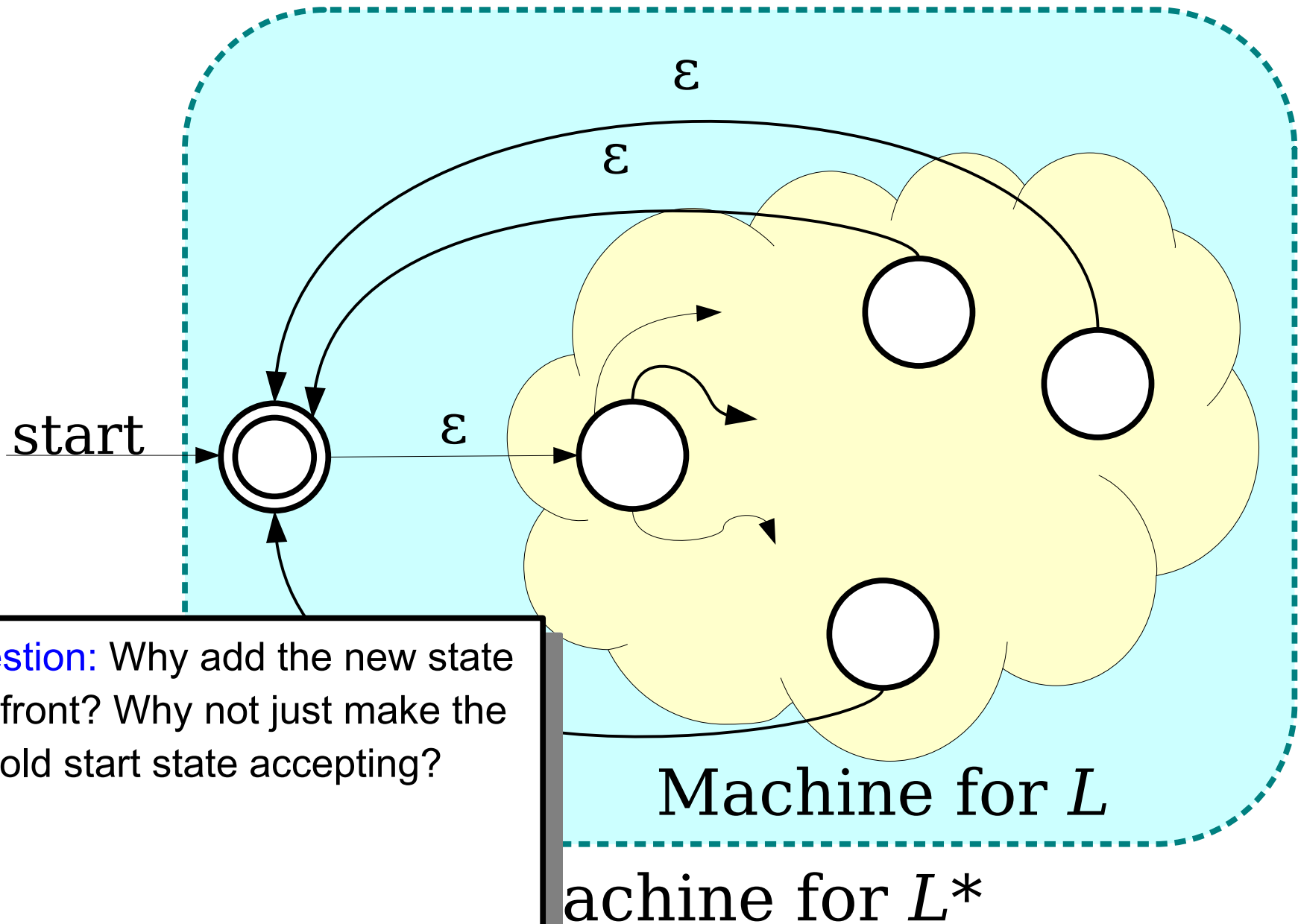
The Kleene Star



The Kleene Star



The Kleene Star



Question: Why add the new state out front? Why not just make the old start state accepting?

Closure Properties

- ***Theorem:*** If L_1 and L_2 are regular languages over an alphabet Σ , then so are the following languages:
 - $\overline{L_1}$
 - $L_1 \cup L_2$
 - $L_1 \cap L_2$
 - $L_1 L_2$
 - L_1^*
- These properties are called ***closure properties of the regular languages.***

Next Time

- ***Regular Expressions***
 - Building languages from the ground up!
- ***Thompson's Algorithm***
 - A UNIX Programmer in Theoryland.
- ***Kleene's Theorem***
 - From machines to programs!

Regular Expressions

Recap from Last Time

Regular Languages

- A language L is a ***regular language*** if there is a DFA D such that $\mathcal{L}(D) = L$.
- ***Theorem:*** The following are equivalent:
 - L is a regular language.
 - There is a DFA for L .
 - There is an NFA for L .

Language Concatenation

- If $w \in \Sigma^*$ and $x \in \Sigma^*$, then wx is the **concatenation** of w and x .
- If L_1 and L_2 are languages over Σ , the **concatenation** of L_1 and L_2 is the language L_1L_2 defined as

$$L_1L_2 = \{ wx \mid w \in L_1 \text{ and } x \in L_2 \}$$

- Example: if $L_1 = \{ a, ba, bb \}$ and $L_2 = \{ aa, bb \}$, then

$$L_1L_2 = \{ aaa, abb, baaa, babb, bbba, bbbb \}$$

Lots and Lots of Concatenation

- Consider the language $L = \{ \text{aa}, \text{b} \}$
- LL is the set of strings formed by concatenating pairs of strings in L .

$\{ \text{aaaa}, \text{aab}, \text{baa}, \text{bb} \}$

- LLL is the set of strings formed by concatenating triples of strings in L .

$\{ \text{aaaaaaa}, \text{aaaab}, \text{aabaa}, \text{aabb}, \text{baaaa}, \text{baab}, \text{bbaa}, \text{bbb} \}$

- $LLLL$ is the set of strings formed by concatenating quadruples of strings in L .

$\{ \text{aaaaaaaa}, \text{aaaaaab}, \text{aaaabaa}, \text{aaaabb}, \text{aabaaaa}, \text{aabbaab}, \text{aabbaa}, \text{aabbb}, \text{baaaaaa}, \text{baaaab}, \text{baabaa}, \text{baabb}, \text{bbaaaa}, \text{bbaab}, \text{bbbaa}, \text{bbbb} \}$

Language Exponentiation

- We can define what it means to “exponentiate” a language as follows:
- $L^0 = \{\varepsilon\}$
 - Intuition: The only string you can form by gluing no strings together is the empty string.
 - Notice that $\{\varepsilon\} \neq \emptyset$. Can you explain why?
- $L^{n+1} = LL^n$
 - Idea: Concatenating $(n+1)$ strings together works by concatenating n strings, then concatenating one more.
- **Question to ponder:** Why define $L^0 = \{\varepsilon\}$?
- **Question to ponder:** What is $\emptyset^0$?

The Kleene Closure

- An important operation on languages is the ***Kleene Closure***, which is defined as

$$L^* = \{ w \in \Sigma^* \mid \exists n \in \mathbb{N}. w \in L^n \}$$

- Mathematically:

$$w \in L^* \quad \text{iff} \quad \exists n \in \mathbb{N}. w \in L^n$$

- Intuitively, all possible ways of concatenating zero or more strings in L together, possibly with repetition.
- ***Question:*** What is $\emptyset^0$?

The Kleene Closure

If $L = \{ \text{a}, \text{bb} \}$, then $L^* = \{$

$\epsilon,$

$\text{a}, \text{bb},$

$\text{aa}, \text{abb}, \text{bba}, \text{bbbb},$

$\text{aaa}, \text{aabb}, \text{abba}, \text{abbbb}, \text{bbaa}, \text{bbabb}, \text{bbbba}, \text{bbbbbb},$

$\dots$

$\}$

Think of L^* as the set of strings you can make if you have a collection of stamps – one for each string in L – and you form every possible string that can be made from those stamps.

Closure Properties

- ***Theorem:*** If L_1 and L_2 are regular languages over an alphabet Σ , then so are the following languages:
 - $\overline{L_1}$
 - $L_1 \cup L_2$
 - $L_1 \cap L_2$
 - $L_1 L_2$
 - L_1^*
- These properties are called ***closure properties of the regular languages.***

New Stuff!

Another View of Regular Languages

Rethinking Regular Languages

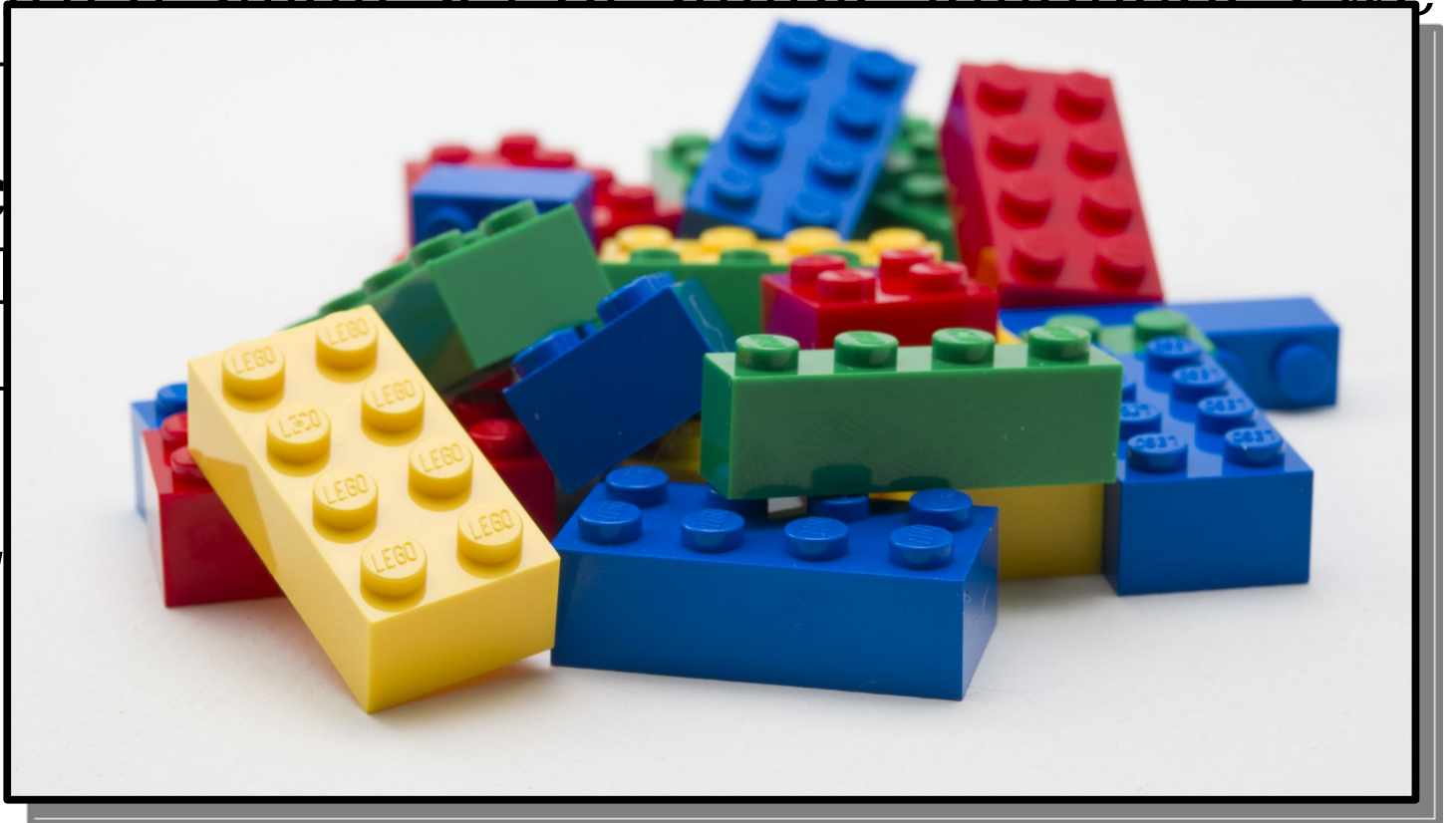
- We currently have several tools for showing a language L is regular:
 - Construct a DFA for L .
 - Construct an NFA for L .
 - Combine several simpler regular languages together via closure properties to form L .
- We have not spoken much of this last idea.

Constructing Regular Languages

- **Idea:** Build up all regular languages as follows:
 - Start with a small set of simple languages we already know to be regular.
 - Using closure properties, combine these simple languages together to form more elaborate languages.
- *This is a bottom-up approach to the regular languages.*

Constructing Regular Languages

- **Idea:** Build up all regular languages as follows:
 - Start with a small set of simple languages we already
 - Using a small set of simple languages, elaborate
- *This is a regular language*



Regular Expressions

- ***Regular expressions*** are a way of describing a language via a string representation.
- They're used just about everywhere:
 - They're built into the JavaScript language and used for data validation.
 - They're used in the UNIX grep and flex tools to search files and build compilers.
 - They're employed to clean and scrape data for large-scale analysis projects.
- Conceptually, regular expressions are strings describing how to assemble a larger language out of smaller pieces.

Atomic Regular Expressions

- The regular expressions begin with three simple building blocks.
- The symbol $\emptyset$ is a regular expression that represents the empty language $\emptyset$.
- For any $a \in \Sigma$, the symbol a is a regular expression for the language $\{a\}$.
- The symbol ϵ is a regular expression that represents the language $\{\epsilon\}$.
 - **Remember:** $\{\epsilon\} \neq \emptyset!$
 - **Remember:** $\{\epsilon\} \neq \epsilon!$

Compound Regular Expressions

- If R_1 and R_2 are regular expressions, $\mathbf{R_1R_2}$ is a regular expression for the *concatenation* of the languages of R_1 and R_2 .
- If R_1 and R_2 are regular expressions, $\mathbf{R_1 \cup R_2}$ is a regular expression for the *union* of the languages of R_1 and R_2 .
- If R is a regular expression, $\mathbf{R^*}$ is a regular expression for the *Kleene closure* of the language of R .
- If R is a regular expression, $\mathbf{(R)}$ is a regular expression with the same meaning as R .

Operator Precedence

- Here's the operator precedence for regular expressions:

(R)

R^*

R_1R_2

$R_1 \cup R_2$

- So **ab*cUd** is parsed as **((a(b*))c)Ud**

Regular Expressions, Formally

- The *language of a regular expression* is the language described by that regular expression.
- Formally:
 - $\mathcal{L}(\epsilon) = \{\epsilon\}$
 - $\mathcal{L}(\emptyset) = \emptyset$
 - $\mathcal{L}(a) = \{a\}$
 - $\mathcal{L}(R_1 R_2) = \mathcal{L}(R_1) \mathcal{L}(R_2)$
 - $\mathcal{L}(R_1 \cup R_2) = \mathcal{L}(R_1) \cup \mathcal{L}(R_2)$
 - $\mathcal{L}(R^*) = \mathcal{L}(R)^*$
 - $\mathcal{L}((R)) = \mathcal{L}(R)$

Worthwhile activity: Apply this recursive definition to

$a(b \cup c)((d))$

and see what you get.

Designing Regular Expressions

- Let $\Sigma = \{\mathbf{a}, \mathbf{b}\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains } \mathbf{aa} \text{ as a substring} \}$.

Designing Regular Expressions

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$(a \cup b)^*aa(a \cup b)^*$

Designing Regular Expressions

- Let $\Sigma = \{\mathbf{a}, \mathbf{b}\}$.
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$(\mathbf{a} \mid \mathbf{b})^* \mathbf{aa} (\mathbf{a} \mid \mathbf{b})^*$

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains } aa \text{ as a substring} \}$.

$(a \cup b)^*aa(a \cup b)^*$

bbabbbaabab
aaaa
bbbbbabbbbaabbbbb

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains } aa \text{ as a substring} \}$.

$(a \cup b)^*aa(a \cup b)^*$

bbabbb**aa**bab

aaaa

bbbbbbabbbb**aa**bbbbbb

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains } aa \text{ as a substring} \}$.

$\Sigma^*aa\Sigma^*$

bbabbb**aa**bab

aaa

bbbbbbabbbb**aa**bbbbbb

Designing Regular Expressions

- Let $\Sigma = \{\mathbf{a}, \mathbf{b}\}$.
- Let $L = \{ w \in \Sigma^* \mid |w| = 4 \}$.

Designing Regular Expressions

Let $\Sigma = \{a, b\}$.

Let $L = \{ w \in \Sigma^* \mid |w| = 4 \}$.

The length of a
string w is denoted $|w|$

Designing Regular Expressions

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Designing Regular Expressions

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$\Sigma\Sigma\Sigma\Sigma$

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$\Sigma\Sigma\Sigma\Sigma$

Designing Regular Expressions

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$\Sigma\Sigma\Sigma\Sigma$

aaaa
baba
bbbb
baaa

Designing Regular Expressions

- Let $\Sigma = \{\mathbf{a}, \mathbf{b}\}$.
- Let $L = \{ w \in \Sigma^* \mid |w| = 4 \}$.

$\Sigma\Sigma\Sigma\Sigma$

$\mathbf{a}\mathbf{a}\mathbf{a}\mathbf{a}$

$\mathbf{b}\mathbf{a}\mathbf{b}\mathbf{a}$

$\mathbf{b}\mathbf{b}\mathbf{b}\mathbf{b}$

$\mathbf{b}\mathbf{a}\mathbf{a}\mathbf{a}$

Designing Regular Expressions

- Let $\Sigma = \{\mathbf{a}, \mathbf{b}\}$.
- Let $L = \{ w \in \Sigma^* \mid |w| = 4 \}$.

Σ^4

aaaa
baba
bbbb
baaa

Designing Regular Expressions

- Let $\Sigma = \{\mathbf{a}, \mathbf{b}\}$.
- Let $L = \{ w \in \Sigma^* \mid |w| = 4 \}$.

Σ^4

aaaa
baba
bbbb
baaa

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains at most one } a \}$.

Which of the following is a regular expression for L ?

- A) $\Sigma^*a\Sigma^*$
- B) $b^*ab^* \cup b^*$
- C) $b^*(a \cup \epsilon)b^*$
- D) $b^*a^*b^* \cup b^*$
- E) $b^*(a^* \cup \epsilon)b^*$
- F) None of the above, or two or more of the above.

Respond at pollev.com/zhengliao

Designing Regular Expressions

- Let $\Sigma = \{\mathbf{a}, \mathbf{b}\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains at most one } \mathbf{a} \}$.

$$\mathbf{b^*(a \cup \epsilon)b^*}$$

Designing Regular Expressions

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Designing Regular Expressions

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$\mathbf{b^*(a \cup \epsilon)b^*}$

bbbbabbb

bbbbbb

abbb

a

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains at most one } a \}$.

$b^*(a \cup \epsilon)b^*$

bbbbabbb

bbbbbb

abbb

a

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains at most one } a \}$.

$b^*a?b^*$

bbbbabbb

bbbbbb

abbb

a

A More Elaborate Design

- Let $\Sigma = \{ \text{a}, ., @ \}$, where **a** represents “some letter.”
- Let's make a regex for email addresses.

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cs103@cs.stanford.edu
first.middle.last@mail.site.org
dot.at@dot.com

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aa* (**.aa***)* **@** aa*.aa*

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aa* (**.aa***)* **@** **aa*.aa*** (**.aa***)*

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a⁺ (**.**aa*)* @ aa***.**aa* (**.**aa*)*

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a⁺ (**.****aa***)* **@** **aa***.**aa*** (**.****aa***)*

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a⁺ **(.a**⁺**)**^{*} **@** **a**⁺ **(.a**⁺**)**⁺

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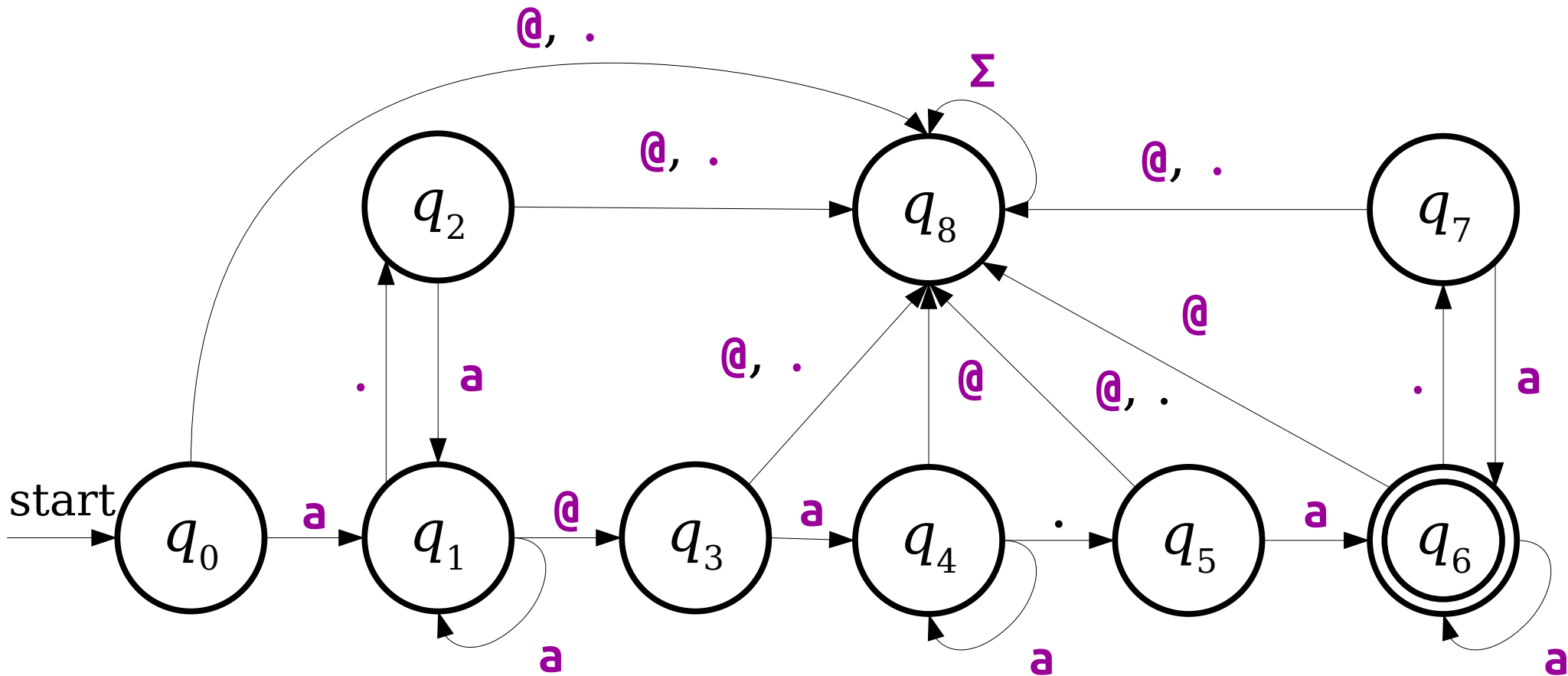
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a⁺ (**.****a**⁺)^{*} **@** **a**⁺ (**.****a**⁺)⁺

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For Comparison

$a^+ (.a^+) * @a^+ (.a^+)^+$



Shorthand Summary

- R^n is shorthand for $RR \dots R$ (n times).
 - Edge case: define $R^0 = \varepsilon$.
- Σ is shorthand for “any character in Σ .”
- $R?$ is shorthand for $(R \cup \varepsilon)$, meaning “zero or one copies of R .”
- R^+ is shorthand for RR^* , meaning “one or more copies of R .”

Let's take a quick break!

Time-Out for Announcements!

Midterm Graded

- We have finished grading the midterm exam.
 - Grades and feedback are now available on Gradescope.
 - Solution and grade distribution are now available on the course website.
- If you'd like to meet with us 1:1 to discuss the exam, or your general progress in the course, just reach out and we'll make it happen.
- Did we make a mistake? Regrades on Gradescope are open and are due in one week.

Problem Set Five

- Problem Set Four was due at 5:30PM on Sunday.
- Problem Set Five is currently out. It's due this Friday at 5:30PM.
 - Design DFAs and NFAs for a range of problems!
 - Explore formal language theory!
 - See some clever applications!

Back to CS103!

The Lay of the Land

Languages you can
build a DFA for.

Languages you can
build an NFA for.

***Regular
Languages***

```
graph TD; A[Languages you can build a DFA for.] --> C((Regular Languages)); B[Languages you can build an NFA for.] --> C;
```

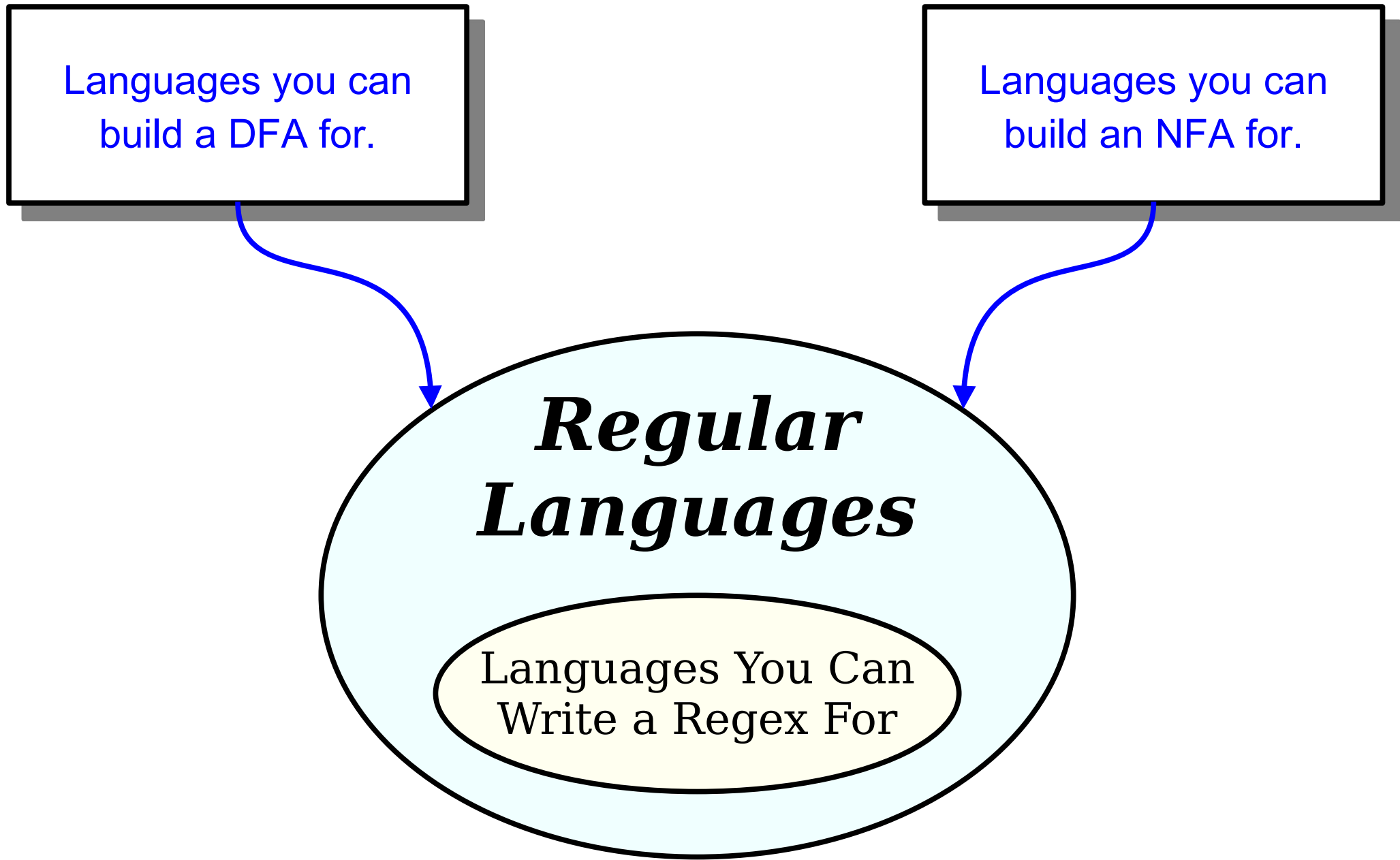
The diagram illustrates the relationship between different types of languages and automata. At the top left, a box states 'Languages you can build a DFA for.' At the top right, a box states 'Languages you can build an NFA for.' Both boxes have blue arrows pointing down to a central light blue oval labeled 'Regular Languages'. This indicates that both DFA and NFA languages are subsets of Regular Languages.

Languages you can
build a DFA for.

Languages you can
build an NFA for.

***Regular
Languages***

Languages You Can
Write a Regex For

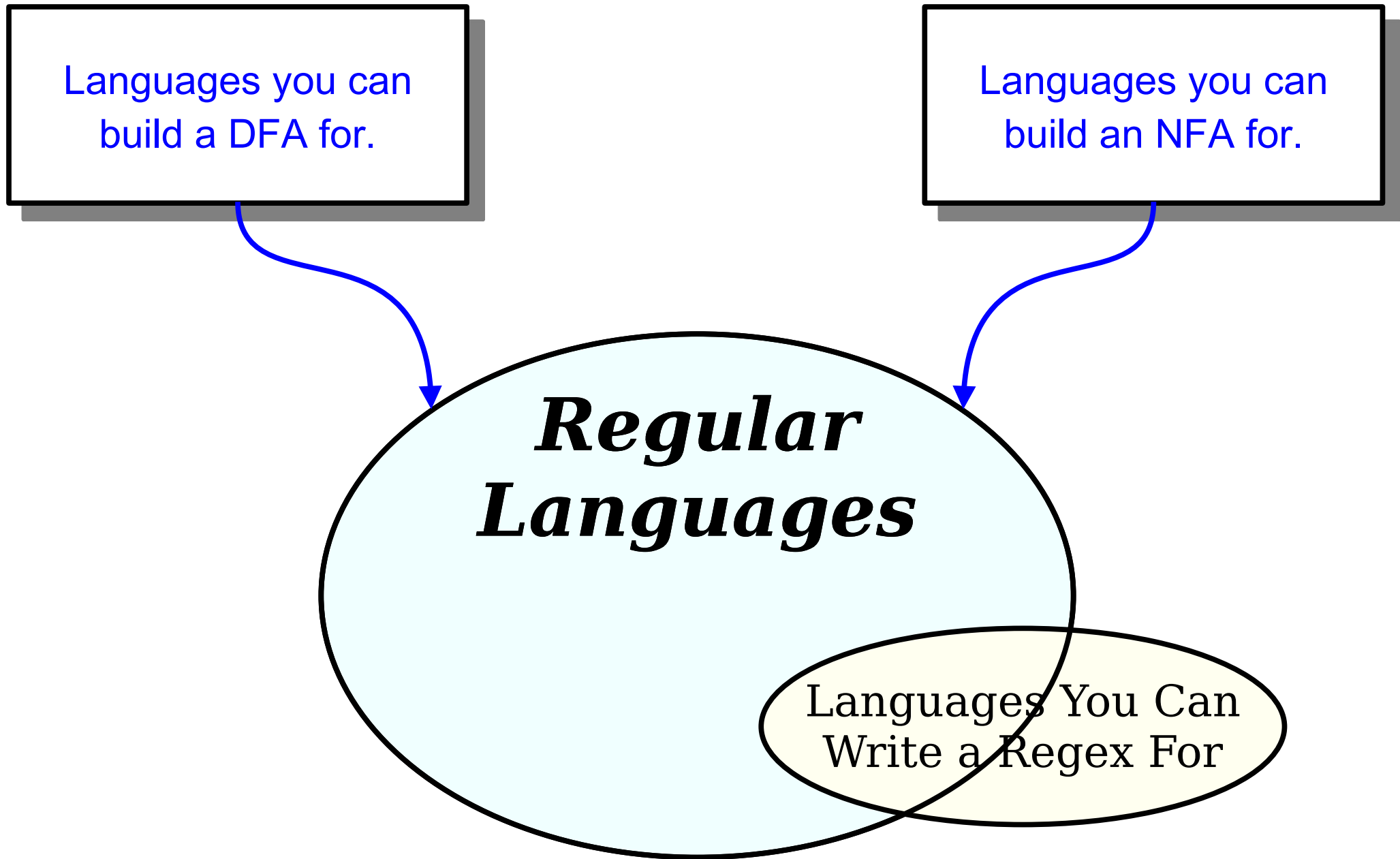


Languages you can
build a DFA for.

Languages you can
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***Regular
Languages***

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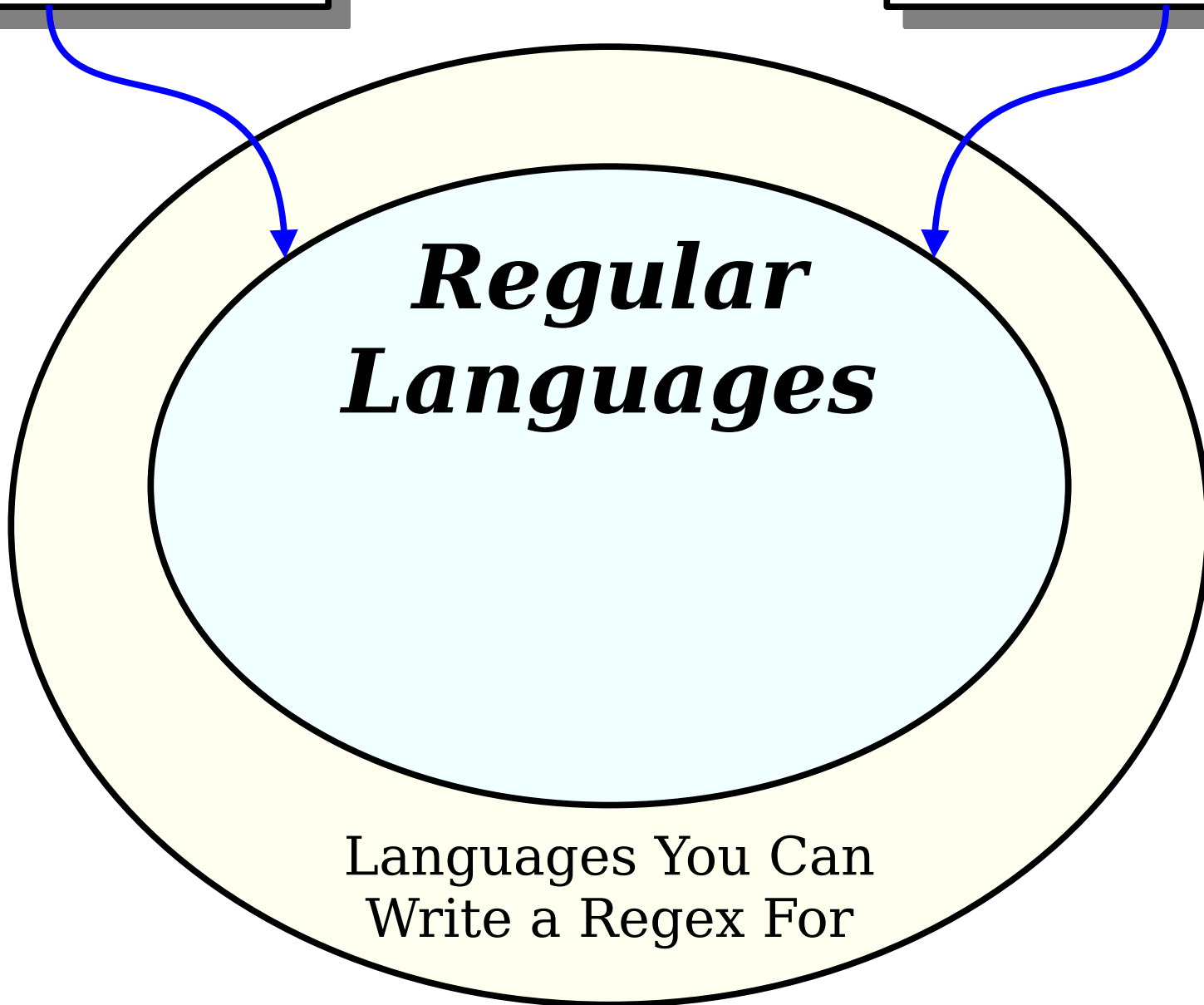


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***Regular
Languages***

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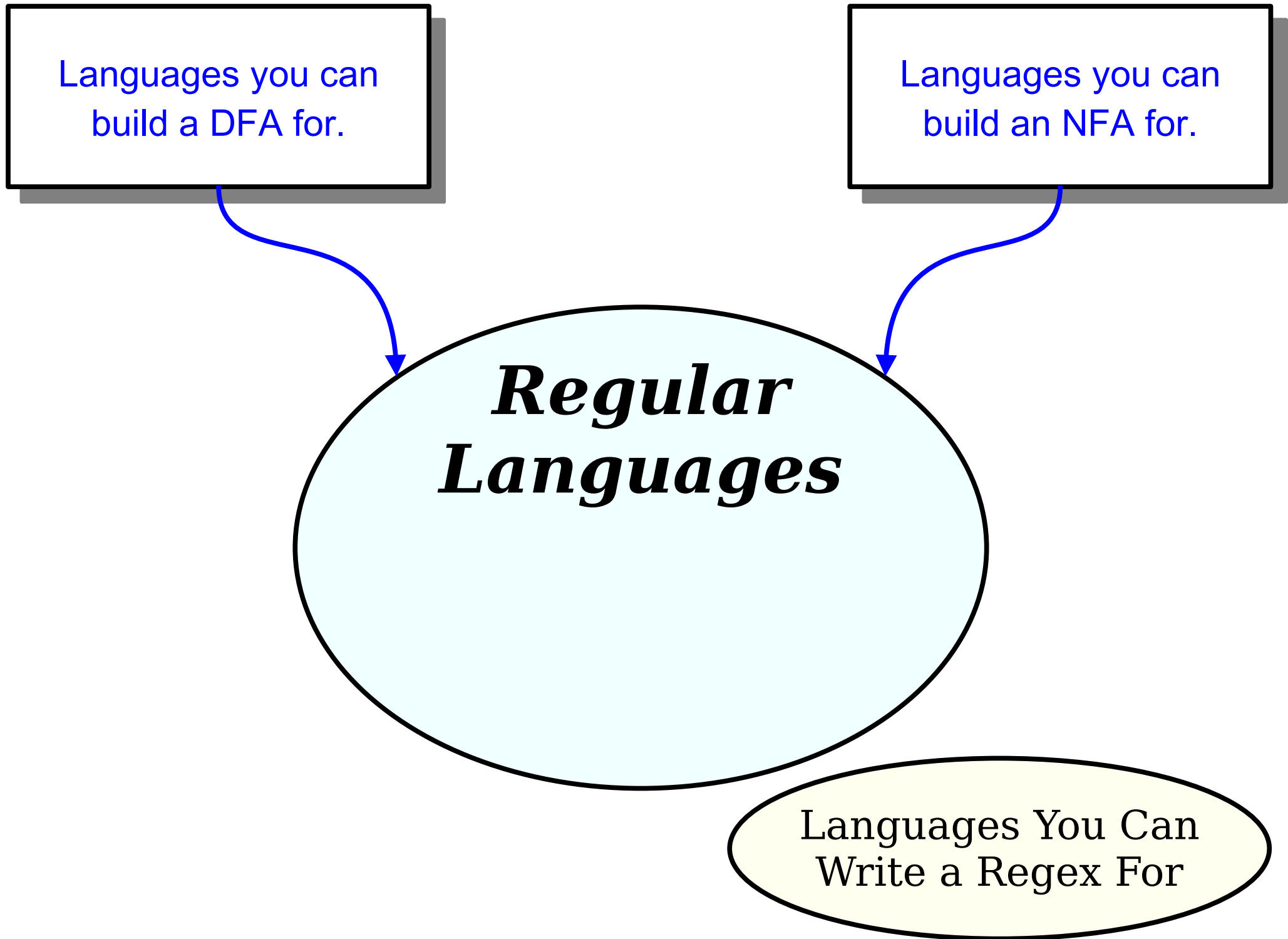


Languages you can
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Languages you can
build an NFA for.

***Regular
Languages***

Languages You Can
Write a Regex For



Languages you can
build a DFA for.

Languages you can
build an NFA for.

```
graph TD; A[Languages you can build a DFA for.] --> C((Regular Languages)); B[Languages you can build an NFA for.] --> C; C --- D[Languages You Can Write a Regex For];
```

***Regular
Languages***

Languages You Can
Write a Regex For

The Power of Regular Expressions

Theorem: If R is a regular expression, then $\mathcal{L}(R)$ is regular.

Proof idea: Use induction!

- The atomic regular expressions all represent regular languages.
- The combination steps represent closure properties.
- So anything you can make from them must be regular!

Thompson's Algorithm

- In practice, many regex matchers use an algorithm called ***Thompson's algorithm*** to convert regular expressions into NFAs (and, from there, to DFAs).
 - Read Sipser if you're curious!
- ***Fun fact:*** the “Thompson” here is Ken Thompson, one of the co-inventors of Unix!

Languages you can
build a DFA for.

Languages you can
build an NFA for.

***Regular
Languages***

```
graph TD; A[Languages you can build a DFA for.] --> C((Regular Languages)); B[Languages you can build an NFA for.] --> C;
```

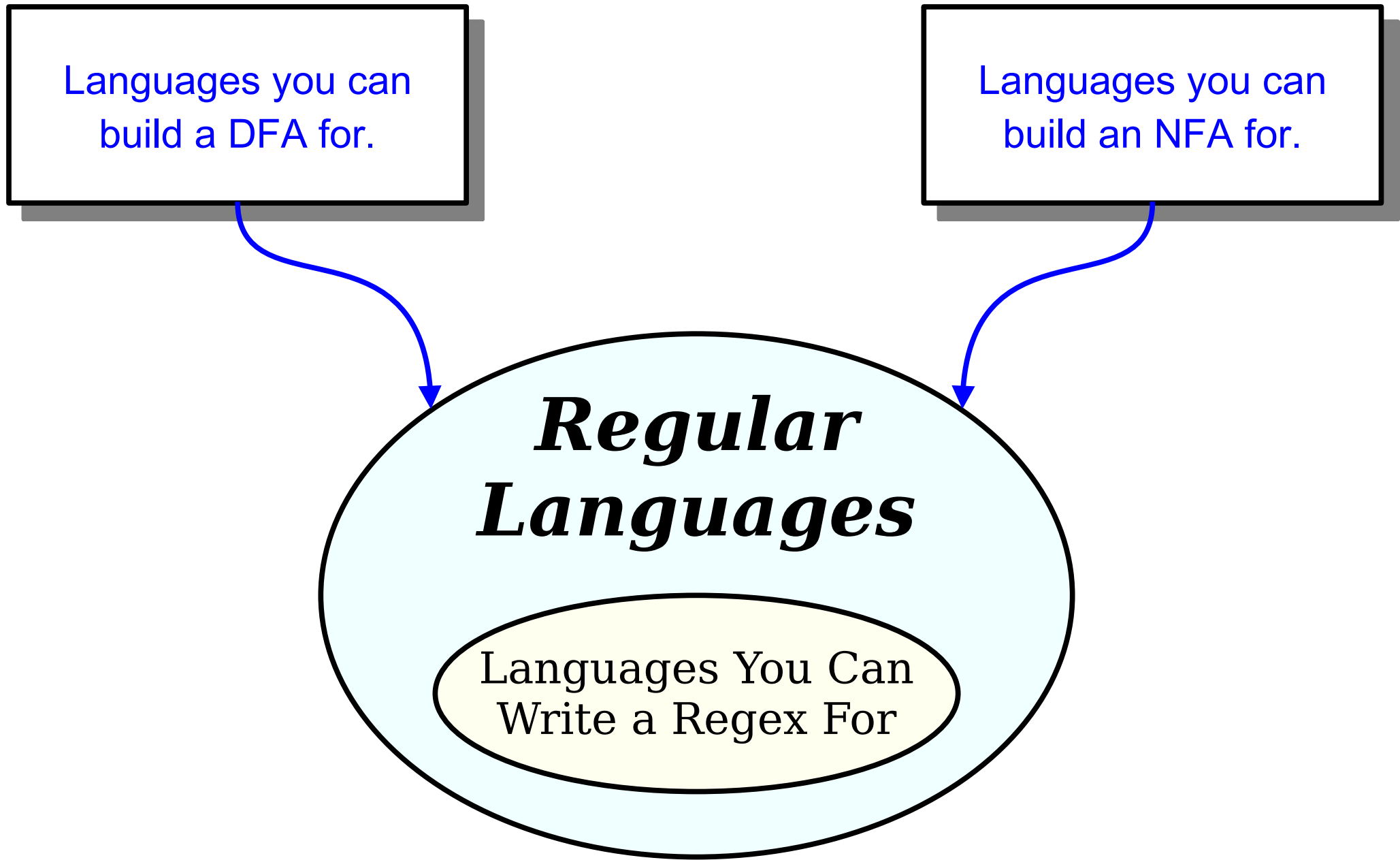
The diagram consists of a central light blue oval with a black border containing the text ***Regular Languages***. Two rectangular boxes with black borders and grey drop shadows are positioned above the oval. The left box contains the text 'Languages you can build a DFA for.' and the right box contains 'Languages you can build an NFA for.'. Both boxes have blue curved arrows pointing from their bottom centers to the top edge of the central oval.

Languages you can
build a DFA for.

Languages you can
build an NFA for.

Regular Languages

Languages You Can
Write a Regex For



Languages you can
build a DFA for.

Languages you can
build an NFA for.

The diagram consists of a central light green oval with a black border. Two blue curved arrows point from rectangular boxes at the top towards this oval. The left box contains the text 'Languages you can build a DFA for.' and the right box contains 'Languages you can build an NFA for.' Both boxes have a black border and a grey drop shadow. The oval contains the text '***Regular Languages***' in a large, bold, italicized black font, and below it, 'Languages You Can Write a Regex For' in a smaller, regular black font.

Regular Languages

Languages You Can
Write a Regex For

The Power of Regular Expressions

Theorem: If L is a regular language, then there is a regular expression for L .

This is not obvious!

Proof idea: Show how to convert an arbitrary NFA into a regular expression.

Generalizing NFAs

